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October 5, 2021

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Board of Directors
United Water Conservation District

Subject: Fiscal Year 2020-21 Year End Financial Reports

Dear Board Members:

Enclosed for your review is the District's FY 2020-21 Year End (July 1, 2020, through June 30, 2021) Financial Report. This report is preliminary and represents twelve months of financial information for District operations (100% of the total fiscal year). The financial data for FY 2020-21 will not be fully closed until after the date of this report and is therefore subject to revisions.

This report focuses primarily on the operating funds of the District and corresponding Capital Improvement Project (CIP) funds:

- General/Water Conservation Fund
 - Recreation and Ranger Activities Sub-fund
- Freeman Fund
- Oxnard/Hueneme Pipeline (OHP) Fund
- Pleasant Valley Pipeline (PVP) Fund
- Pumping Trough Pipeline (PTP) Fund
- State Water Import Fund
- Overhead Fund

Staff provides the Board's Finance and Audit Committee with monthly cash position and pipeline delivery activities reports throughout the fiscal year. Quarterly financial reports are submitted to the Board to provide information on the financial status of the District and to assure the Directors and District customers that staff is operating within the parameters of the annual adopted budget, including any supplemental appropriations. At the end of each fiscal year, an outside certified public accounting firm performs an independent financial audit to test staff's financial reporting accuracy and internal controls. It is staff's responsibility to ensure that the Board has received adequate financial information throughout the year so that there are no surprises, and so that fiscally prudent decisions can be made when the Board is asked to consider approval of budgeted and unbudgeted expenditure requests.

This report compares the revenues and budget appropriations projected for the entire fiscal year with data to provide the Board and District customers with a preliminary financial view (subject to audit adjustments). The following discussion will provide a summary of the District's projected revenues and approved spending plan compared to what actually occurred throughout the year. It also provides an update on approved and funded capital improvement projects.

Operating Funds

Narrative and graphical analyses are provided by fund (and the Recreation sub-fund) on the following pages.

Capital Improvement Program Status

A one-page summary of the District's current Five-Year Capital Improvement Program appears along with Benchmark Interest Rates as part of Attachment B. As of June 30, 2021, all capital improvement projects (CIP) expenditures are within the total amount appropriated by the Board.

The majority of the CIPs that have been funded are currently underway, either in the planning, design or construction stages of the project.

- *Well Replacement Program (CIP Project # 8000)* – In May 2021, four (4) bids were received for the El Rio Water Well No. 19 Pump & Motor. The bids ranged from \$73,745.60 to \$116,254.44, with General Pump Company providing the lowest responsible bid at \$73,745.60. The pump and motor will be installed following the completion of the infrastructure upgrades at the site by the Operations staff.

In June 2021, Best Drilling and Pump, Inc. (Best) completed the construction of El Rio Water Well No. 19. In addition, O&M Staff completed the extension of the piping to the new well location.

- *Freeman Diversion Rehabilitation (CIP Project # 8001)* – In April 2021, the USBR created a space in its laboratory for one of the 1:24 scale physical models. Additionally, NHC continued to develop an alternative for the Hardened Ramp that is capable of operations meeting the water yields included in the habitat conservation plan. In May 2021, UWCD entered into an agreement with GEI Consultants, Inc. to develop a supplemental geotechnical investigation program that will be used to inform the engineering design of the hardened ramp (\$25,000.00)

In June, The USBR continued to work in its laboratory for the 1:24 scale Hardened Ramp physical model. Also, NHC developed an alternative for the Hardened Ramp that is more yield neutral.

- *Santa Felicia Dam Outlet Works Rehabilitation (CIP Project # 8002)* – The 30% design work, which started on May 4, 2020, is planned to be completed by September 2021. The 30% design packet will include the findings of the 2020 Drilling Program Plan (DPP) and the geotechnical subsurface exploration results which are culminated in the draft Geological Data Report (GDR). Staff completed the review of draft GDR on June 13 and submitted comments to GEI to be incorporated in the final GDR. As of June 30, 2021, the DPP was 99% complete and the 30% design plans and analysis was 73% complete.

Staff met with Environmental Services staff and GEI and discussed the new outlet works alternatives to increase Dissolved Oxygen and the mitigation of the Quagga Mussel colonization. On May 13, 2021, Staff attended the second design review workshop and met with Operations staff and GEI to discuss the 30% design of the new outlet works. Staff attended an internal meeting with Operations to discuss the final recommendations of the 30% design on May 26.

On April 22, 2021, staff held a virtual technical assistance meeting (workshop No. 1) with the Federal Energy Regulatory Commission (FERC) and National Marine Fisheries Service (NMFS) to discuss the conceptual design of the new release channel that will connect the discharge of the new outlet works to the lower Piru Creek. As a result of FERC's request to advance the design of the new release channel to 30%, the District entered into a Professional Services Agreement (PSA) with Catalyst Environmental Solutions and Cardno as the sub designer in the amount of \$111,000 on May 18, 2021. At a second virtual technical assistance meeting (workshop No. 2) held on June 10, staff continued the discussions on the channel design alternatives with the agencies. Staff is expected to hold two more workshops in July and tentatively in late October or early November 2021 to complete the channel design discussions. The 30% design of the new release channel will be included in the revised draft Biological Assessment (BA) report that is tentatively scheduled to be submitted to FERC in December 2021.

The District also held a technical assistance meeting with FERC, NMFS, and California Department of Fish and Wildlife (CDFW) on June 22, to discuss the fish screen design and preliminary operations and maintenance of the fish screens as part of the new outlet works.

Staff began preparing for the upcoming Board of Consultants (BOC) meeting No. 5, reviewed the current Agreements for all BOC members and verified the remaining balances with Finance Department. Staff interviewed a number of candidates to replace Dr. Thomas Molls who no longer is available to serve on the BOC. On June 29, 2021, staff prepared and submitted a letter to FERC requesting approval of Paul Schweiger with Gannett Fleming. Mr. Schweiger is the Vice President and Manager of Dams and Hydraulic Section, and an expert in hydraulics engineering, who serves on Independent External Peer Review panels for the U.S. Army Corps of Engineers dam and levee projects. FERC's letter of approval was received on June 30.

On May 17, 2021, staff received a response letter from FERC requesting United to complete evaluation of implementing interim risk reduction measures (IRRM) during the construction delay period. In response to the letter, Engineering staff met with Operations staff to evaluate a range of IRRM's to be implemented during the proposed extended project scheduled. The proposed IRRMs with the most cost-effective measures were selected and proposed to FERC in response letter on June 17.

On June 17, staff received a letter from the State Water Resources Control Board (State Water Board) in response to the District's application for water quality certification for the SFD Safety Improvement Project. The State Water Board notified the District that although the District is planning to separately apply for an U.S. Army Corps of Engineers Clean Water Act Section 404 Nationwide Permit No. 17, State Water Board staff is planning to issue one certification for both actions.

- *Santa Felicia Dam Probable Maximum Flood Containment (CIP Project # 8003)* – This project is funded by the Water Conservation Activities sub fund (Zone A). A PSA with GEI in amount of \$1,795,941.00 for the spillway supplemental 10% design phase was approved by the Board at the April 8, 2020, Board meeting. The Supplemental 10% design of the spillway started on May 4, 2020, and is expected to be complete by October 2021. This design phase also includes the above noted 2020 DPP and subsurface exploration field activities.

Staff continued their review of the draft Technical Memorandums (TMs) and provided comments to GEI to be incorporated in the final Supplemental 10% Design packet. Staff also reviewed the 90% submittal plans for the spillway Heel Drain Cleanout Installation and provided comments to GEI. Staff reviewed the Spillway Wall Deflection Observation report prepared by ECG to support the current spillway design phase. Staff discussed the findings of this report with GEI during the bi-weekly progress meeting on April 6.

The supplemental 10% design package is expected to be completed and submitted to FERC, the California Division of Safety of Dams (DSOD), and BOC in September 2021, prior to the BOC meeting No. 5. As of June 30, 2021, the design portion of the project was 85% complete.

- *Santa Felicia Dam Sediment Management (CIP Project # 8005)* – Staff will retain Rincon to support the acquisition of the CDFW, U.S. Army Corps of Engineers, and Regional Water Quality Control Board permits for the sampling work. Engineering staff will coordinate with the Environmental Services Department to oversee this permitting effort conducted by Rincon. Staff also requested proposals from Oakridge Geosciences and ECG for delivering the geotechnical and surveying elements of the sediment sampling plan. Once the permits are in place, the District will proceed with the sediment sampling in January 2022 with the support of the Operations and Maintenance department to conduct the test pit excavations.

On April 27-28, 2021, Fugro performed a trial multi-beam scan of the SFD Intake Tower. This work was performed at no cost to the District. Fugro performed this work to provide their staff training and to develop a method for scanning the Santa Felicia Dam Intake Tower during future bathymetric surveys of the Lake Piru Reservoir. If this method is successful, Fugro will be able to more accurately detect the sediment elevation surrounding the SFD Intake Tower. On June 29, 2021, Engineering staff met with Fugro to discuss the results of the trial multi-beam scan of the SFD Intake tower performed on April 27-28, 2021.

- *Lower River Invasive Species Control (CIP Project # 8006)* – Currently, there is no update available on this project.
- *Oxnard Hueneme Pipeline Iron and Manganese Treatment (CIP Project # 8007)* – On April 23, 2021, staff received four (4) Statements of Qualifications/Proposals in response to the Request for Qualifications/Proposals (RFQ/P) for Construction Management (CM) and Inspection Services. In April staff also set-up a new BidNet account which provided web-based solicitation services for construction bidding for the project.

On May 5, 2021, Staff held a workshop to select consultants for interviews in response to the Request for Qualifications/Proposals (RFQ/P) for Construction Management (CM) and Inspection Services.

Four (4) Statements of Qualifications/Proposals were received by the District: HDR, Inc.; MKN & Associates, Inc.; MNS Engineers, Inc.; and WSC, Inc. A proposal review panel consisting of seven (7) voting members and one (1) non-voting member selected three consultants for interviews and further consideration.

On May 14, 2021, Staff completed the final bid documents (plans and specifications) and solicited the project for construction bids using BidNet.

On May 18, 2021, the proposal review panel interviewed three (3) consultants who submitted proposals for the RFQ/P for CM and Inspection Services. On May 19, 2021, the proposal review panel selected HDR, Inc. as the most qualified and responsive consultant to perform CM and Inspection Services for the project.

On May 19, 2021, the Calleguas Municipal Water District Board of Directors approved three contracts related to the Proposition 1 Integrated Regional Water Management Implementation Grant that provides \$2.5 million in funding for this project. On May 20, 2021, Staff notified the State Water Resources Control Board Division of Drinking Water that the project is in the construction bid process. On May 21, 2021, Staff notified the United States Bureau of Reclamation (providing \$300k under the WaterSmart grant program) that the project is in the construction bid process.

On June 2, 2021, Staff conducted a pre-bid meeting and site walk with prospective contractors and sub-contractors.

On June 3, 2021, Staff held a scope of work optimization workshop with the design engineer, Kennedy/Jenks Consultants and selected construction management and inspection services consultant, HDR, Inc.

On June 21, 2021, Phoenix Engineering submitted the final Stormwater Pollution Prevention Plan (SWPPP) to the District to submit to the Storm Water Multiple Application & Report Tracking System (SMARTS).

On June 22, 2021, Staff received four (4) bids from prospective contractors. Staff conducted a virtual bid opening, and the following results were announced:

<u>Bidder</u>	<u>Location</u>	<u>Bid</u>
GSE Construction Company, Inc.	Livermore, CA	\$ 9,342,900
Cushman Contracting Corporation	Goleta, CA	\$ 9,953,000
Blois Construction, Inc.	Oxnard, CA	\$ 10,410,210
Pacific Hydrotech Corporation	Perris, CA	\$ 10,968,700

District staff reviewed the bid documents from GSE Construction Company, Inc. (GSE) for completeness and responsiveness. District staff reached out to references regarding similarly completed projects by GSE in the last 10 years. Staff compiled addenda and other corrections to provide to the design engineer for the production of conformed contract documents. On June 23, 2021, Staff held debriefing calls with WSC, Inc. and MNS Engineers, Inc. regarding the evaluation panel’s selection of a construction management and inspection services firm (HDR, Inc.)

- *Quagga Decontamination Station (CIP Project # 8008)* – This project is no longer in the 2020/21 Capital Improvement Program. Currently, the Park Rangers are using a mobile unit to disinfect the visitor boats that have been in the water longer than 96 hours.
- *Juan Fernandez Day Use (CIP Project # 8013)* – This project is no longer in the 2020/21 Capital Improvement Program. The Recreation Management Plan (RMP) assessed the public need to install these additional facilities and is recommending alternative improvements that are less costly and more appropriate. The license amendment application and the RMP were submitted to the FERC on September 20, 2018. In October 2018, United Board of Directors adopted the RMP. On May 8, 2019, FERC issued an order amending Article 412 of the FERC license and approving United’s plan to implement alternative improvements. This project is now the Condor Point Improvement Project (CIP Project #8048) and must be completed by May 8, 2022, per the FERC Order.
- *Ferro-Rose Recharge (CIP Project # 8018)* – On May 13, 2021, staff met with NHC and GEI to discuss the comments received by the County of Ventura on the 30% design of the three-barrel culvert. NHC and GEI are continuing to advance the design to the 90% level.
- *Brackish Water Treatment (CIP Project # 8019)* – On April 29, 2021, Engineering, Water Resources and Environmental Services staff met with U.S. Navy staff to discuss the upcoming project schedule, provide an update on Prop. 1 groundwater modeling efforts, discuss the CEQA/NEPA process, and conceptual design activities.

On May 6 and June 1, 2021, District staff and Trussell Technologies, Inc. held progress meetings to discuss the extended desktop modeling analysis. On May 17, 2021, Engineering and Water Resources staff conducted a video inspection of monitoring well CM1A at Naval Base Ventura County's Point Mugu facility.

On May 19, 2021, Engineering and Water Resources staff held the Technical Advisory Committee Meeting No. 2 with members from the Department of Water Resources (DWR), Los Angeles Regional Water Control Board (LA RWQCB), the State Water Resources Control Board Division of Drinking Water (DDW), Fox Canyon Groundwater Management Agency (FCGMA) and United States Navy (USN). The primary focus was to provide an update on conversion of the regional groundwater flow model to a density-dependent transport model.

On May 21, 2021, Environmental Services staff received two (2) proposals in response to the Request for Qualifications and Proposals (RFQ/P) for consultant services for California Environmental Quality Act (CEQA) Documentation and Processing. On May 26, 2021, District Staff held the third Leadership Meeting with active duty (including the current Commanding Officer) and civilian members of the USN at Naval Base Ventura County Point Mugu. The meeting ended with a re-affirmation of the USN's strong support of the project.

On June 22, 2021, District staff attended a Navy-led conference call with Congresswoman Julia Brownley's office staff. The congressional office received an update on the project and indicated they would provide support when requested.

On June 28, 2021, District staff (engineering, environmental and water resources) conducted an interview of prospective proposer, GEI Consultants, for the CEQA services work.

On June 29, 2021, District staff held the eleventh monthly progress meeting with Navy staff to discuss the project schedule and action items. This included discussion of a property (27.18 acres, 4444 Naval Air Road) that is adjacent to Naval Base Ventura County Point Mugu and currently up for sale.

On June 30, 2021, District staff (engineering, environmental and water resources) conducted an interview of prospective proposer, Catalyst, for the CEQA services work.

On June 30, 2021 – District staff (engineering, environmental and water resources) conducted a workshop to discuss the interviews of prospective proposers for the CEQA services work.

- *Rice Ave. Overpass PTP (CIP Project # 8021)* – In May, District staff was contacted by WREA staff, who requested a list of utilities that would be impacted by the Project at the Rice Avenue and 5th Street Intersection. Staff contacted WREA and shared the 95% Rice Avenue roadway and structural design plans with them. On May 5, 2021, staff was contacted by the County of Ventura Public Works Office to coordinate a meeting between the City of Oxnard and the District at the County Public Works office to discuss the Project.

In June, staff revised the design for the utility access for the new reinforced segment of United's 30-inch pipeline from Kennedy Jenks (KJ). This revised design is a part of the 95% design plans for PTP 30-in transmission line north of the Rice Avenue and 5th Street intersection. Following coordination calls with the County of Ventura Public Works (County), Staff received a meeting request to discuss the reinforcement of United's 30-inch pipe with the County, the City of Oxnard and United. The meeting is expected to take place in July 2021 at the County's Government Center.

- *PTP Turnout Metering System (CIP Project # 8022)* – On April 26, 2021, Staff attended a site tour with owner representatives for PTP Turnout Nos. #113, 114 & 126W and reviewed the installation plan. Owner representatives agreed to minor changes in the easement locations.

On May 27, 2021, Right-of-way acquisition consultant Hamner, Jewell & Associates (HJA) and subconsultant Stantec received a notice to proceed with seven (7) turnout locations that were partially prepared by Jensen Design & Survey. The additional scope of work and fee was authorized using the existing contract contingency. Staff also met with HJA and Stantec to discuss several owner signatures that are pending for multiple utility easement deeds due to requests from owner's legal counsel for changes to the utility easement deed language.

On June 18, 2021, Stantec Consulting completed three (3) easement exhibits for PTP Turnout Nos. 106, 135 and 144. As of June 30, 2021, 30 of 61 (49%) meters have been installed and 14 of 41 (34.1%) easement acquisitions have been obtained.

- *Pothole Trailhead (CIP Project # 8023)* – In April 2021, the District continued to work on the development of two (2) easements to the Forest Service in perpetuity for the public use for two areas, including the trailhead parking area and the stretches of the Pothole Trail that lie on District Property. The District retained ECG to provide the legal description of the two areas for their respective easements. ECG, in coordination with staff, performed a field survey of the Pothole Trail on April 28, 2021.

On May 13, 2021, the District was issued a letter from FERC in which the Division of Hydropower Administration and Compliance acknowledges the completion of the Pothole Trailhead as required by the FERC order issued to the District on May 15, 2019.

On June 25, 2021, staff e-filed an updated Recreation Facilities Table with FERC as requested by FERC in a letter dated May 13, 2021. The updated table now includes the Pothole Trailhead Facility as well as an updated quantity of recreation amenities (picnic tables, parking spaces, etc.) based on a June 23, 2021, survey performed by staff.

Engineering staff, in collaboration with the Forest Service, finalized the interpretative signage content for the Pothole Trailhead on June 23, 2021. The sign structure was immediately released for production. The Interpretative Sign Structure will be installed in August 2021.

- *State Water Interconnection Project (CIP Project # 8025)* – Staff postponed seeking the Board’s approval of the draft joint agreement between United, the City of Buena Ventura and other two participating agencies at the May 2021 Board meeting until after staff’s review of the Project EIR is complete and the final ruling on the CWIN case is made by the Court, expected by July 12, 2021.

The Court ruling, which was released on June 24, 2021, denied CWIN’s petition for writ of mandate and therefore, a claim challenging the City certification of a final EIR for, and approval of, the Project. The Court found the EIR prepared for the project followed the CEQA guidelines.

The City developed an addendum to the EIR that includes the geotechnical borings in the river and the old dump site. The EIR addendum is scheduled for adoption by the Ventura City Council on July 12.

Calleguas is planning to present the agreement to its board after the ruling on the CWIN hearing and the City Council approval of the EIR Addendum.

Casitas presented the SWP Interconnection Pipeline Project to its Finance Committee on June 18.

Engineering Staff met with the City’s consultants at Ferro Basin on June 9, 2021, to discuss the geotechnical subsurface exploration work and the number of borings planned to be implemented in the District’s Ferro and Noble parcels.

Currently, the City is in the process of drilling three borings within the Santa Clara River. The proposed borings are located within the District’s property. The City has obtained all applicable permits for the work. The District allowed the City to start the drilling work. The preconstruction meeting with the City’s consultant, Fugro, the drilling contractor, the County and the District took place on September 22, 2021.

- *Grand Canal Hydraulic Constraint Removal (CIP Project # 8032)* – In June 2021, DOD Construction substantially completed the construction of the Grand Canal hydraulic improvements and plans to complete the project by the end of July.

- *Recycled Water (CIP Project # 8043)* – On May 18, 2021, the City of Oxnard staff provided a water supply outlook and drought condition report to the City Council. This included an update that the Aquifer Storage & Recovery (ASR) well demonstration project, which is scheduled to begin in 2021. The demonstration period will last up to a year before the California Division of Drinking Water considers approval of Oxnard's permit application for indirect potable reuse (IPR). It was noted that after the permit is granted, Oxnard plans to expand the Advanced Water Purification Facility (AWPF) and the ASR well field.

In June 2021, Construction began on the City of Oxnard's Hueneme Road Phase II Recycled Water Pipeline (Olds Road to Wood Road) which is expected to be complete in early 2022.

- *Oxnard Hueneme System Backup Generator (CIP #8036)*

Grant Activities:

On May 4, 2021, staff met with Cal OES new grants specialist for the project and followed up on the budget and construction time extension requests. On May 10, 2021, staff submitted the revised requests for Cal OES final review and approval. On June 11, staff received Cal OES approval for the project time extension that allows the project to be completed by March 26, 2022.

On June 18, staff received the new quarterly report template from Cal OES. Staff began the preparation of the third quarterly report that covers the project activity through April, May, and June 2021.

Construction Activities:

On May 4, staff attended Southern California Edison (SCE) coordination meeting at the project site. Staff met with SCE representatives, Operations staff, Oilfield Electric (Oilfield), Phoenix Civil Engineering, and Lucci & Associates and discussed the future project outages and proposed recloser equipment installation.

Staff continued their review of the electrical equipment shop drawings received from Oilfield. On May 21, staff met with Oilfield at the job site to mark the construction area.

On June 14, Oilfield began mobilization at the project site. On June 15, demolition of the existing facility started, which included the removal of the existing electrical panels and the existing generator concrete pad. On June 21, Oilfield began the over-excavation and soil compaction work for the new generator and electrical equipment pads. Staff provided daily construction observation. On June 25, staff, Phoenix Engineering (construction manager), and Oilfield met with Southern California Edison (SCE) representatives to discuss the need for a new pole installation. SCE agreed that a new pole is not needed.

- *Emergency Power Supply for UWCD Drinking Water Treatment and Supply Facilities Related to CIP Projects # 8033, 8037, 8039* – Following the Board approval of the CEQA Notice of Categorical Exemption determination for the project in May, staff filed the NOE with the State Clearing House and the County Recorder in June. Due to limited material availability and delay in equipment manufacturing, staff has requested CalOES for a five-month time extension to complete the project. This will postpone the project completion date to March 2022.

Cash Position and Investments of the District

As of June 30, 2021, the District had a total of \$48M in cash and investments. As noted on the cash position report, some of the District’s resources are readily available for use while other funds have restrictions that limit how they can be used. The District must adhere to any legal, bond or contractual restrictions placed on funds. However, some restrictions are based on Board designations and can be redirected for other uses if the Board so determines.

The District’s cash, cash equivalents and securities held in the various accounts as compared to the prior year are as follows:

	<i>30-Jun-21</i>	<i>30-Jun-20</i>
Local Agency Investment Fund (LAIF)	\$27,141,128	\$26,108,660
Union Bank – 2009 COP Reserve Account	\$0	\$815,390
Union Bank – 2001, 2005 Account Balances	\$0	\$257
MUFG Union Bank 2020 COP Account Balance	\$19,006,812	\$0
Bank of the Sierra Checking Account	\$2,046,318	\$2,038,071
County Treasury	\$1,644	\$392
Petty Cash	\$3,400	\$400
Total	\$48,199,302	\$28,963,171

If you have any questions regarding this report on the financial position, please let me know.

Respectfully submitted,

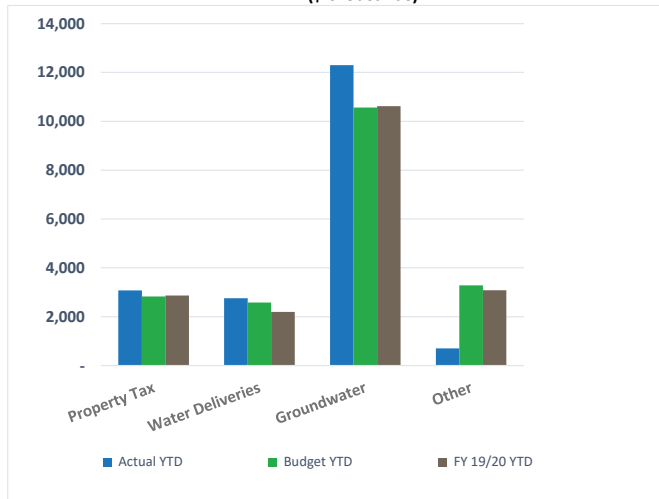
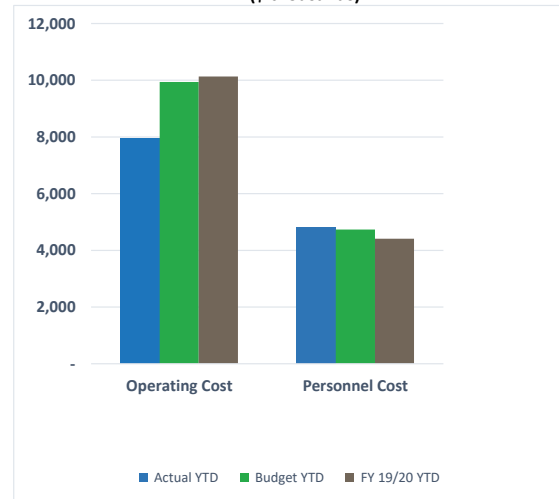
Daryl Smith, Controller

FY 2020-21 Fourth Quarter Financial Review

July 1, 2020 through June 30, 2021

100% of Fiscal Year Completed
General/Water Conservation Fund

in \$ thousands	CY Actuals	CY Revised Budget	Variance	% Variance	PY Actuals	Variance	% Variance
Revenues							
Water Delivery	2,754	2,581	172	7%	2,193	561	26%
Groundwater	12,295	10,563	1,732	16%	10,618	1,677	16%
Supplemental Water	0	0	0	0%	1,840	(1,840)	0%
Property Taxes	3,075	2,828	247	9%	2,870	205	7%
Earnings on Investments	44	105	(61)	-58%	335	(291)	-87%
Other	1,283	3,281	(1,997)	-61%	3,087	(1,804)	-58%
Transfers in	1,064	0	1,064	0%	619	445	0%
Total Revenues	20,514	19,358	1,157	6%	21,561	(1,047)	-5%
Expenses							
Personnel Costs	4,809	4,732	77	2%	4,414	395	9%
Operating Expenditures	7,942	9,939	(1,998)	-20%	10,132	(2,191)	-22%
Capital Outlay	639	605	34	6%	136	503	369%
Transfers out	3,382	3,382	0	0%	5,590	(2,208)	-39%
Total Expenses	16,772	18,659	(1,887)	-10%	20,272	(3,501)	-17%
Net Surplus / (Shortfall)	3,743	699	3,043	435%	1,289	2,454	190%

Revenues
 (\$ thousands)

Expenses
 (\$ thousands)

Revenue Status vs. Budget

- Revenue received through fourth quarter is \$1.2M (6%) above Plan primarily due to groundwater revenue \$1.7M above Plan and pipeline deliveries \$172K above Plan. 9,085 AF more delivered than Plan for three pipelines combined including 3,171 AF more for PV
- Property taxes \$247K above plan.
- Earnings on investments were \$61K under plan due to a GAAP market value adjustment to LAIF of \$63K in addition to a steep reduction in the rate of return.
- Other Revenue increases included conservative budgeting of Hydroplant revenue \$57K, Lake Piru fees and reservations revenue \$448K and easement revenue \$30K from So Cal Gas.
- Proceeds from Financing under budget \$2.9M due to unrealized Interfund Loan. Slightly offset by GSA Revenue \$190K and late fees \$116K.
- Transfers In \$1M above plan due to Lake Piru, Quagga Mussels, and State Water CIP completed Projects

Revenue Status vs. Prior Year

- Q4 Revenue \$1M (5%) lower than PY primarily due to Supplemental Water revenue of \$1.8M received prior year for Fox Canyon GMA and a refund of legal fees of \$1.3M.
- Offsetting the decrease in revenue were increases in pipeline \$561K and groundwater \$1.7M deliveries. Additionally, there were current year recreation revenues of \$448K and none in the PY due to direct management of recreation activities by District staff rather than the use of a concessionaire. Lastly, property taxes are higher by \$205K in the current year.
- Earnings on investment \$291K lower than PY due to GAAP market value adjustment and lower market rates in the current year (2.03% to .44%).

FY 2020-21 Fourth Quarter Financial Review

July 1, 2020 through June 30, 2021

100% of Fiscal Year Completed

General/Water Conservation Fund - Continued

Appropriation/Expenditure Status vs. Budget

- Total Expenditures were \$1.9M (10%) under Plan primarily due to Professional Fees \$878K from the under-utilized Admin and Environmental consulting budget. Fish Passage and quagga expenditures were significantly less than estimated.
- Materials and Supplies were \$544K under budget. O&M and Recreation contributed to the variance due to fewer staff and staff spending less time in the field due to Covid. There have also been fewer emergency repairs performed. Travel expense is also significantly under budget \$94K due to training being performed online.
- Overhead costs were \$389K under budget due to the vacant AGM position and lower consulting costs; Covid restrictions also played a role.
- Capital Outlays were \$34K over budget primarily due to Recreation purchasing vehicles and equipments for Lake Piru operations.
- Financing costs \$328K under due to bond consolidation

Appropriation/Expenditure Status vs. Prior Year

- Expenditures \$3.5M (17%) lower than PY. Primarily due to transfers out for CIP of \$3.4M in current year which was \$2.2M lower than prior year due to the purchase of new HQ, and charges for supplemental water \$1.7M lower than PY due to larger purchase in FY 19-20, partially offset by higher personnel costs \$395K and capital expenditures \$503K compared to PY.
- Professional fees \$40K lower than PY. Principal and interest payments were down \$1.1M due to bonds 2001 2005 and 2009 refunded in November while financing costs were up \$652K due to payoff costs.
- Salary expenses were up \$395K due to COLA increase, additional Rangers hired to support Lake Piru operations and more water staff time spent on water conservation activities compared to PY.
- Insurance premiums increased by \$62K and maintenance costs exceeded PY by \$160K. This was offset by lower travel costs \$67K due to covid and lower permit/licensing fees \$47K.
- Capital outlays were up \$503K due primarily to the Eddy Pump purchase along with new O&M service truck \$134K and recreation vehicles.

Fund Balance

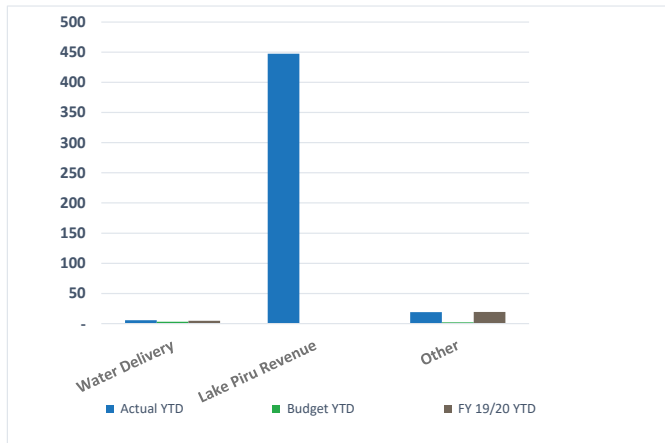
The ending undesignated working capital balance at the end of FY 20-21 is \$7.6M.

The District's reserve policy requires a \$4 - \$5 million minimum undesignated balance which is projected to be met.

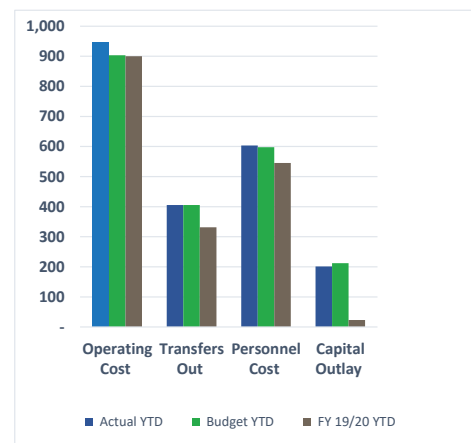
FY 2020-21 Fourth Quarter Financial Review
 July 1, 2020 through June 30, 2021
 100% of Fiscal Year Completed
Recreation Sub-Fund

in \$ thousands	CY Actuals	CY Revised Budget	Variance	% Variance	PY Actuals	Variance	% Variance
Revenues							
Water Delivery	6	3	3	87%	5	1	22%
Earnings on Investments	0	0	0	0%	0	0	0%
Lake Piru Revenue	447	0	447		0	447	
Other	19	2	17	857%	19	(0)	0%
Total Revenues	472	5	467	9345%	24	448	1883%
Expenses							
Personnel Costs	603	598	6	1%	545	58	11%
Operating Expenditures	947	904	43	5%	900	47	5%
Capital Outlay	201	212	(11)	-5%	23	178	781%
Transfers out	405	405	0	0%	332	74	22%
Total Expenses	2,157	2,119	38	2%	1,800	357	20%
Net Surplus / (Shortfall)	(1,684)	(2,114)	429	-20%	(1,776)	92	-5%

Revenues
(\$ thousands)



Expenses
(\$ thousands)



Revenue Status vs. Budget

- Revenue received through 4th quarter is significantly above Plan \$447K since the district has taken over Lake Piru operations from PMC. Revenue primarily coming from Lake Piru Day Use, Camping, and Boating fees and reservations.

Revenue Status vs. Prior Year

- Q4 Revenue \$447K over PY due to increase in fees and reservations slightly offset by decrease in filming revenue because of Lake Piru closure and Covid restrictions for the most part of the fiscal year.

Appropriation/Expenditure Status vs. Budget

- Total expenditures \$38K (2%) over budget due to additional costs for Lake Piru operations including staffing, general maintenance, purchase of equipment and other expenses after termination of PMC's concessions agreement.

Appropriation/Expenditure Status vs. Prior Year

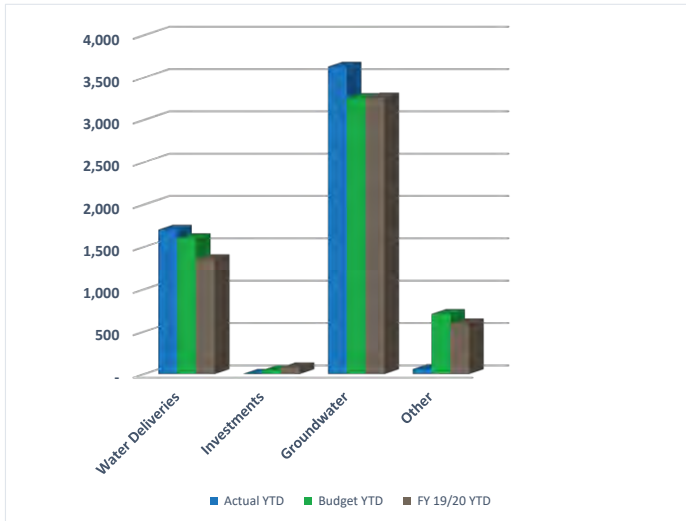
- Expenditures \$357K (20%) higher than PY.
- Personnel and Operating Costs \$47K higher in current year due to the district taking over Lake Piru operations. The district has hired additional part time Rangers as well as increased costs for maintenance and supplies. Capital Outlays \$178K are also higher due to the purchase of a Recreation truck, Utility Vehicles, and equipment as a result of the transition.
- Offsetting the increases was an \$85K decrease in premium payments on the 2009 bond, due to the recreation sub-fund's portion of the debt being paid off in FY 19-20. Professional Fees decreased approximately \$50K as a result of the termination of the PMC contract.

FY 2020-21 Fourth Quarter Financial Review
 July 1, 2020 through June 30, 2021
 100% of Fiscal Year Completed

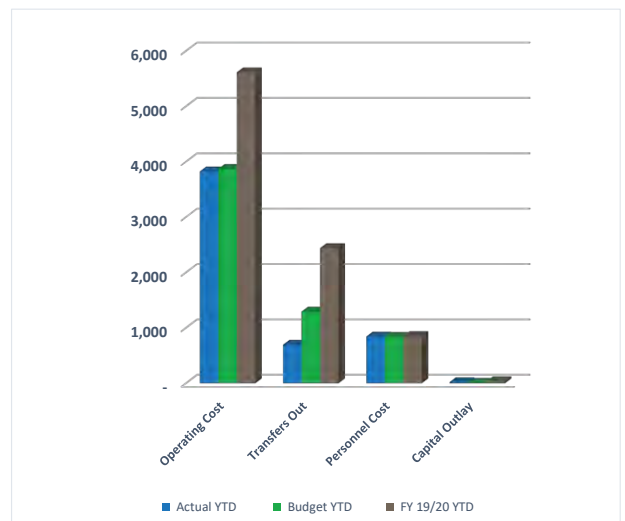
Freeman Diversion Fund (Zone B)

in \$ thousands	CY Actuals	CY Revised Budget	Variance	% Variance	PY Actuals	Variance	% Variance
Revenues							
Groundwater	3,628	3,257	370	11%	3,263	364	11%
Water Delivery	1,702	1,601	101	6%	1,353	349	26%
Earnings on Investments	1	44	(43)	-99%	77	(77)	-99%
Other	125	707	(582)	-82%	596	(471)	-79%
Transfers in	24	0	24	0%	0	24	0%
Total Revenues	5,480	5,610	(130)	-2%	5,290	190	4%
Expenses							
Personnel Costs	841	836	4	1%	850	(10)	-1%
Operating Expenditures	3,823	3,870	(47)	-1%	5,613	(1,790)	-32%
Capital Outlay	17	6	11	0%	33	(16)	-48%
Transfers out	694	1,292	(598)	-46%	2,438	(1,744)	-72%
Total Expenses	5,375	6,003	(629)	-10%	8,934	(3,560)	-40%
Net Surplus / (Shortfall)	105	(393)	498	-127%	(3,645)	3,750	-103%

Revenues
(\$ thousands)



Expenses
(\$ thousands)



Revenue Status vs. Budget

- Revenue received Q4 \$5.5M, down \$130K (2%)
- Decrease primarily due to Interfund Loans (\$687K) for Emergency Generator, Recycled Water Replenishment and SCADA Hardware capital improvement projects not being realized
- Lower earnings on investments (\$43K) were due to a GAAP market value adjustment to LAIF
- Offsetting this decrease were higher than expected pipeline deliveries, which were \$101K over Plan. Total pipeline deliveries were up 9,085.29 AF (61%) compared to budgeted 14,980 AF
- Groundwater pumping revenue was also \$370K (11%) higher than Budget

Revenue Status vs. Prior Year

- Current year higher by \$190K (4%)
- Increase primarily due to higher Groundwater (\$364K) and Pipeline delivery (\$349K) revenue
- Groundwater volume higher by 25,262 AF (18%) compared to PY
- Pipeline delivery revenue up 5,781 AF (32%) due to increase in volume and rate increases
- Offsetting increase was a City of Ventura Refund of Judgement (\$120K) received during Fiscal Year 2019-2020



FY 2020-21 Fourth Quarter Financial Review

July 1, 2020 through June 30, 2021

100% of Fiscal Year Completed

Freeman Diversion Fund (Zone B) - continued

Appropriation/Expenditure Status vs. Budget

- Total expenditures \$5.4M, \$629K (10%) below Plan
- Primarily due to a delay in CIP transfers-out for Flocc Building Emergency Generator (\$78K) and Recycled Water Groundwater Replenishment (\$519K) CIP projects
- Operating expenditures were also lower (\$47K) than Budget. Decrease in operating expenditures are primarily related to maintenance (\$97K), equipment rentals (\$86K), fuel (\$26K) and travel expenses (\$10K)
- Maintenance lower than Plan due to Freeman Emergency Funds not being used in FY 2020-21
- Equipment rent and leases and travel expense lower due to Covid-19
- Fuel expense below Plan as a result of Desilt Basin Facility not using budgeted expenditures
- Overhead costs also under budget by \$78K due to vacant AGM position and lower overhead expenses
- Interest payments (\$80K) for New Headquarters and New Debt Issuance also lower than expected
- Offsetting these decreases are professional services (\$353K) related to ongoing District legal fees for Wishtoyo, City of Ventura and HCP. As well as, permitting activities associated with the Freeman Diversion Sediment Management Project
- Capital Outlay Costs were also \$11K higher than expected due to the purchase of a new vehicle

Appropriation/Expenditure Status vs. Prior Year

- Total Expenditures are \$3.6M (40%) below PY
- Decrease largely due to court award of legal fees in the Wishtoyo case (\$2.4M) that was recognized in FY 2019-20
- Lower transfers-out (\$1.7M) to capital improvement projects were also made in FY 2020-21 compared to FY 2019-20 due to a delay in funding
- Slightly offsetting the decrease are higher rental expenditures (\$39K) in the current fiscal year

Fund Balance

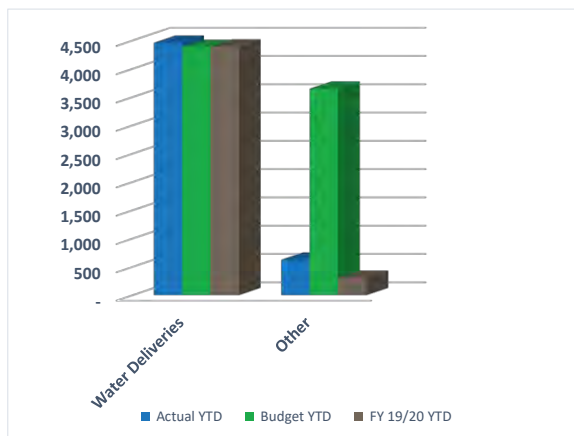
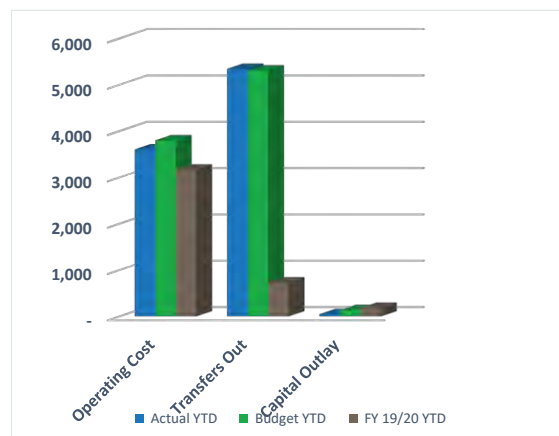
- The ending working capital balance was approximately \$26K, all undesignated. This was lower than the projected ending balance. \$91K of purchase orders will be carried forward into FY 2021-22, reducing the available balance to (\$-65K.)
- The District's reserve policy requires an undesignated balance of between \$800K and \$1M for this fund, which was not met

FY 2020-21 Fourth Quarter Financial Review

July 1, 2020 through June 30, 2021

100% of Fiscal Year Completed
Oxnard Hueneme Pipeline Fund

in \$ thousands	CY Actuals	CY Revised Budget	Variance	% Variance	PY Actuals	Variance	% Variance
Revenues							
Water Delivery	4,459	4,383	76	2%	4,391	68	2%
Earnings on Investments	(1)	20	(21)	-103%	53	(53)	-101%
Grants	76	300	(224)	-75%	0	76	0%
Other	626	3,627	(3,001)	-83%	251	375	149%
Total Revenues	5,160	8,330	(3,170)	-38%	4,694	466	10%
Expenses							
Personnel Costs	883	801	82	10%	930	(47)	-5%
Operating Expenditures	2,721	2,999	(278)	-9%	2,237	484	22%
Capital Outlay	45	134	(88)	-66%	180	(135)	-75%
Transfers out	5,342	5,307	35	1%	730	4,612	632%
Total Expenses	8,991	9,240	(249)	-3%	4,077	4,914	121%
Net Surplus / (Shortfall)	(3,830)	(910)	(2,921)	321%	618	(4,448)	-720%

Revenues
 (\$ thousands)

Expenses
 (\$ thousands)

Revenue

- Water Delivery Revenue is \$76K (2%) higher than budget after a \$600K budget adjustment in May. This increase translates to 4,311 AF or 43% more than Plan. Deliveries exceed prior year by \$68K or 2,450 AF. The decrease in variable rates made the dollar revenue increase less than the delivery increase.
- Grants under Plan by \$224K. Grant for Fe & Mn treatment; revenue will not be earned until after construction in FY 2021-22.
- Fox Canyon revenues up \$215K (108%) over budget and \$213K (106%) over prior year. This is a combination of a half-year rate increase (Jan. 2021) and an additional 600 AF of pumping.
- Investment revenue is \$21K under budget and down \$53K compared to last year due to a \$19K GAAP market value adjustment to LAIF this year and an 84% lower rate of return than last year.
- Total revenue under budget by \$3.2M due to financing receipts of \$3.4M not being realized.

Expenses

- Maintenance - S&I under budget by \$54K and Equipment under by \$67K as the maintenance was not required this FY.
- Professional fees \$118K under budget due primarily to a budget for infrastructure analysis and the EPA Risk Plan.
- Overhead costs \$62K under budget and \$74K below last FY. This is primarily due to the vacant AGM position and lower consulting costs culminating in lower overhead expenses.
- Transfers out \$4.6M more than the previous year due primarily to Fe & Mn treatment project.
- Salary costs \$82K and electrical costs \$174K over budget due to higher OH deliveries and additional time spent on OH pipeline plus higher /KWH rate charges. Utilities \$402K over last FY.
- 2001/2005 bond premium & interest costs under budget by \$235K, in addition to being \$84K less than the previous year.
- Capital Outlays were \$88K under budget mainly due to OH equipment being delayed for purchase in the new fiscal year and \$135K lower compared to last year. This is primarily due to \$99K for rehab work on OH well #8 last FY.

Fund Balance

The final fund balance at the end of FY 20-21 is \$2.8M.

The District's reserve policy requires a \$1.1M undesignated balance for this fund. The fund balance over the requirement will be used to reduce the amount of external financing that will be needed to complete the large capital improvement projects in FY 21-22 including the Iron & Manganese treatment facility.

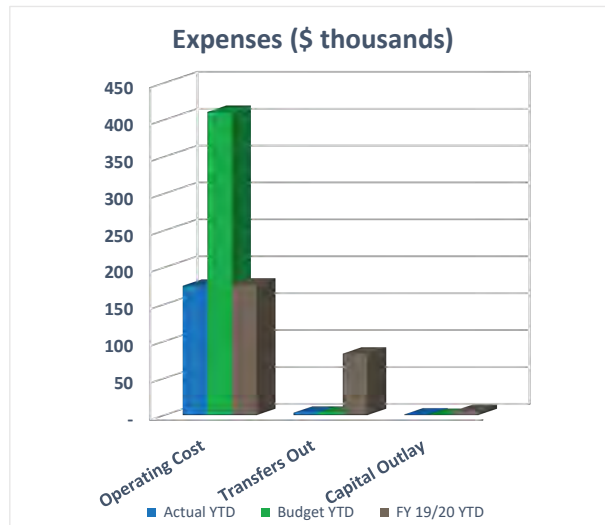
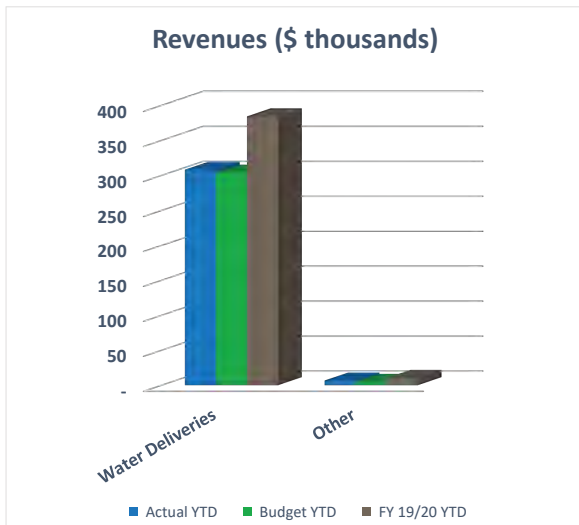
FY 2020-21 Fourth Quarter Financial Review

July 1, 2020 through June 30, 2021

100% of Fiscal Year Completed

Pleasant Valley Pipeline Fund

in \$ thousands	CY Actuals	CY Revised Budget	Variance	% Variance	PY Actuals	Variance	% Variance
Revenues							
Water Delivery	308	304	4	1%	384	(76)	-20%
Earnings on Investments	0	4	(4)	-92%	11	(11)	-97%
Other	132	5	127	2696%	10	122	1167%
Total Revenues	441	313	128	41%	406	35	9%
Expenses							
Personnel Costs	71	46	25	54%	49	22	44%
Operating Expenditures	104	365	(261)	-71%	127	(23)	-18%
Capital Outlay	1	0	1	0%	5	(5)	-85%
Transfers out	3	3	0	0%	83	(80)	-96%
Total Expenses	179	414	(235)	-57%	265	(86)	-32%
Net Surplus / (Shortfall)	262	(101)	363	-358%	140	121	86%



Revenue

- Water delivery was \$4K (3,171 AF) higher than Plan. Increase in water deliveries were primarily due to SFD water releases, which provided surface water to the pipeline. Water releases subsided mid-November 2020. Deliveries revenue less than prior year by \$76K due to lower fixed rate.
- Other Revenue favorable primarily from a Transfer in of \$125K due to completion of Invasive Species CIP Project.

Expenses

- Operating expenditures under Budget by \$261K this fiscal year and down \$23K from prior year. Decrease is primarily due to \$225K budgeted for PV reservoir maintenance which will be completed next fiscal year.
- Transfers out lower than last fiscal year by \$80K primarily due to the purchase of new headquarters in the prior FY.
- Personnel costs up \$25K over Plan and up \$22K from prior year mainly due to the ramp up for water deliveries in FY 2021-22. Postponed maintenance resulted in a higher allocation of staff time to perform the necessary maintenance and repairs to facilitate those water deliveries.

Fund Balance

- The ending working capital for the fund was approximately \$602K, which was higher than the projected ending balance. Purchase orders of \$29K will be carried forward into FY 21-22, resulting in a fund balance of approximately \$573K.
- The District's calculated reserve policy requires a \$251K undesignated balance for this fund which was met.

FY 2020-21 Fourth Quarter Financial Review

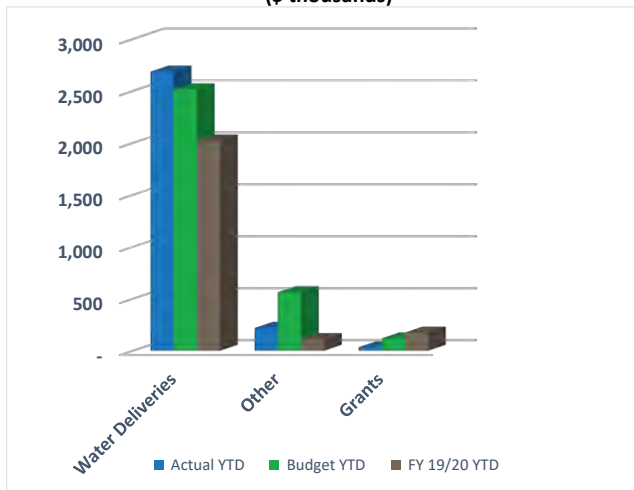
July 1, 2020 through June 30, 2021

100% of Fiscal Year Completed

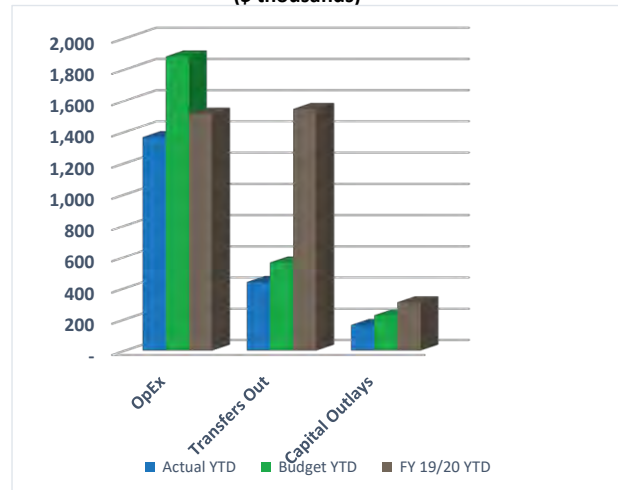
Pumping Trough Pipeline Fund

in \$ thousands	CY Actuals	CY Revised Budget	Variance	% Variance	PY Actuals	Variance	% Variance
Revenues							
Water Delivery	2,684	2,514	170	7%	2,022	663	33%
Earnings on Investments	3	11	(7)	-68%	22	(19)	-85%
Grants	32	118	(86)	-73%	172	(141)	0%
Other	214	551	(337)	-61%	89	125	140%
Total Revenues	2,933	3,193	(260)	-8%	2,305	628	27%
Expenses							
Personnel Costs	375	377	(2)	-1%	411	(36)	-9%
Operating Expenditures	990	1,505	(515)	-34%	1,105	(115)	-10%
Capital Outlay	163	225	(62)	-28%	308	(146)	-47%
Transfers out	438	563	(125)	-22%	1,546	(1,108)	-72%
Total Expenses	1,966	2,671	(704)	-26%	3,370	(1,404)	-42%
Net Surplus / (Shortfall)	967	522	444	85%	(1,065)	2,032	-191%

Revenues
(\$ thousands)



Expenses
(\$ thousands)



Revenue Status vs. Budget

- Revenue received through Q4 \$2.93M, down \$260K (8%)
- Revenue reduction primarily due to (\$434K) PTP Metering, Replace El Rio Trailer, Management CMMS and SCADA Hardware capital improvement projects not being realized
- Fox Canyon GMA charges were also \$51K lower than expected due to more surface water being delivered than pumped water
- Grant revenue for the PTP Metering project has only been partially received, which reduces the amount of revenue received in FY 2020-21 by \$86K
- Offsetting decrease were water deliveries, which were \$170K higher than Budget. 620 AF (10%) more water was delivered than Plan
- Transfers-in of \$145K from CIP fund to Enterprise fund also offset decrease in revenue, which were due to completed CIP projects

FY 2020-21 Fourth Quarter Financial Review

July 1, 2020 through June, 2021

100% of Fiscal Year Completed

Pumping Trough Pipeline Fund (Continued)

Revenue Status vs. Prior Year

- Current fiscal year revenues up \$628K (27%) compared to last fiscal year
- Primarily due an increase of \$663K (1,190 AF) for water deliveries versus prior fiscal year
- Transfers-in during the current fiscal year are also higher by \$140K compared to last fiscal year, which was due to completed CIP projects
- Offsetting these increases was lower grant revenue (\$141K) for PTP Metering Project, and lower earnings on investments (\$19K) due to lower market rates in the current year

Expense Status vs. Budget

- Total expenditures \$1.97M, \$704K (26%) below Plan
- Primarily due to lower operating expenditures (\$482K) and transfers-out (\$125K) to capital improvement projects
- Electricity is \$146K under Budget due to considerable surface water deliveries (5,053 AF) in FY 2020-21, which resulted in less time running PTP wells
- Maintenance \$113K had a shortfall due to emergency funds not being needed, as well as less than projected maintenance on corroding PTP turnouts
- Fox Canyon expenses are below \$84K, which were also a result of increased surface water deliveries
- Capital outlay is \$62K lower than anticipated due to fewer than planned PTP isolation valves and VFD replacements
- General and administrative expenditures are less than expected \$47K due to vacant AGM position and lower overhead expenses
- Financing costs are \$59K under due to bond consolidation
- Interest payments for the New Headquarters and PTP Metering interfund loan \$39K lower than Budget

Expense Status vs. Prior Year

- Compared to last fiscal year, expenditures are lower by \$1.40M (42%)
- Primarily due a decrease in transfers-out \$1.11M for capital improvement projects in current fiscal year
- Capital outlay \$146K, operating expenditures \$62K and personnel cost \$36K were also lower in current fiscal versus prior fiscal

Fund Balance

- The ending working capital balance was approximately \$1.07M, which was higher than the projected ending balance. \$12K of purchase orders are planned to be carried forward to FY 2021-22, reducing the available balance to \$1.06M.
- The District's reserve policy requires an undesignated balance of between \$250K and \$300K for this fund, which was met



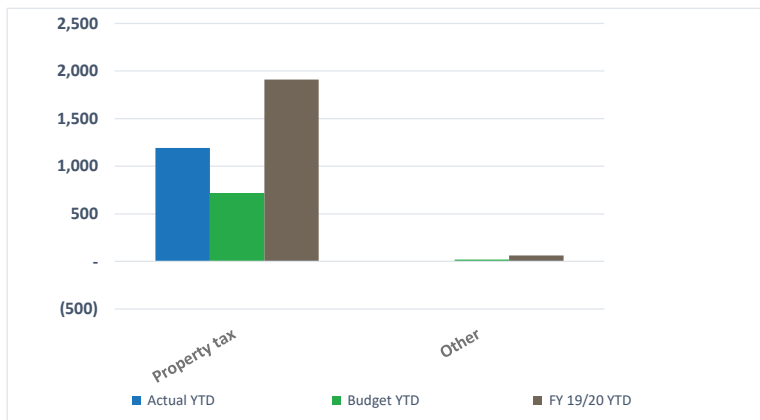
FY 2020-21 Fourth Quarter Financial Review
 July 1, 2020 through June 30, 2021

100% of Fiscal Year Completed

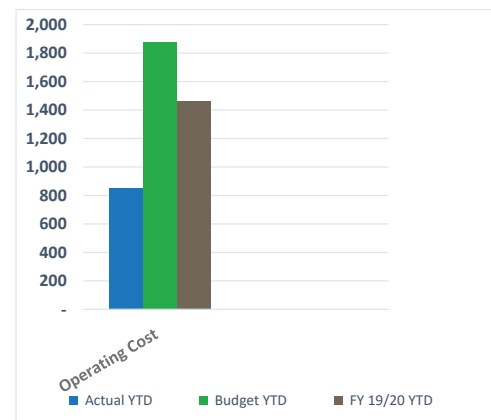
State Water Fund

in \$ thousands	CY Actuals	CY Revised Budget	Variance	% Variance	PY Actuals	Variance	% Variance
Revenues							
Water Delivery	0	0	0	0%	0	0	0%
Earnings on Investments	(0)	19	(19)	-101%	58	(58)	-100%
Other	1,191	719	472	66%	1,915	(724)	-38%
Total Revenues	1,191	738	454	61%	1,973	(782)	-40%
Expenses							
Personnel Costs	0	0	0	0%	0	0	0%
Operating Expenditures	851	1,876	(1,025)	-55%	1,462	(611)	-42%
Capital Outlay	0	0	0	0%	0	0	0%
Transfers out	0	0	0	0%	0	0	0%
Total Expenses	851	1,876	(1,025)	-55%	1,462	(611)	-42%
Net Surplus / (Shortfall)	341	(1,138)	1,479	-130%	512	(171)	-33%

Revenues
(\$ thousands)



Expenses
(\$ thousands)



Revenue

- Total revenues \$454K (62%) higher than budgeted.
- Property tax revenues \$472K (66%) over budget primarily due to passthrough and residual taxes not being budgeted for and secured taxes coming in 10% over budget. Revenue requested from State Water lower than previous year so as not to exceed the \$1.7M reserve maximum.
- Investment earnings through Q4 were \$19K under budget due to interest rates and \$15K for a GAAP market value adjustment. Including the GAAP adjustment there was a \$58K decrease compared to last year. This was due to an 84% lower rate of return on interest along with the GAAP adjustment.

Expenditures

- Total expenditures \$1M (55%) lower than budgeted. This is primarily due to water purchases of carryover water (\$825K) through 120 fund and no Table A water charges to 110 fund. Additionally, variable charges by DWR vary from one year to the next and are difficult to budget accurately.
- Compared to previous year, expenditures were lower by \$611K (42%). This is due to lower transportation costs in current fiscal year (\$607K).

Fund Balance

The fund balance at the end of FY 20-21 is approximately \$3.6M.

The entire fund balance is designated for the fixed and variable costs related to the District's State Water Project allocation of 5,000 AF per year, plus the allowable balance of Table A water that was not purchased in prior years (1,500 AF for FY 20-21).

FY 2020-21 Fourth Quarter Financial Review

July 1, 2020 through June 30, 2021

100% of Fiscal Year Completed

Overhead Fund

in \$ thousands	CY Actuals	CY Revised Budget	Variance	% Variance	PY Actuals	Variance	% Variance
Expenses							
Personnel Costs	2,922	3,179	(258)	-8%	2,991	(69)	-2%
Operating Expenditures	1,243	1,485	(242)	-16%	1,365	(123)	-9%
Capital Outlay	0	0	0	0%	56	(56)	0%
Total Expenses	4,164	4,664	(500)	-11%	4,412	(247)	-6%

Expenses

(\$ thousands)



Expenses

- Expenditures under budget by \$500K (11%).
- The largest savings were in Personnel due to the vacant Assistant General Manager position \$246K and Consulting services for Admin/Finance \$152K. Costs for Admin consulting under-utilized and financial advisory services also under-utilized.
- Compared to prior year, expenditures are lower by \$247K (6%). Savings primarily from Assistant General Manager position being vacant in the current year \$105K, Professional Fees \$35K and Maintenance costs \$48K under-utilized, Travel and Training \$21K due to covid restrictions, and no Capital Outlay costs in current year.

United Water Conservation District

CURRENTLY APPROPRIATED CAPITAL IMPROVEMENT PROJECT PLAN

FY 2020-21 Available Appropriations as of June 30, 2021

Project Description	Fund #	Proj #	1st Budget Year	1st Activity Date	Expected End Year	Class	Total Est Project Cost (000s)	Appropriations			Expended and Encumbered			% of Total Est Project costs spent to date	Remaining Appropriations FY 20-21
								Total Approp Approved thru FY 2019-20	Suppl Approp FY 20-21	Total Approp to Date	Total as of FY 2019-20	Current FY 20-21	Total to date		
Well Replacement Program Well #18	452	8000	2015-16	08/06/15	2021	I	1,590	875,705	714,429	1,590,134	632,134	558,693	1,190,827	74.89%	399,307
Freeman Diversion Rehab	421	8001	2009-10	03/23/11	2024	II&IV	73,966	8,376,614	589,294	8,965,908	4,231,103	1,746,594	5,977,696	8.08%	2,988,212
SFD Outlet Works Rehab		8002	2007-08	04/20/11	2025+	I&II	53,390	3,743,353	1,297,194	5,040,547	2,669,751	1,598,412	4,268,163	7.99%	772,384
SFD PMF Containment		8003	2008-09	06/22/09	2025+	II	42,520	3,861,136	1,052,369	4,913,505	2,606,665	1,692,037	4,298,702	10.11%	614,803
SFD Sediment Management		8005	2013-14	05/28/14	2022	II	175	94,954	-	94,954	60,341	4,411	64,752	37.00%	30,202
Lower River Invasive Species Control	471	8006	2015-16	08/06/15	2023	IV	640	865,517	(285,217)	580,300	179,414	5,160	184,575	28.84%	395,725
OHP Iron and Manganese Treatment	451	8007	2015-16	08/06/15	2022	III	9,655	1,140,777	4,039,157	5,179,934	1,015,364	205,349	1,220,713	12.64%	3,959,221
Ferro-Rose Recharge		8018	2006-07	03/23/07	2023	III	31,849	1,896,577	12,752	1,909,329	1,155,924	74,257	1,230,181	3.86%	679,148
Brackish Water Treatment		8019	2015-16	07/08/15	2025+	III	154,667	60,180	339,576	399,756	58,315	118,429	176,744	0.11%	223,012
Rice Ave Overpass PTP	471	8021	2016-17	08/17/18	2021	II	83	73,497	9,823	83,320	25,350	30,152	55,502	66.87%	27,818
PTP Turnout Metering System	471	8022	2016-17	03/10/17	2022	I	1,755	1,017,500	442,340	1,459,840	791,703	230,518	1,022,222	58.25%	437,618
Pothole Trailhead		8023	2016-17	02/14/17	2021	I	503	312,011	190,828	502,839	199,834	202,717	402,552	80.03%	100,287
State Water Interconnection Project		8025	2016-17	06/15/17	2022	II	559	303,121	5,616	308,737	187,920	5,779	193,699	34.65%	115,038
El Rio Trailer		8028	2019-20		2022	II	390	35,000	75,000	110,000	-	-	-	0.00%	110,000
Alternative Supply Alliance Pipeline		8030	2018-19	06/08/18	2021	II	362	361,578	-	361,578	35,261	2,896	38,156	10.54%	323,422
Grand Canal Modifications		8032	2018-19	06/30/19	2021	II	810	525,916	20,149	546,065	115,821	381,082	496,902	61.35%	49,163
Floc Building Emergency Generator	421	8033	2019-20		2021	II	78	75,000	3,416	78,416		17	17	0.02%	78,399
Lak Piru Campground Electrical Update		8034	2019-20		2023	I	673	65,800	7,624	73,424		-	-	0.00%	73,424
OH Booster Pump Overhaul	451	8035	2019-20	05/27/20	2020	I	280	280,000	-	280,000	66,703	150,200	216,903	77.47%	63,097
OH System Emergency Generator	451	8036	2020-21	12/18/20	2021	II	909	0	908,775	908,775		202,510	202,510	22.28%	706,265
Piru WTP Emergency Generator		8037	2020-21	05/20/21	2021	II	102	0	101,527	101,527		17	17	0.02%	101,510
PTP System Emergency Generator	471	8038	2019-20	08/01/19	2020	II	903	902,800	-	902,800	772,194	112,505	884,699	97.97%	18,101
Santa Paula Tower Emergency Generator		8039	2019-20	05/20/21	2021	II	66	60,800	4,727	65,527		17	17	0.03%	65,510
Asset Management / CMMS System		8041	2019-20	04/10/20	2022	-	263	30,000	82,780	112,780	463	10,811	11,273	4.29%	101,507
Recycled Water GW Replenishment/Reu	421	8042	2020-21	12/04/20	2024	III	8,714	0	519,380	519,380		1,681	1,681	0.02%	517,699
Lake Piru e-Kiosk		8045	2020-21		2021	II	106	0	105,500	105,500		-	-	0.00%	105,500
SCADA Hardware Update		8046	2020-21	11/20/20	2021	II	1,003	0	660,260	660,260		59,450	59,450	5.93%	600,810
TOTAL AMOUNT PER YEAR							386,011	24,957,836	10,897,299	35,855,135	14,804,260	7,393,693	22,197,953	5.75%	13,657,182
Class I = Infrastructure Repair or Replacement															
Class II = Structural/Hydraulic Improvement (no yield)															
Class III = Water Resource Improvement															
Class IV = ESA Improvement															

Current Benchmark Yields		
LAIF	June 30, 2021	0.33%
VC Invest Pool	May 31, 2021	0.30%
3mo Treasury Bills	June 30, 2021	0.05%

