



Board of Directors  
Lynn E. Maulhardt, President  
Catherine Keeling, Vice President  
Gordon Kimball, Secretary/Treasurer  
Keith Ford  
Mohammed Hasan  
Steve Huber  
Rachel Jones

General Manager  
Mauricio Guardado

Legal Counsel  
David D. Boyer

## **AGENDA REGULAR BOARD MEETING**

**Wednesday, July 8, 2026, 12:00 p.m.  
UWCD Headquarters, First Floor, Board Room  
1701 N. Lombard Street, Oxnard, CA 93030**

### **BOARD MATTERS**

*Normally, Action (Motion) Items will be considered and acted upon separately; Consent Items will be considered and acted upon collectively, although a Consent Item may be considered and acted upon separately; and Information Items will be considered separately without action. The Board of Directors in its discretion may change the order of agenda items.*

### **ROLL CALL**

#### **1. FIRST OPEN SESSION 12:00 P.M.**

##### **1.1 Public Comment**

Members of the public may address the Board on any matter on the Executive (Closed) Session agenda or on any non-agenda item within the jurisdiction of the Board. All comments are subject to a five-minute time limit.

##### **1.2 Executive (Closed) Session**

The Board will discuss matters outlined in the attached Executive (Closed) Session Agenda (Exhibit A).

#### **2. SECOND OPEN SESSION AND CALL TO ORDER 1:30 P.M.**

##### **2.1 Pledge of Allegiance**

##### **2.2 Public Comment**

Members of the public may address the Board on any item on the Consent Calendar or on any non-agenda item within the jurisdiction of the Board. No action will be taken by the Board on any non-agenda item. All comments are subject to a five-minute time limit.

##### **2.3 Approval of Agenda Motion**

##### **2.4 Oral Report Regarding Executive (Closed) Session Information Item**

Presented by District Legal Counsel David D. Boyer.

##### **2.5 Board Members' Activities Report Information Item**

Receive and file information regarding meeting participation provided by each of the Board Members through Monthly Activities (aka per diem) Reports.

**2.6 General Manager's Report Information Item**

The General Manager will present information on his activities of possible interest to the Board and that may have consequence to the District.

**3. CONSENT CALENDAR: All matters listed under the Consent Calendar are considered routine by the Board and will be enacted by one motion. There will be no separate discussion of these items unless a Board member pulls an item from the Calendar. Pulled items will be discussed and acted on separately by the Board. Members of the public who want to comment on a Consent Calendar item should do so under Public Comments. (ROLL CALL VOTE REQUIRED)**

**3.1 Approve the Minutes for June 10, 2026, Regular Meeting Motion**

Approve the minutes.

**3.2 Hydrologic Conditions Monthly Report Information Item**

Receive and file Monthly Hydrologic Conditions Report for the District.

**3.3 Investment Monthly Report (May 2026) Information Item**

Review the most current investment report for the month ending May 31, 2026.

**3.4 Adoption of Resolution No. 2026-17 Supporting ACWA's Vision for Our Water Future Motion**

Adopt Resolution No. 2026-17 expressing support for the Association of California Water Agencies (ACWA) Vision for Our Water Future and affirming the District's commitment to collaborating with ACWA, state and federal partners, and local agencies to advance reliable, affordable, and resilient water supplies.

**RESOLUTION NO. 2026-17**

**3.5 Consider Cancellation of August Board Meeting Motion**

As has been the District's tradition, the Board shall consider cancelling its regular August 2026 Board Meeting.

#### **4. MONTHLY REPORTS BY DEPARTMENT**

##### **4.1 Public Outreach and External Affairs Department Monthly Report Tara Mulally, Public Outreach and External Affairs Manager Information Item**

Summary report and presentation highlight key activities led by the Public Outreach and External Affairs Manager, including implementation of strategic communications plans, media and social media management, development of outreach materials, coordination of community events, stakeholder engagement, legislative and intergovernmental affairs, public education initiatives, brand and messaging oversight, and support for Board and staff outreach efforts.

##### **4.2 Engineering Department Monthly Report Robert Richardson, Engineering Manager Information Item**

Summary report and presentation on the monthly activities of the Engineering Department, including but not limited to water resources, planning efforts and department programs impacting the District such as project design and construction; dam safety; FERC license compliance; Freeman Diversion; recycled water; pipeline operations and various engineering analysis.

##### **4.3 Environmental Services Department Monthly Report Marissa Caringella, Environmental Services Manager Information Item**

Summary report and presentation cover the Environmental Services Department's key activities, including regulatory and environmental issues, monitoring programs, water releases and increased State Water imports, Freeman Diversion operations, FERC license compliance, Endangered Species Act actions, CEQA and NEPA compliance, and support for environmental and cultural permitting for District projects.

##### **4.4 Operations and Maintenance Department Monthly Report Craig Morgan, Chief Operations Officer Information Item**

Summary report and presentation provide an overview of activities across the District's facilities, including Santa Felicia Dam and hydroplant, Piru, Saticoy, and El Rio Groundwater Recharge facilities, Freeman Diversion, Pleasant Valley and Pumping Trough Pipeline systems, and the Oxnard Hueneme Pipeline system. It covers operational plans, water diversion and delivery metrics, water quality, fish ladder operations, major maintenance and repairs, project updates, and safety and training matters.

**4.5 Recreation Department Monthly Report  
Bernard Riedel Jr., Senior Park Ranger  
Information Item**

Summary report and presentation on monthly activities of the Recreation Department, including but not limited to the Lake Piru Recreation Area, encompassing camping and boating policies at the lake; operations and activities; financing and status of facility improvement projects; maintenance activities; security issues; and emergency response activities.

**4.6 Water Resources Department Monthly Report and Update on  
Activities of Local Groundwater Sustainability Agencies (GSAs)  
Bram Sercu, Water Resources Supervisor  
Information Item**

Summary report and presentation summarize key activities of the Water Resources Department, including groundwater modeling updates, reservoir releases, State Water Project imports, support for diversion and recharge operations, groundwater condition assessments, contributions to water supply and sustainability projects, and outreach efforts. It also highlights engagement with Groundwater Sustainability Agencies, advisory committees, and other regional stakeholders.

**4.7 Administrative Services Department Monthly Report  
Josh Perez, Chief Human Resources Officer and Brian Zahn, Chief  
Financial Officer  
Information Item**

Summary report and presentation outline key activities of the Administrative Services Department, including budget development, financial performance, accounting procedures, potential debt issuance, and investment updates. It also covers capital improvement programs, human resources and safety, property and facility management, records administration, groundwater extraction statements, risk management, contract oversight, policy and governance matters, and support for Board and staff operations.

**5. MOTION ITEMS**

**5.1 Adopt a Resolution to Approve the Updated Expense and  
Compensable Activity Policy – Board Members and District  
Executives  
Motion**

Adopt a Resolution to approve the update to Section 10 of the Expense and Compensable Activity Policy – Board Members and District Employees within the FY 2025-26 Financial Policies to clarify that virtual attendance via Zoom or Teams does not qualify for compensation unless approved in advance by the President of the Board or when the meeting is designated as virtual-only, or when the Board member is serving as a representative to an approved standing committee identified on the annually adopted Roster

of Committees.

**RESOLUTION NO. 2026-09**

**5.2 Adopt a Resolution Authorizing and Delegating Authority to the General Manager to Execute State Water Project Purchase Agreements During Calendar Year 2026 up to a Combined Total Expenditure of \$1 Million**

**Motion**

Adopt a Resolution authorizing and delegating authority to the General Manager to execute State Water Project purchase agreements during the calendar year 2026 up to a combined total expenditure of \$1 million.

**RESOLUTION NO. 2026-18**

**5.3 Adopt a Resolution for Adopting the Proposed Conflict-of-Interest Policy and Proposed Changes to the Procurement Policy for the District**

**Motion**

Adopt a resolution adopting the proposed Financial Policy entitled “Conflict-of-Interest” along with changes to the “Procurement Policy” and to incorporate the policies into the United Water Conservation District’s Financial Policies and Procedures Manual.

**RESOLUTION NO. 2026-08**

**5.4 Consider Approval of Board Letter in Support of State Funding for the Santa Felicia Dam Safety Improvement Project**

**Motion**

Approve the Board letter addressed to Senate President pro Tempore Monique Limón supporting state funding for the Santa Felicia Dam Safety Improvement Project and authorize the Board of Directors to sign the letter.

**5.5 Authorize Amendment No. 2 to the Professional Consulting Services Agreement with GEI Consultants, Inc. for the Bid Document Preparation and Bid Phase Support Services for the Santa Felicia Dam Outlet Works Improvement Project (CIP 8002)**

**Motion**

Authorize the General Manager to execute Amendment No. 2 to the Professional Consulting Services Agreement with GEI Consultants, Inc. for the Bid Document Preparation and Bid Phase Support Services for the Santa Felicia dam Outlet Works Improvement Project (CIP 8002).

**5.6 Authorize Amendment No. 3 to the Professional Consulting Services Agreement with Black and Veatch Corporation for Construction Management and Inspection Services for the Santa Felicia Dam Safety Improvement Project – Outlet Works (CIP 8002)**

**Motion**

Authorize the General Manager to execute Amendment No. 3 to the Professional Consulting Services with Black and Veatch Corporation (BV) on an annual basis, beginning with the award of the Contract for Fiscal Year 2026-27 to BV to provide construction management and inspection services, and preconstruction contract documents for the Santa Felicia Dam Safety Improvement Project – Outlet Works Improvement Project (CIP 8002).

**5.7 Authorize Change Order No. 1 to the Purchase Order – Contractor Work Agreement with Ghazal Construction, Inc. for the Repair of the Lake Piru Recreation Area Lower Olive Grove Restroom**

**Motion**

Authorize the General Manager to execute Change Order No. 1 to the Purchase Order – Contractor Work Agreement with Ghazal Construction, Inc. for the additional work due to unforeseen site conditions during the repair of the Lake Piru Recreation Area Lower Olive Grove Restroom.

**5.8 Approval to Write-Off an Uncollectable Balance of Nava Enterprises**

**Motion**

Approve a write-off of Nava Enterprises' uncollectable balance in the amount of \$30,476.92.

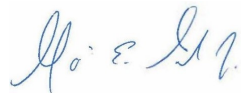
**6. FUTURE AGENDA ITEMS**

**7. ADJOURNMENT**

*All testimony given before the Board of Directors is recorded. Materials, which are non-exempt public records and are provided to the Board of Directors to be used in consideration of the above agenda items, including any documents provided subsequent to the publishing of this agenda, are available for inspection at the District's offices at 1701 N. Lombard Street, Suite 200, Oxnard CA 93030 during normal business hours.*

*The Americans with Disabilities Act provides that no qualified individual with a disability shall be excluded from participation in, or denied the benefits of, the District's services, programs or activities because of any disability. If you need special assistance to participate in this meeting, or if you require agenda materials in an alternative format, please contact the District Office at (805) 525- 4431. Notification of at least 48 hours prior to the meeting will enable the District to make appropriate arrangements.*

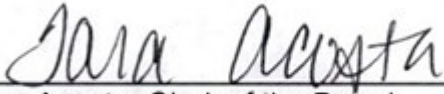
Approved: \_\_\_\_\_



Mauricio Guardado, General Manager

UWCD Board of Directors Meeting Agenda  
July 8, 2026  
Page 7

This agenda was posted Thursday, July 2, 2026, at 5:30 p.m. at the United Water Conservation District Headquarters, Oxnard, CA and [www.unitedwater.org](http://www.unitedwater.org).

A handwritten signature in black ink that reads "Tara Acosta". The signature is written in a cursive style and is positioned above a horizontal line.

Tara Acosta, Clerk of the Board

**EXHIBIT A**  
**EXECUTIVE (CLOSED) SESSION AGENDA**

**1. LITIGATION**

**1.1 CONFERENCE WITH LEGAL COUNSEL – ANTICIPATED LITIGATION**

Significant exposure to litigation pursuant to Government Code section 54956.9(d)(2): one potential case. Existing facts and circumstances consist of receipt of a Government Claims Act claim pursuant to Government Code section 54956.9(e)(3), which is available for public inspection pursuant to Government Code section 54957.5

**1.2 CONFERENCE WITH LEGAL COUNSEL – EXISTING LITIGATION**

Pursuant to Government Code Section 54956.9 (d)(1) (four matters).

- A. Wishtoyo Foundation, et al v. United Water Conservation District, U.S. District Court for the Central District of California, Case No.2:16-cv-03869 GHK (PLAx).
  
- B. OPV Coalition v Fox Canyon Groundwater Management Agency, Superior Court of the State of California, County of Ventura, Case No. 56-2021-00555357-CU-PT-VTA; Complaint for Comprehensive Groundwater Adjudication of the Oxnard Groundwater Subbasin (No. 4-004.02) and Pleasant Valley Subbasin (No. 4-006) Pursuant to Sections 830, *Et Seq.* of the Code of Civil Procedure; Declaratory Relief; Quiet Title; and Petition for Writs of Mandate.
  
- C. United Water Conservation District v. California Fish and Game Commission, a California Public Agency, Superior Court of California, Los Angeles County (Central District), Case No. 25STCP01671; Petition for Writ of Mandate challenging the Commission’s determination to list O’mykiss as endangered under the California Endangered Species Act.

**1.3 CONFERENCE WITH REAL PROPERTY NEGOTIATORS**

Property/Properties:

- APN 016-020-0050
- APN 016-020-0080
- APN 016-021-0040
- A portion of APN 016-021-0020 (62.5 acres)

A total of 1354.5 acres

Agency Negotiators: United Water Conservation District; District Real Property Negotiators: Mauricio Guardado, General Manager and Maryam Bral, Assistant General Manager

Negotiating Parties: United Water Conservation District and Rancho Temescal

Under Negotiation: Instruction to Negotiators will concern both price and terms of payment.



## STAFF REPORT

**To:** UWCD Board of Directors

**Through:** Mauricio Guardado, General Manager

**From:** Tara Acosta, Executive Assistant/Clerk of the Board

**Date:** June 29, 2026 (July 8, 2026, meeting)

**Agenda Item:** 2.5 **Board Members' Activities Reports**  
**Information item**

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### **Recommendation:**

Receive and file information regarding meeting participation provided by each of the Board of Directors through monthly activities reports (per diems).

### **Discussion:**

This item is provided on the agenda of each regular meeting in order to allow Directors to report on non-agenda activities such as:

1. UWCD Committee Participation – Committee Chair to report on Committee's objectives and actions to the Board.
2. Meetings, workshops, conferences, and functions attended during the previous month on behalf of the District.
3. Possible conflicts the Directors might have with respect to issues on the agenda.

### **Attachment(s):**

Director's Monthly Activity Reports

**Board of Directors**  
**Activities and Expenses for Month** 5 **Year** 26

Due on last day of month

Director: Mohammed Hasan, P.E.

<b>1. UWCD Board Meetings</b> Regular, special or emergency meetings.			<b>Date</b>	<b>Mileage</b>
			5-13	12
<b>2. UWCD Committee/Advisory Body Meetings</b> Environmental, Executive, Finance/Audit, Groundwater, Operations, Planning, Recreation and RiverPark JPA Committees.	<b>Committee Name &amp; Location</b>		<b>Date</b>	<b>Mileage</b>
<b>3. Meeting with GM or District Legal Counsel (LC)</b>	<b>W/ GM or LC</b>	<b>Meeting Description &amp; Location</b>	<b>Date</b>	<b>Mileage</b>
<b>4. Conferences/Trainings.</b> Includes conferences or educational activities organized by ACWA, AWAVC & CSDA.	<b>Event Name &amp; Location</b>		<b>Date</b>	<b>Mileage</b>
	ACWA Sacramento		5-4,5,6 and 7	795
<b>5. Appointed representative to meetings of other entities' Boards.</b> Includes FCGMA, LAFCO, RiverPark JPA, AWAVC BoD, Oxnard Chamber of Commerce Water Committee, ACWA, CSDA and GSA. Or preparatory meetings with GM regarding above meetings.	<b>Entity Name &amp; Location</b>		<b>Date</b>	<b>Mileage</b>
	AWA water issues		5-19	-
	Ventura Water Comm.		5-18	15
	LAFCO Ventura		5-20	3
	AWA waterwise		5-21	-
<b>6. Meetings of other government entities at request of BoD, BP or GM.</b> Such as PVCWD, FCGMA or Oxnard City Council.	<b>Entity Name &amp; Location</b>		<b>Date</b>	<b>Mileage</b>
<b>7. Meetings with board members or executive management of other agencies.</b> Includes FCGMA, LAFCO, RiverPark JPA, AWAVC BoD, Oxnard Chamber of Commerce Water Committee, ACWA, CSDA, GSA.	<b>Entity Name &amp; Location</b>		<b>Date</b>	<b>Mileage</b>
<b>8. Public meetings hosted by District regarding District matters</b> Such as Section 10 HCP, Vern Freeman Fish Panel.	<b>Meeting Description &amp; Location</b>		<b>Date</b>	<b>Mileage</b>

**Board of Directors**  
**Per Diem and Expenses for Month 5 Year 26**

Due on last day of month

9. Meetings with state or federal legislators or officials or representatives from other entities.  At the request of the BoD, BP or GM.	Official Name/Meeting Description & Location	Date	Mileage	

Other Expenses	Total
Days of out of town travel	4
Lodging*	\$
Meals*	\$
Transportation*	\$
Misc.*	\$

\* attach all receipts

This section to be completed by Finance Department only			
Phone Allowance			\$50.00
Total # of meetings**	9	x \$260	\$ 2,340.00
**not to exceed 10 meetings and \$2,600. per month or 1 meeting per day			
Total days of travel	4	x \$125.00/day	500.00
Total # of miles	825	x \$0.725/mile	\$598.12
Total other expenses			\$
<b>TOTAL MILEAGE AND OTHER EXPENSES</b>			<b>\$ 3,488.12</b>

Director Signature

*Mohammed Hassan* Date: 6-13-26

General Manager Signature

*[Signature]* Date: 6/17/26

Definitions

BoD: Board of Directors

BP: Board President

GM: General Manager

Activities and Expenses for Month May Year 2026

Director: Ford

<b>1. UWCD Board Meetings</b> Regular, special or emergency meetings.			Date	Mileage
			5-13-26	<del>0</del>
<b>2. UWCD Committee/Advisory Body Meetings</b> Environmental, Executive, Finance/Audit, Groundwater, Operations, Planning, Recreation and RiverPark JPA Committees.	Committee Name & Location	Date	Mileage	
<b>3. Meeting with GM or District Legal Counsel (LC)</b>	W/ GM or LC	Meeting Description & Location	Date	Mileage
<b>4. Conferences/Trainings.</b> Includes conferences or educational activities organized by ACWA, AWAVC & CSDA.	Event Name & Location	Date	Mileage	
<b>5. Appointed representative to meetings of other entities' Boards.</b> Includes FCGMA, LAFCO, RiverPark JPA, AWAVC BoD, Oxnard Chamber of Commerce Water Committee, ACWA, CSDA and GSA. Or preparatory meetings with GM regarding above meetings.	Entity Name & Location	Date	Mileage	
<b>6. Meetings of other government entities at request of BoD, BP or GM.</b> Such as PVCWD, FCGMA or Oxnard City Council.	Entity Name & Location	Date	Mileage	
<b>7. Meetings with board members or executive management of other agencies.</b> Includes FCGMA, LAFCO, RiverPark JPA, AWAVC BoD, Oxnard Chamber of Commerce Water Committee, ACWA, CSDA, GSA.	Entity Name & Location	Date	Mileage	
	FCGMA	5-26-26	<del>0</del>	
<b>8. Public meetings hosted by District regarding District matters</b> Such as Section 10 HCP, Vern Freeman Fish Panel.	Meeting Description & Location	Date	Mileage	

**Board of Directors**  
**Per Diem and Expenses for Month May Year 26**

Due on last day of month

9. Meetings with state or federal legislators or officials or representatives from other entities.  At the request of the BoD, BP or GM.	Official Name/Meeting Description & Location	Date	Mileage

Other Expenses	Total
Days of out of town travel	
Lodging*	\$
Meals*	\$
Transportation*	\$
Misc.*	\$

\* attach all receipts

This section to be completed by Finance Department only			
Phone Allowance			\$50.00
Total # of meetings**	2	x \$260	\$ 520.00
**not to exceed 10 meetings and \$2,600. per month or 1 meeting per day			
Total days of travel		x \$125.00/day	—
Total # of miles		x \$0.725/mile	\$ —
Total other expenses			\$ —
<b>TOTAL MILEAGE AND OTHER EXPENSES</b>			<b>\$ 570.00</b>

Director Signature

*[Handwritten Signature]*

Date: 6-4-26

General Manager Signature

*[Handwritten Signature]*

Date: 6/8

**Definitions**

- BoD: Board of Directors
- BP: Board President
- GM: General Manager

Board of Directors  
 Activities and Expenses for Month May Year 2026

Due on last day of month

Director: Rachel Jones

<b>1. UWCD Board Meetings</b> Regular, special or emergency meetings.			<b>Date</b>	<b>Mileage</b>
			5/13	5
<b>2. UWCD Committee/Advisory Body Meetings</b> Environmental, Executive, Finance/Audit, Groundwater, Operations, Planning, Recreation and RiverPark JPA Committees.	<b>Committee Name &amp; Location</b>		<b>Date</b>	<b>Mileage</b>
<b>3. Meeting with GM or District Legal Counsel (LC)</b>	<b>W/ GM or LC</b>	<b>Meeting Description &amp; Location</b>	<b>Date</b>	<b>Mileage</b>
<b>4. Conferences/Trainings.</b> Includes conferences or educational activities organized by ACWA, AWAVC & CSDA.	<b>Event Name &amp; Location</b>		<b>Date</b>	<b>Mileage</b>
	ACWA confrence		5/5 5/7	796
<b>5. Appointed representative</b> to meetings of other entities' Boards. Includes FCGMA, LAFCO, RiverPark JPA, AWAVC BoD, Oxnard Chamber of Commerce Water Committee, ACWA, CSDA and GSA. Or preparatory meetings with GM regarding above meetings.	<b>Entity Name &amp; Location</b>		<b>Date</b>	<b>Mileage</b>
<b>6. Meetings of other government entities at request of BoD, BP or GM.</b> Such as PVCWD, FCGMA or Oxnard City Council.	<b>Entity Name &amp; Location</b>		<b>Date</b>	<b>Mileage</b>
<b>7. Meetings with board members or executive management of other agencies.</b> Includes FCGMA, LAFCO, RiverPark JPA, AWAVC BoD, Oxnard Chamber of Commerce Water Committee, ACWA, CSDA, GSA.	<b>Entity Name &amp; Location</b>		<b>Date</b>	<b>Mileage</b>
<b>8. Public meetings hosted by District regarding District matters</b> Such as Section 10 HCP, Vern Freeman Fish Panel.	<b>Meeting Description &amp; Location</b>		<b>Date</b>	<b>Mileage</b>

Board of Directors  
Per Diem and Expenses for Month May Year 2026

Due on last day of month

9. Meetings with state or federal legislators or officials or representatives from other entities.  At the request of the BoD, BP or GM.	Official Name/Meeting Description & Location	Date	Mileage

Other Expenses	Total
Days of out of town travel	
Lodging*	\$
Meals*	\$
Transportation*	\$
Misc.*	\$

\* attach all receipts

This section to be completed by Finance Department only			
Phone Allowance		\$50.00	
Total # of meetings**	4	x \$260	\$1040
**not to exceed 10 meetings and \$2,600. per month or 1 meeting per day			
Total days of travel	3	x \$125.00/day	375
Total # of miles	796	x \$0.725/mile	\$ 577
Total other expenses			\$
<b>TOTAL MILEAGE AND OTHER EXPENSES</b>			<b>\$ 2042</b>

Director Signature

Date: 6/3/2026

General Manager Signature

Date: G/S

Definitions

BoD: Board of Directors

BP: Board President

GM: General Manager

**Board of Directors**  
**Activities and Expenses for Month** MAY **Year** 2026

Due on last day of month

Director: MAULHARDT

1. UWCD Board Meetings Regular, special or emergency meetings.			<b>Date</b>	<b>Mileage</b>
			5/13/26	2.1
2. UWCD Committee/Advisory Body Meetings Environmental, Executive, Finance/Audit, Groundwater, Operations, Planning, Recreation and RiverPark JPA Committees.	<b>Committee Name &amp; Location</b>		<b>Date</b>	<b>Mileage</b>
	EXECUTIVE COMM		5/11/26	2.1
3. Meeting with GM or District Legal Counsel (LC)	<b>W/ GM or LC</b>	<b>Meeting Description &amp; Location</b>	<b>Date</b>	<b>Mileage</b>
	GM	Phone Mtg GMA Recap	5/28/26	0
4. Conferences/Trainings. Includes conferences or educational activities organized by ACWA, AWAVC & CSDA.	<b>Event Name &amp; Location</b>		<b>Date</b>	<b>Mileage</b>
	FCGMA / UNITED PREP		5/26/26	2.1
5. <u>Appointed representative</u> to meetings of other entities' Boards. Includes FCGMA, LAFCO, RiverPark JPA, AWAVC BoD, Oxnard Chamber of Commerce Water Committee, ACWA, CSDA and GSA. Or preparatory meetings with GM regarding above meetings.	<b>Entity Name &amp; Location</b>		<b>Date</b>	<b>Mileage</b>
6. Meetings of other government entities at request of BoD, BP or GM. Such as PVCWD, FCGMA or Oxnard City Council.	<b>Entity Name &amp; Location</b>		<b>Date</b>	<b>Mileage</b>
	FCGMA BOARD MTG		5/27/26	13.6
7. Meetings with board members or executive management of other agencies. Includes FCGMA, LAFCO, RiverPark JPA, AWAVC BoD, Oxnard Chamber of Commerce Water Committee, ACWA, CSDA, GSA.	<b>Entity Name &amp; Location</b>		<b>Date</b>	<b>Mileage</b>
8. Public meetings hosted by District regarding District matters Such as Section 10 HCP, Vern Freeman Fish Panel.	<b>Meeting Description &amp; Location</b>		<b>Date</b>	<b>Mileage</b>
	Pothole Trail Opening		5/17/26	9.4

**Board of Directors**  
**Per Diem and Expenses for Month** MAY **Year** 2026


Due on last day of month


<b>9. Meetings with state or federal legislators or officials or representatives from other entities.</b>  At the request of the BoD, BP or GM.	Official Name/Meeting Description & Location	Date	Mileage

Other Expenses	Total
Days of out of town travel	0
Lodging*	\$
Meals*	\$
Transportation*	\$
Misc.*	\$

\* attach all receipts

This section to be completed by Finance Department only			
Phone Allowance			\$50.00
Total # of meetings**	6	x \$260	\$ 1560
**not to exceed 10 meetings and \$2,600 per month or 1 meeting per day			
Total days of travel		x \$125.00/day	
Total # of miles	113	x \$0.725/mile	\$ 81.92
Total other expenses			\$
<b>TOTAL MILEAGE AND OTHER EXPENSES</b>			\$ 1691.92

Director Signature  Date: 6/1/26

General Manager Signature  Date: 6/8

**Definitions**  
 BoD: Board of Directors  
 BP: Board President  
 GM: General Manager



## STAFF REPORT

**To:** UWCD Board of Directors

**Through:** Mauricio Guardado, General Manager

**From:** Tara Acosta, Executive Assistant/Clerk of the Board

**Date:** June 29, 2026 (July 8, 2026, meeting)

**Agenda Item:** 2.6 **General Manager's Report**  
**Information item**

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### **Recommendation:**

The General Manager will present information on his activities of possible interest to the Board and that may have consequences to the District.

### **Discussion:**

The General Manager's primary responsibility is to ensure that the policies and directions of the Board of Directors are adhered to as he oversees and manages the efforts of the department managers and their staff in the day-to-day operation and administration of the District. These efforts are to be consistent with the District's Mission Statement and within the fiscal constraints set by the Board of Directors.

The District's managers provide detailed monthly updates to the Board of Directors which outline projects' statuses, accomplishments, issues of concern, project planning, etc. The monthly General Manager's report provides an opportunity for the General Manager to discuss issues that may impact the efforts of the separate departments as they pursue their defined goals and objectives. The report also provides the Board with information on the District's efforts and involvement in local, regional and state-wide issues.

Finally, the monthly General Manager's report offers the Board of Directors an overview of how their policies and directions are being administered through discussion of the work plan and efforts of the General Manager.



**STAFF REPORT**

**To:** UWCD Board of Directors

**Through:** Mauricio Guardado, General Manager

**From:** Tara Acosta, Executive Assistant/Clerk of the Board

**Date:** June 29, 2026 (July 8, 2026, meeting)

**Agenda Item:** 3.1 **Approve the Minutes for the June 10, 2026 Regular Meeting**  
**Motion**

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**Recommendation:**  
Approve the minutes.

**Attachment(s):**  
June 10, 2026 Regular Meeting Minutes



Board of Directors  
Lynn Maulhardt, President  
Catherine Keeling, Vice President  
Gordon Kimball, Secretary/Treasurer  
Keith Ford  
Mohammed Hasan  
Steve Huber  
Rachel Jones

General Manager  
Mauricio Guardado

Legal Counsel  
David D. Boyer

## MINUTES REGULAR BOARD MEETING

Wednesday, June 10, 2026, 12:00 p.m.  
UWCD Headquarters, First Floor, Board Room  
1701 N. Lombard Street, Oxnard, CA 93030

### ROLL CALL

**Present:** Directors Ford, Hasan, Huber, Jones, Keeling, Kimball, and Maulhardt  
(*Note: Director Jones arrived at 12:10pm*)

**Absent:** None

#### 1. FIRST OPEN SESSION 12:00 P.M.

1.1 **Public Comment:** None.

1.2 **Executive (Closed) Session:** 12:01 p.m.  
The Board will discuss matters outlined in the attached Executive (Closed) Session Agenda (Exhibit A).

#### 2. SECOND OPEN SESSION AND CALL TO ORDER 1:05 P.M.

2.1 **Pledge of Allegiance:** Director Maulhardt

2.2 **Public Comment:**

Public Speakers:  
Don Brodt, Ventura County Taxpayers Association

2.3 **Approval of Agenda**

**Action:** M/S/C (Keeling/Huber) to approve the Agenda.

**Vote:** Ayes: Ford, Hasan, Huber, Jones, Keeling, Kimball, and Maulhardt; Absent: None; Noes: None.

2.4 **Oral Report Regarding Executive (Closed) Session**

District Legal Counsel David Boyer stated there was no reportable action.

2.5 **Board Members' Activities Report**

Received and filed.

**2.6 General Manager’s Report**

Received and filed.

The Grant Task Force presented a quarterly review. Received and filed.

**3. CONSENT CALENDAR**

**Action:** M/S/C (Huber/Ford) to approve the Consent Calendar.

**Vote:** Ayes: Ford, Hasan, Huber, Jones, Keeling, Kimball, and Maulhardt;  
Absent: None; Noes: None.

**3.1 Approve the Minutes for May 13, 2026, Regular Meeting**

Approved minutes.

**3.2 Hydrologic Conditions Monthly Report**

Received and filed.

**3.3 Investment Monthly Reports for April 2026**

Received and filed.

**3.4 Adopt Resolution No. 2026-10 Requesting the Board of Supervisors of the County of Ventura to Consolidate a Special District General Election**

Adopted Resolution No. 2026-10 requesting the Board of Supervisors of the County of Ventura to Consolidate a Special District General Election.

**RESOLUTION NO. 2026-10**

**3.5 Request a Waiver of Penalty and Interest Charges from Rastegar Enterprises in the Amount of \$5,290.87**

Approved the request from Rastegar Enterprises to waive penalty and interest charges of \$5,290.87 incurred from the billing period July 1, 2025, through December 31, 2025, from account numbers 800-03165 (\$2,327.89) and 800-03170 (\$2,962.98).

**3.6 Approval of Write-Off: Uncollectable Balance – Attorney Recovery Systems, Inc.**

Approved write-off of uncollectable balances associated with Attorney Recovery Systems, Inc. totaling \$34,751.82, following District financial policy.

**4. MONTHLY REPORTS BY DEPARTMENT**

**4.1 Engineering Department Monthly Report**

Assistant General Manager, Maryam Bral and Engineering Manager Robert Richardson gave a PowerPoint presentation; received and filed.

**4.2 Environmental Services Department Monthly Report**

Environmental Services Manager Marissa Caringella gave a PowerPoint presentation; received and filed.

**4.3 Operations and Maintenance Department Monthly Report**

Chief Operations Officer Craig Morgan gave a PowerPoint presentation; received and filed.

**4.4 Recreation Department Monthly Report**

Senior Park Ranger Bernard Riedel, Jr. gave a PowerPoint presentation; received and filed.

**4.5 Water Resources Department Monthly Report and Update on Activities of Local Groundwater Sustainability Agencies**

Water Resources Supervisor, Dr. Bram Sercu and Associate Hydrologist, Luke Bryden gave PowerPoint presentations; received and filed.

**4.6 Administrative Services Department Monthly Report**

Chief Financial Officer Brian Zahn and Tony Huynh, Risk and Safety Manager gave a PowerPoint presentation; received and filed.

President Maulhardt suggested taking a look at IT staffing to make sure we are staffed adequately based on the IT ticketing.

**4.7 Public Outreach and External Affairs Department Monthly Report**

Public Outreach and External Affairs Manager Tara Mullaly gave a PowerPoint presentation; received and filed.

**5. PUBLIC HEARING ITEMS**

**5.1 Conclusion of Annual Groundwater Hearing, Acceptance of Public Comment and Setting of 2026-2027 Zones and Extraction Charges.**

**Action:** M/S/C (Hasan/Keeling) to adopt Resolution 2026-15, A Resolution of the Board of Directors of United Water Conservation District Making Findings and Determinations from the Evidence Submitted Concerning the Groundwater Conditions of United Water Conservation District.

**Vote:** Ayes: Ford, Hasan, Huber, Jones, Keeling, Kimball, and Maulhardt; Noes: None; Absent: None

**Action:** M/S/C (Huber/Jones) to adopt Resolution 2026-16, A Resolution of the Board of Directors of United Water Conservation District Making Additional Findings and Determinations from the Evidence Submitted Concerning Groundwater Conditions of United Water Conservation District, Determining and Establishing Groundwater Extraction Charge Zones and Levying, Assessing and Fixing Groundwater Extraction Charges Against All Persons Operating Groundwater Producing Facilities Within Such Zones for the 2026-2027 Water Year.

**Vote:** Ayes: Ford, Hasan, Huber, Jones, Keeling, Kimball, and Maulhardt; Noes: None; Absent: None

**6. MOTION ITEMS**

**6.1 Review and Consider Resolution for the Proposed District Budget, Overhead Allocation Method, Staffing Levels, and Salary Schedules for Fiscal Year 2026-27, and Appropriation Carryovers from Fiscal Year 2025-26**

**Action:** M/S/C (Ford/Keeling) to adopt Resolution No. 2026-11 adopting the proposed District Budget, Overhead Allocation Method, Staffing Levels, and Salary Schedules for the Fiscal Year 2026-27, and Appropriation Carryovers from Fiscal Year 2025-26.

**Vote:** Ayes: Ford, Huber, Hasan, Jones, Keeling, Kimball, and

Maulhardt; Noes: None; Absent: None

**6.2 Review and Consider Resolution to Request the County Auditor-Controller to Compute and Affix a Tax Rate for the Fiscal Year 2026-27 Sufficient to Satisfy State Water Project Charges**

**Action:** M/S/C (Hasan/Kimball) to adopt Resolution No. 2026-12 requesting the County Auditor-Controller to compute and affix at tax rate for FY 2026-27 to provide approximately \$4,448,000 in property tax revenue sufficient to satisfy the portion of the voter approved debt for State Water Project Costs.

**Vote:** Ayes: Ford, Huber, Hasan, Jones, Keeling, Kimball, and Maulhardt; Noes: None; Absent: None

**6.3 Adopt Resolution No. 2026-13 for the Ordering of an Election to Authorize the Issuance of General Obligation Bonds.**

**Action:** M/S/C (Huber/Keeling) to adopt Resolution No. 2026-13 requesting the following actions: 1) To receive the General Obligation Bond Measure Report prepared by United Water Conservation District staff pursuant to California Water Code Sections 74800 through 74804, as presented and published in accordance with statutory requirements; and 2) Adopt a resolution to order an election to authorize the issuance of General Obligation Bonds totaling \$350 million, establishing specifications of the election order, and requesting consolidation with other elections occurring on November 3, 2026.

**Vote:** Ayes: Ford, Huber, Hasan, Jones, Keeling, Kimball, and Maulhardt; Noes: None; Absent: None

**6.4 Adopt Resolution No. 2026-14 Adopting the 2025 Urban Water Management Plan and the Water Shortage Contingency Plan**

**Action:** M/S/C (Hasan/Jones) to adopt Resolution No. 2026-14, formally adopting the 2025 Urban Water Management Plan and the Water Shortage Contingency Plan..

**Vote:** Ayes: Ford, Huber, Hasan, Jones, Keeling, Kimball, and Maulhardt; Noes: None; Absent: None

**8. FUTURE AGENDA ITEMS**

**9. ADJOURNMENT**

The meeting was adjourned at 3:05 p.m.

I certify that the above is a true and correct copy of the minutes of the UWCD Board of Directors meeting of June 10, 2026.

ATTEST: \_\_\_\_\_

Gordon Kimball, Board Secretary

ATTEST: \_\_\_\_\_

Tara Acosta, Clerk of the Board

**EXHIBIT A  
EXECUTIVE (CLOSED) SESSION AGENDA**

**1. LITIGATION**

**1.1 CONFERENCE WITH LEGAL COUNSEL – ANTICIPATED LITIGATION**

Pursuant to Government Code Section 54956.9(d)(2) and Government Code Section 54956.9(e)(1) (two matters).

**1.2 CONFERENCE WITH LEGAL COUNSEL – EXISTING LITIGATION**

Pursuant to Government Code Section 54956.9 (d)(1) (four matters).

- A. Wishtoyo Foundation, et al v. United Water Conservation District, U.S. District Court for the Central District of California, Case No.2:16-cv-03869 GHK (PLAx).
- B. OPV Coalition v Fox Canyon Groundwater Management Agency, Superior Court of the State of California, County of Ventura, Case No. 56-2021-00555357-CU-PT-VTA; Complaint for Comprehensive Groundwater Adjudication of the Oxnard Groundwater Subbasin (No. 4-004.02) and Pleasant Valley Subbasin (No. 4-006) Pursuant to Sections 830, *Et Seq.* of the Code of Civil Procedure; Declaratory Relief; Quiet Title; and Petition for Writs of Mandate.
- C. United Water Conservation District v. California Fish and Game Commission, a California Public Agency, Superior Court of California, Los Angeles County (Central District), Case No. 25STCP01671; Petition for Writ of Mandate challenging the Commission's determination to list O'mykiss as endangered under the California Endangered Species Act.

**1.3 PUBLIC EMPLOYEE PERFORMANCE EVALUATION**

Title: General Manager

Authority: Government Code Section 54957





# United Water

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## CONSERVATION DISTRICT

# REGULAR BOARD OF DIRECTORS MEETING

## June 10, 2026



### General Manager's Report

## Grant Task Force Quarterly Update



### Quarterly Activity Summary | March – May 2026

#### Active Grant Applications:

- **Submitted** - California State Parks' Division of Boating and Waterways– Boating Safety and Enforcement Equipment
  - District Ask: \$215,483
  - Submitted: April 24, 2026
- Grant: FEMA – Building Resilient Infrastructure and Communities
  - District Ask: \$20M
  - **Due:** June 5, 2026
- Grant: Department of War OLDCC – Defense Community Infrastructure Project
  - District Ask: \$10M
  - **Due:** June 25, 2026

#### Upcoming Opportunities:

- **Prop 4 Funding:**
  - Department of Water Resources – Dam Safety and Climate Resilience Grant
  - CalFire – Wildfire Prevention and Resilience Grant Funding
- Bureau of Reclamation – WaterSMART Funding





# Engineering Department Monthly Update

June 10, 2026



## Lake Piru Recreation Area Lower Olive Grove Restroom Repair

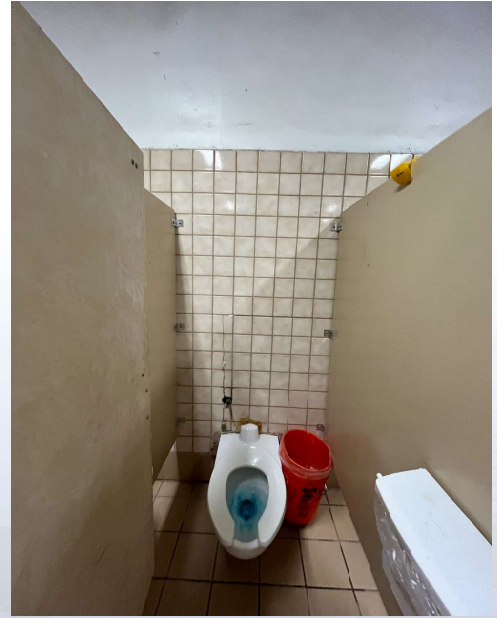
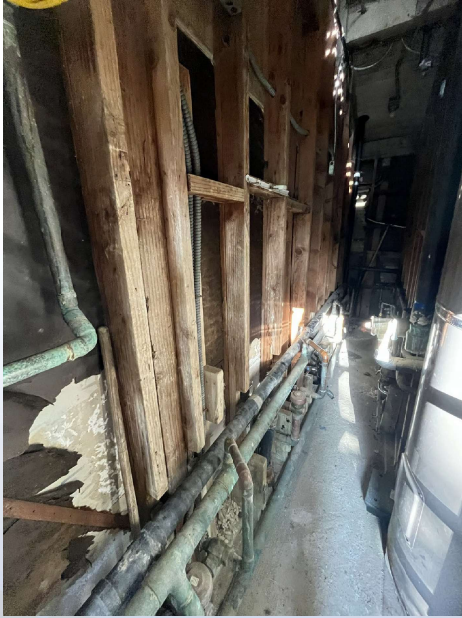


- Project Start – **April 11**
- Replaced:
  - Toilets and anchoring hardware (9 total)
  - Flush handles and flush valves
  - New copper piping in utility chase
  - Cast iron piping, P-traps, and drains (8 drains)
- Repaired and resurfaced concrete subgrade
- Applied Epoxy floor with decorative flake and protective topcoat
- Final Inspection – **June 3**



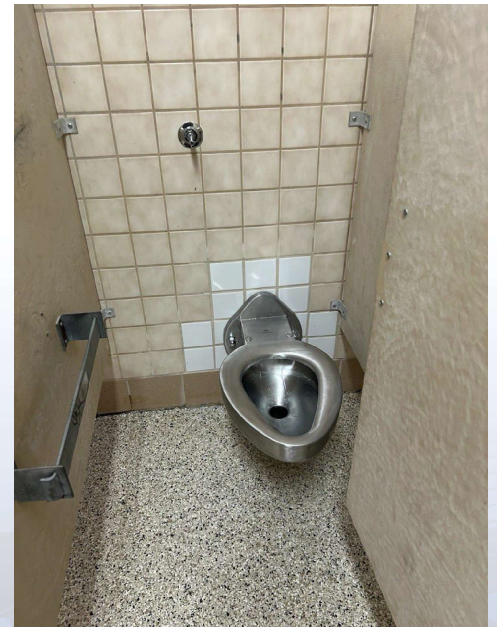


# Lake Piru Recreation Area Lower Olive Grove Restroom Repair



5

# Lake Piru Recreation Area Lower Olive Grove Restroom Repair



6



# Santa Felicia Dam SIP and Regulatory Compliance

- Annual DSOD Inspection on **May 28**
- BRIC grant application submitted by **June 5**
- Annual FERC Inspection planned on **Aug 27**

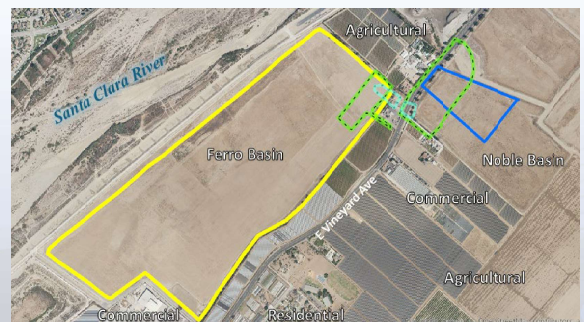
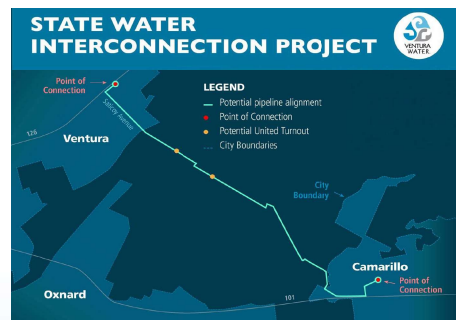


DSOD Inspection, May 28

# Vineyard Avenue Undercrossing Project



- Final Technical Package (Review) – **May 19**
- Tentative Schedule:
  - **June - August:** Construction Bidding
  - **September:** Construction Award
  - **September 26 - April 27:** Construction Admin
  - **May 27:** Notice to Proceed
  - **September 27:** Complete Construction
- State Water Interconnection Project Coordination





# Well 17 Rehabilitation

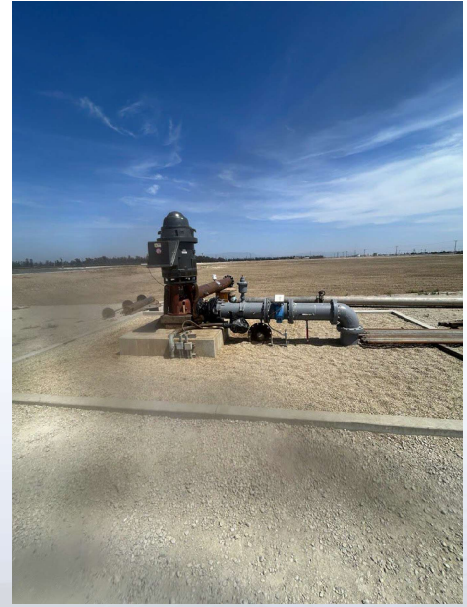
- **May 8:** Preliminary development and chemical treatment completed
- **May 21:** Focused intake pumping completed
- **June 3:** Test pump installation completed



May 21 1:40 – Start – 73 NTU  
160ft to 170ft



May 21 2:00 – End – 0.83 NTU  
160ft to 170ft

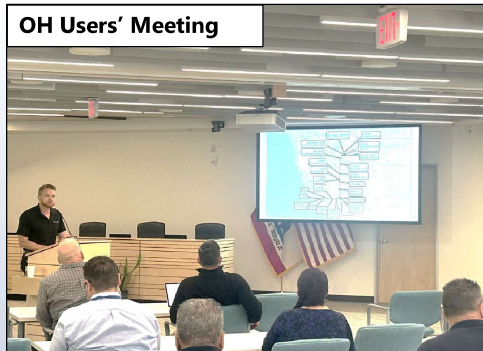


June 3 – 500hp motor & test pump

# OH & PTP Users' Meetings



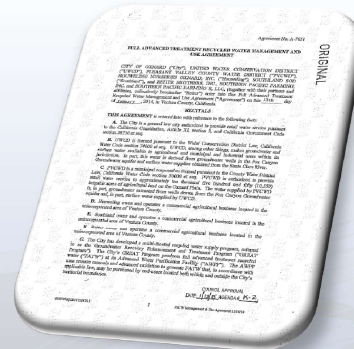
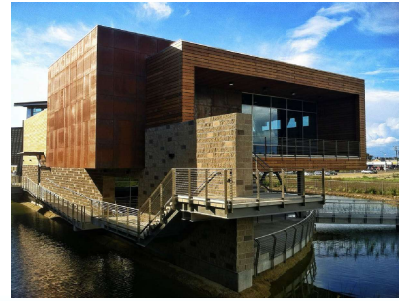
- **May 14 Engineering Presentations:**
  - Five-year CIP Plan (2026-27 to 2030-31) – Overview of Key Projects (OH & PTP)
  - Overview of current and future connections to the OH Pipeline
  - Recycled Water Updates (PTP)



# Recycled Water






- Status Updates:
  - AWPf Operations
  - Short-Term Recycled Water Delivery Testing
  - Full Advanced Treatment Recycled Water Management and User Agreement

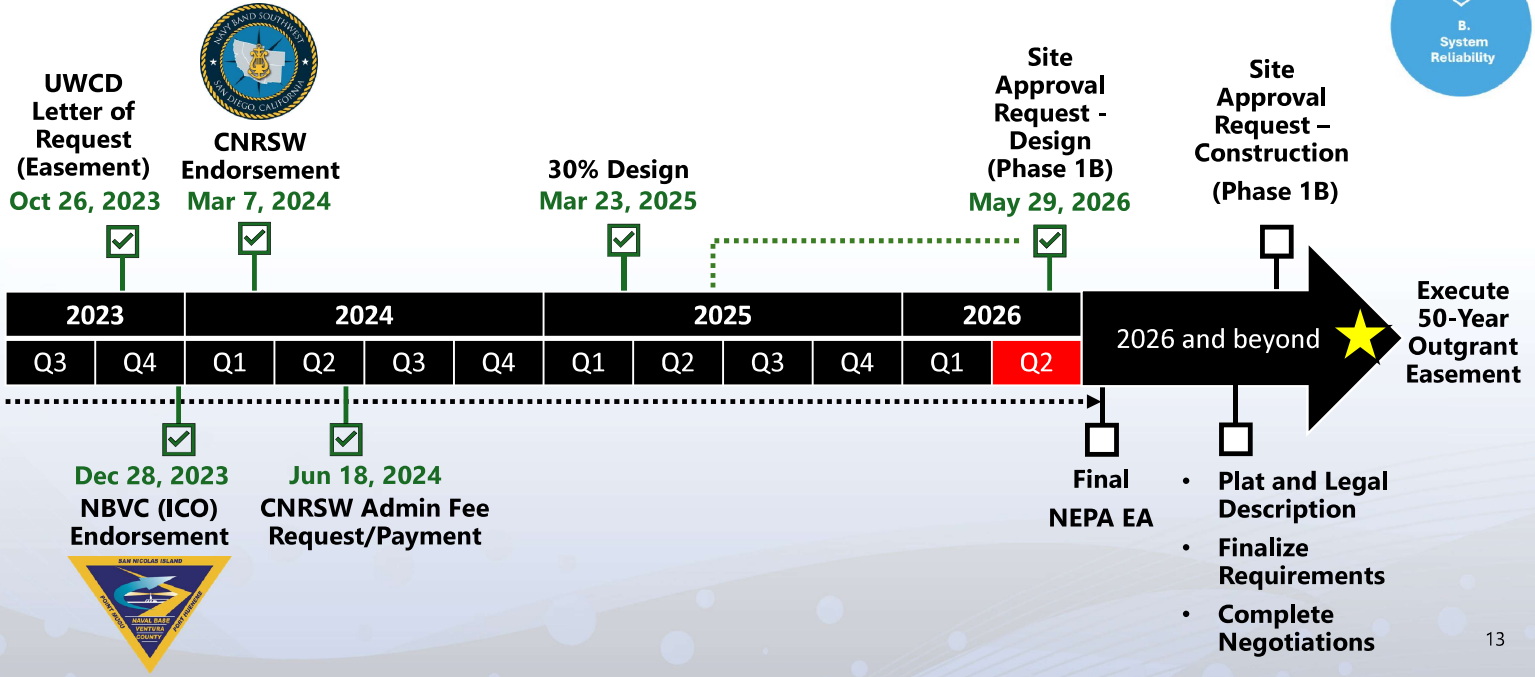


# Extraction Barrier Brackish Water Treatment (Phase I)



-  • Real Estate Acquisition Process
-  • DCIP Application due **June 25**
-  • Development of Monitoring and Reporting Plan
-  • Field activities (Potholing & Temporary Piezometer Wells)

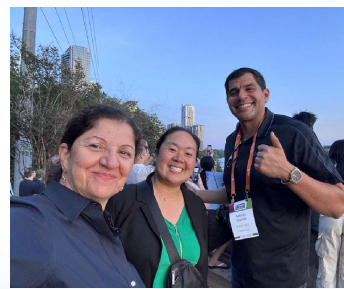
# Extraction Barrier Brackish Water Treatment (Phase I)



## Outreach



- USSD Conference between **May 4-6**
- SP Pumpers Association Meeting on **May 12**
- Society of American Military Engineers (SAME) Oxnard-Ventura Post - Business Opportunities/Sustaining Member Forum Presentation on **May 14**



USSD Conference, May 5



Society of American Military Engineers



Santa Paula Pumpers Association, May 12



**United Water**  
CONSERVATION DISTRICT



# Environmental Services Department Update

June 10, 2026



**United Water**  
CONSERVATION DISTRICT

# Updates

- Arroyo toad surveys to support increased SWP imports
- Tree mitigation for Park Office Replacement Project completed
- Presentation to Fillmore and Piru Basins GSA Board
- Agency coordination for Freeman Improved Denil permitting
- Smolt migration model manuscript to be submitted for publication
- Saticoy bird box success
- EBB quarterly monitoring well sampling completed

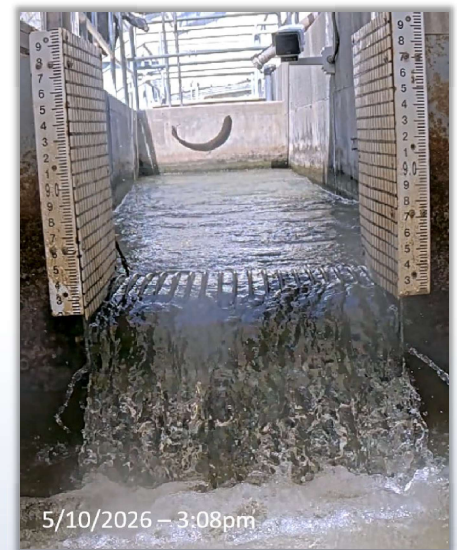
6/30/2026



17

# Freeman Fish Ladder

- End of migration season
- Eleven recordings of fish moving upstream in the ladder
- Snorkel surveys below Santa Felicia Dam



6/30/2026

18



# THANK YOU



6/10/2026

19



# Operations and Maintenance Department Update

June 10, 2026



**United Water**  
CONSERVATION DISTRICT



# Santa Felicia Dam and Lake Piru

## Lake Piru



# Lake Piru



# Lake Piru



# Lake Piru



# Lake Piru



# Lake Piru



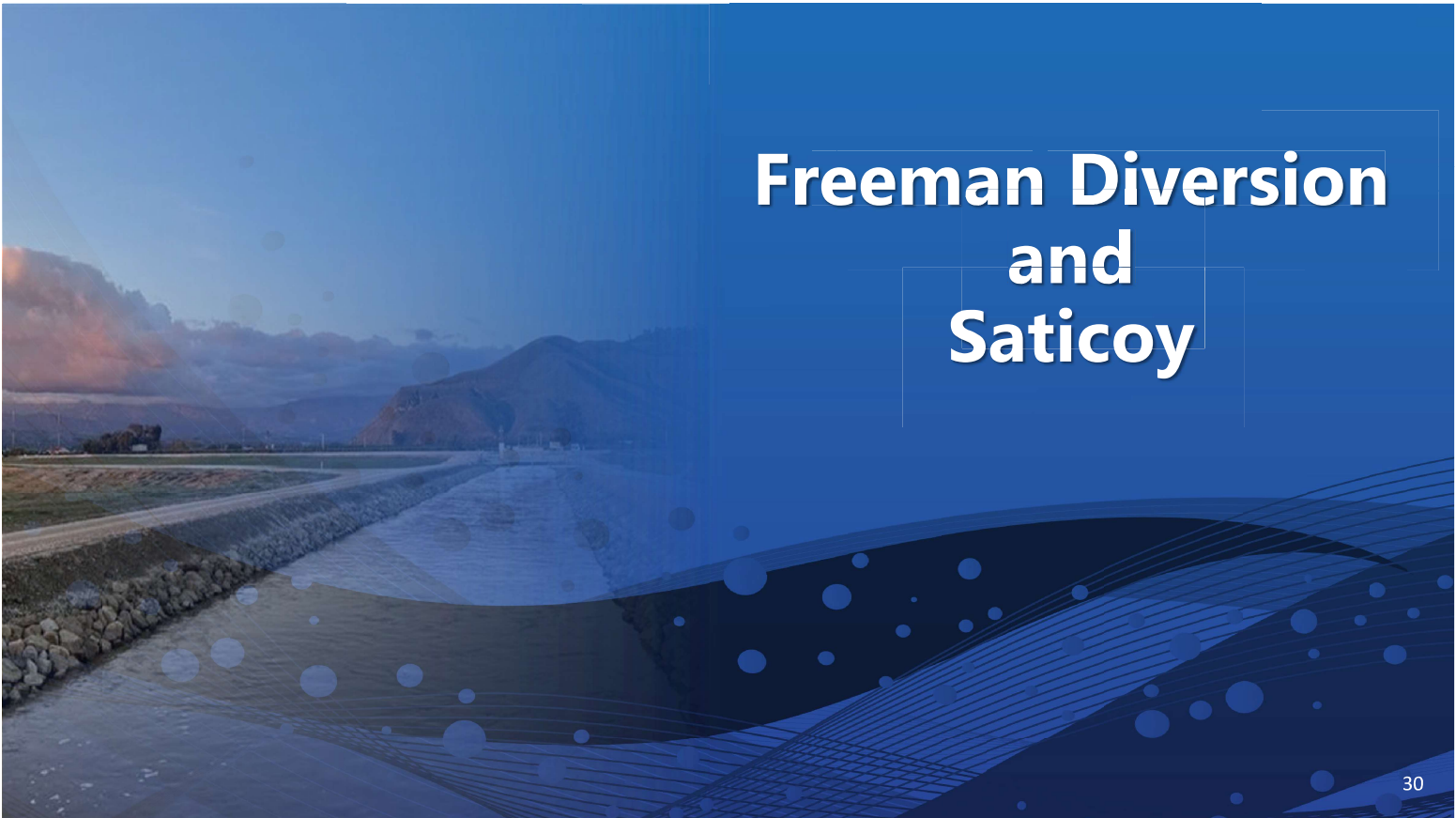
# East Road



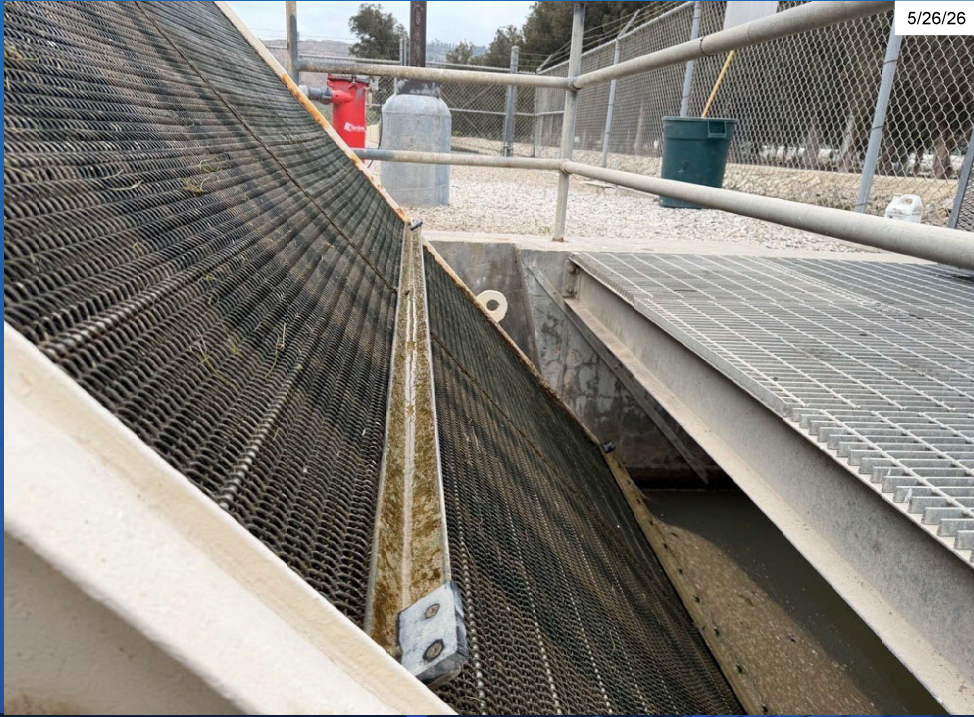
# East Road



# Freeman Diversion and Saticoy



# Moss Screen



# Moss Screen



# Moss Screen



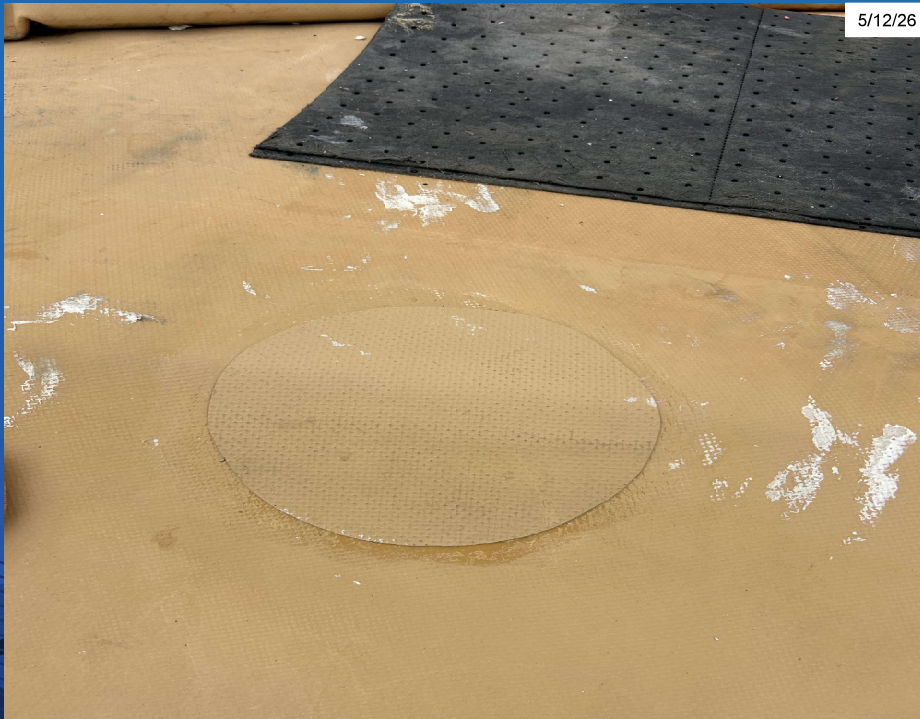
# El Rio



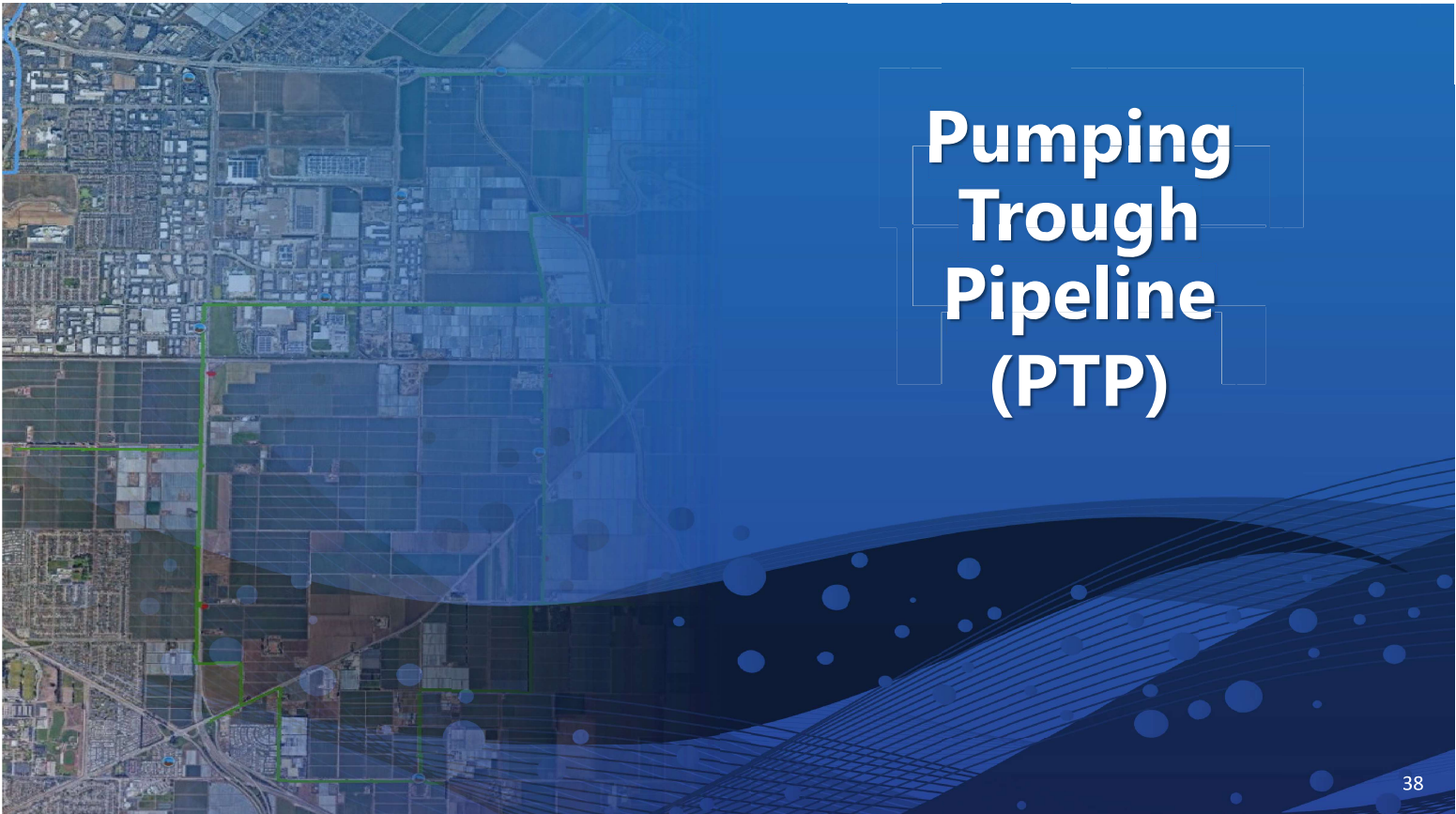
# El Rio



# El Rio



# El Rio



## Pumping Trough Pipeline (PTP)

# PTP



# PTP



# PTP

2/18/26



# PTP

5/21/26



# PTP



# PTP



# PTP



# PTP



# PTP



# Headquarters



# Headquarters



# Headquarters



# Headquarters



# Questions?



**United Water**  
CONSERVATION DISTRICT

# Recreation Monthly Update

Bernard Riedel, Jr.  
Senior Park Ranger

June 10, 2026



## Swim Beach – Juan Fernandez





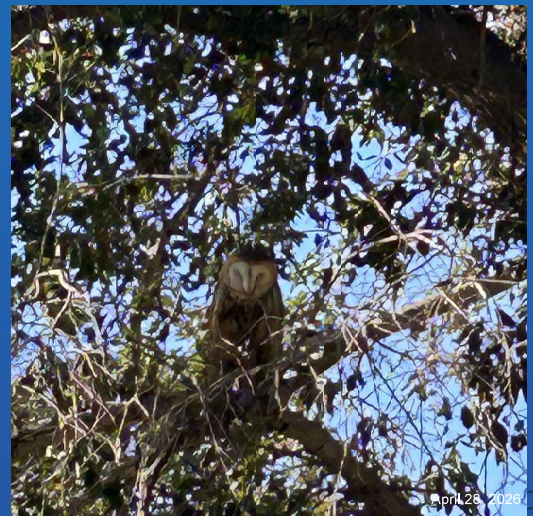
# Memorial Day Weekend 2026



# Additional Rental Boats



# Owl Rescue





# Movie Night at the Park

Featured movie:  
"The Goonies"



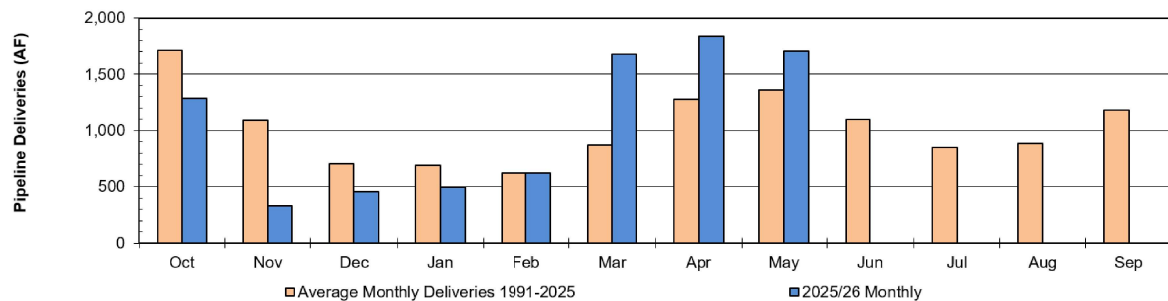
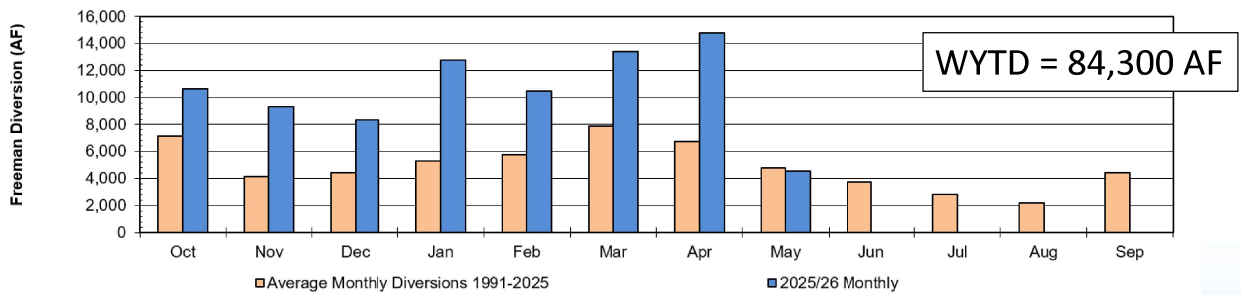
# Questions



**United Water**  
CONSERVATION DISTRICT



## WATER RESOURCES OPERATIONS UPDATES



# DWR Annual Groundwater Report

California's Groundwater: Bulletin 118 – Update 2025.

Figure 4-35 Participating Local Agencies in the 2023 Temporary Flood Diversion Equipment and Recharge Enhancement and follow-on Flood Diversion and Recharge Enhancement Initiative



Table 11: WY 2025 Groundwater Recharge per Area by Basin. Top 10 basins ranked by volume per acre of basin area (as shown in Figure 11). Recharge values based on data reported through 2025 GSP/Alternative annual reports as of 4/2/2026.

Basin Name (Top 10 ranked by groundwater recharge per area)	Basin Number	Groundwater Recharge per Area (AF/Acre)	Total Groundwater Recharge (AF)	Basin Area (Acres)
Coachella Valley - Indio	7-021.01	<b>0.90</b>	267,370	297,156
Santa Clara River Valley - Oxnard	4-004.02	<b>0.62</b>	35,927	57,888
Gilroy-Hollister Valley - Llagas Area	3-003.01	<b>0.52</b>	24,700	47,371
Santa Clara Valley - Santa Clara	2-009.02	<b>0.42</b>	79,600	189,581
San Joaquin Valley - Chowchilla	5-022.05	<b>0.35</b>	50,500	145,574
San Joaquin Valley - Tule	5-022.13	<b>0.31</b>	147,290	477,590
Upper Santa Ana Valley - Yucaipa	8-002.07	<b>0.30</b>	6,774	22,219
Santa Clara Valley - Niles Cone	2-009.01	<b>0.19</b>	12,199	65,214
San Joaquin Valley - Kern County	5-022.14	<b>0.14</b>	244,174	1,782,318
San Joaquin Valley - Kings	5-022.08	<b>0.10</b>	96,701	981,323

## FCGMA Allocation Ordinance Update

- FCGMA Board adopted revised OPV Allocation Ordinance on May 27
- Removed individual "Flex" programs and added universal "in-lieu delivery adjustment"
- No change for non-conjunctive use operators
- Conjunctive use operators now need approval by EO for receiving allocations for "in-lieu delivery adjustment"
- PTP base period allocation = 2,052 AFY  
~~Previous SCR Flex allocation = 5,077 AFY (up to)~~  
 PTP LAS pumping WY 2013-2018 = 5,777 AFY



# Questions?



**United Water**  
CONSERVATION DISTRICT

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# Administrative Services Department Monthly Update

June 10, 2026



**United Water**  
CONSERVATION DISTRICT



# Finance Update

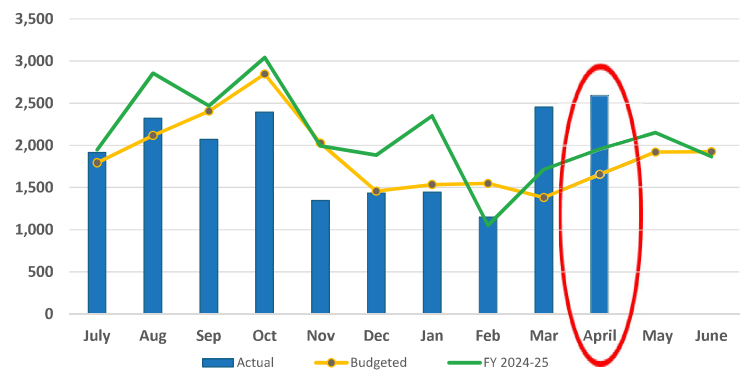
**Brian H. Zahn**  
Chief Financial Officer

## April 2026 Pipeline

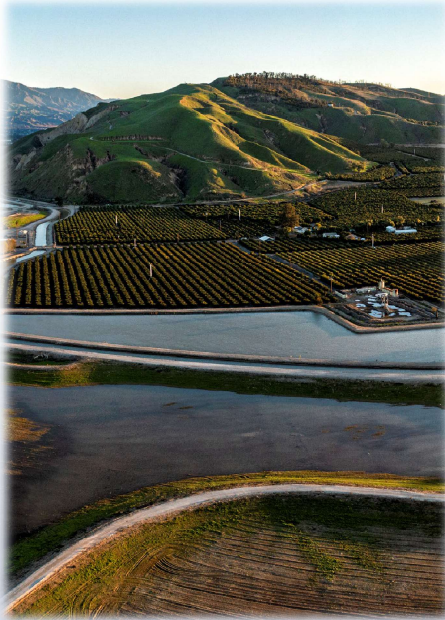
	Actual AF	Budget AF	Variance AF	Actual \$
OH	667	830	(163)	\$879K
PTP	623	510	113	\$277K
PV	1304	318	986	\$464K

Year-to-date deliveries are 2% above budget (FY25-26: 19,131 AF) and 10% below prior year (FY24-25: 21,268 AF).

Total Pipeline Deliveries (AF)



# Finance Department



- FY2025-26 Interim Audit work beginning
- FY2026-27 Proposed Budget book posted online and printed
- GSA Budgets completed
- Finalizing edits to Ventura Energy contracts



# Administrative Update

**Josh Perez**  
Chief Human Resources Officer

## Human Resources

- All positions currently are filled until the Board approves the Proposed FY 2026-2027 Budget
  - Once approved, will coordinate position postings based on General Manager input
- Prepared Merit Pay documents for General Manager review and consideration
- Quarterly one-on-one meetings held with department managers
- Interviews completed and onboarding of seasonal Lake Piru staff as well as Park Ranger I internal vacancy

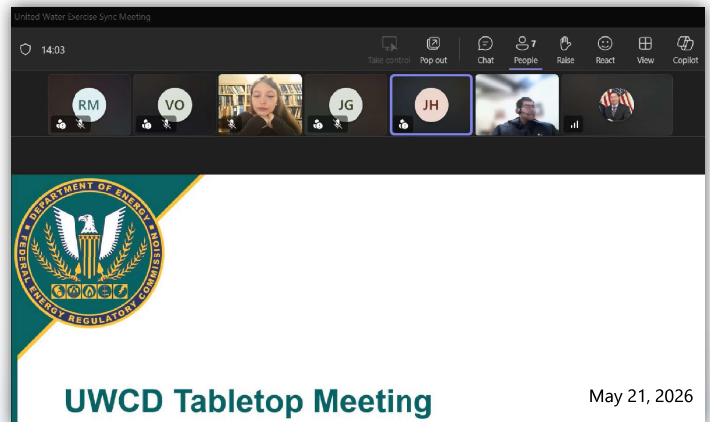


Oxnard High School Green Tech Networking Luncheon

April 29, 2026

## Risk Management

- Met with FERC on planning cyber security exercise for Operational Technology
- Touched base with CISA on cyber security tabletop exercise for Technology System
- Finalized respirator fit testing of remaining O&M staff
- Supported AGM and COO on weekly IT-OT coordination meetings



## continued

- New fence to enhance physical security on District property (Brown Barranca)



- Worked with Admin staff on plumbing issue at HQs and with O&M staff on replacing irrigation pipe at Oxnard HQs

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## Technology Systems

- **69 tickets** have been received in the first twenty days in May, reflecting consistent service desk demand
  - Inquiries consisted of routine IT services, user access requests, and standard operational support aligned with District needs
  - The average time to resolution for closed tickets was approximately **1.5 days**
- Worked to restore PIN-based sign-in functionality and supported affected users during the transition period, including temporary reliance on alternate authentication methods

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# continued

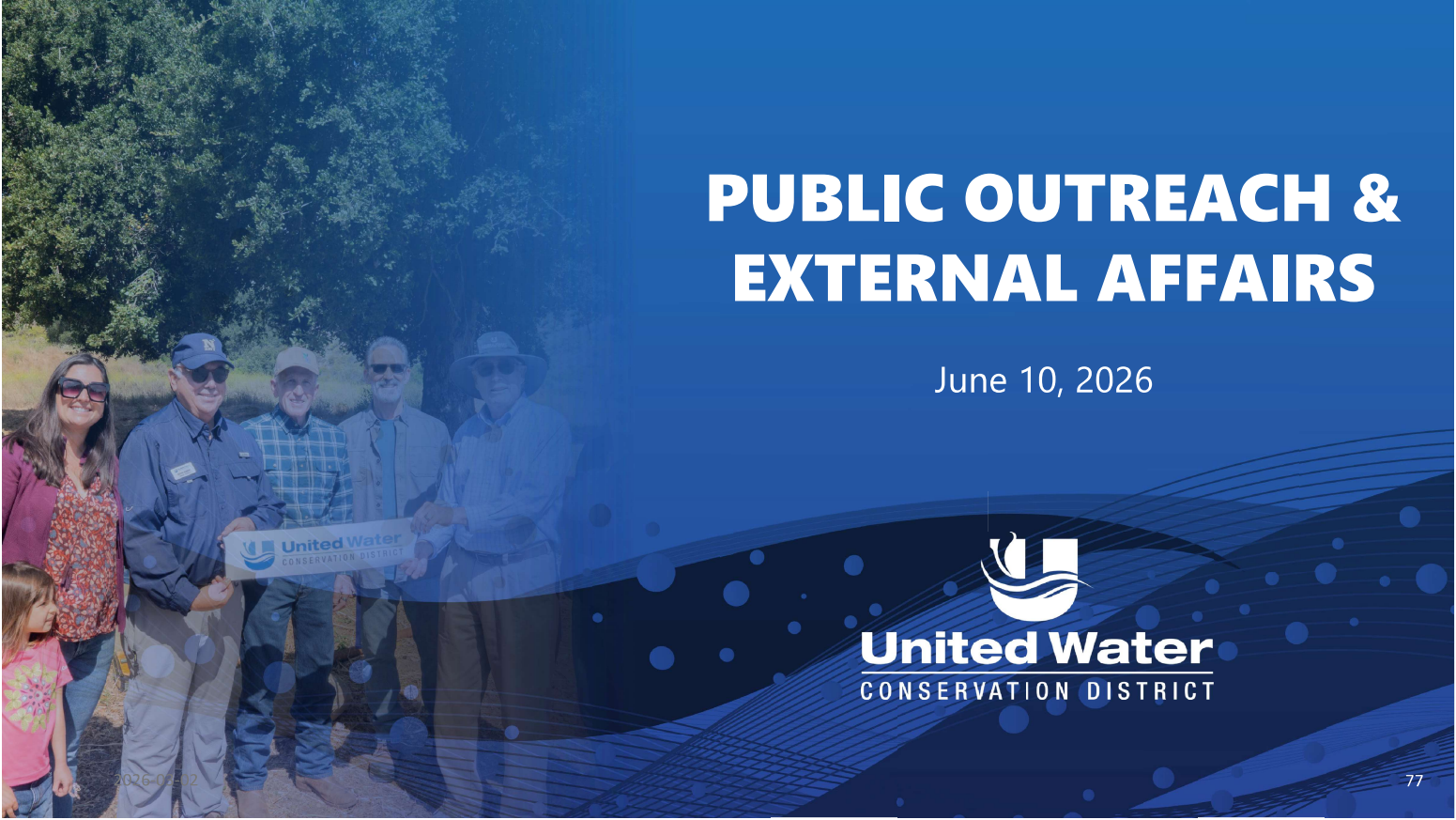
- Identified a network performance issue at Lake Piru impacting both full-time and seasonal staff
  - The root cause has been identified
  - Coordinating with County IT to restore degraded network equipment and improve connectivity



April 21, 2026

**IT support for University of CA Agriculture and Natural Resources meeting held at District Headquarters**

A large dam with water flowing through it, overlaid with a blue graphic. The graphic contains the word "Questions" in large white letters, and the United Water Conservation District logo and name at the bottom. The logo features a stylized water drop icon above the text "United Water" and "CONSERVATION DISTRICT".



# PUBLIC OUTREACH & EXTERNAL AFFAIRS

June 10, 2026



**United Water**  
CONSERVATION DISTRICT

## Outreach Events

### Recent Tours & Events

- Quarterly Tour (April 29)
- Santa Paula Chamber of Commerce Awards Dinner (May 14)
- California Strawberry Festival (May 16-17)
- Pothole Trail Ribbon Cutting (May 17)
- Moonlight Cinema Kickoff (May 23)

### Upcoming Tours

- Quarterly Tour (July 29)

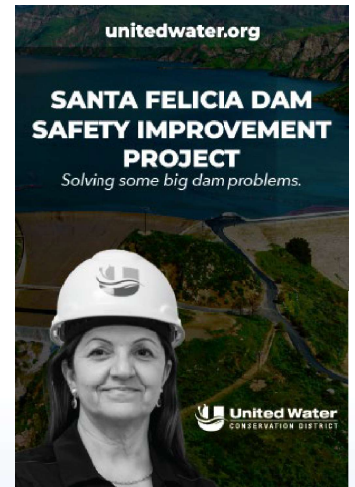
### Upcoming Events

- Moonlight Cinema (2x per Month)
- Santa Paula Cruise Night (June 5)
- Ventura County Fair (July 31 – August 9)



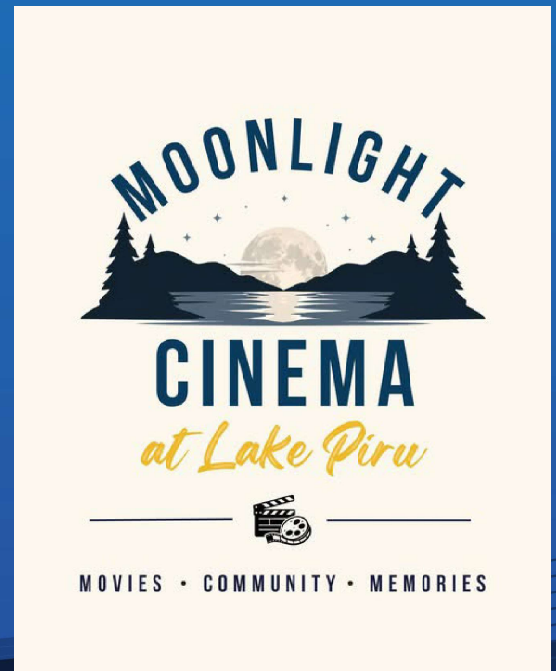
# Successes

- Santa Felicia Dam Safety Improvement Project Ad Campaign launched
- Legislative Support Form Letters completed
- Social Media Advancement:
  - ❖ United Water Conservation District Social Media Platforms
    - ✓ Facebook Likes: 889 (Net Increase of 10)
    - ✓ LinkedIn Followers: 671 (Net Increase of 43)
    - ✓ Instagram Followers: 165 (Net Increase of 133)
  - ❖ Lake Piru Social Media Platforms
    - ✓ Facebook Followers: 4,928 (Net Increase of 252)
    - ✓ Instagram Followers: 3,369 (Net Increase of 384)



# Upcoming Initiatives

- Continue Santa Felicia Dam Safety Improvement Project campaign and public outreach
- Advance Water Sustainability Summit Experience planning
- Continue groundwater management and environmental stewardship messaging
- Continue Website Refresh progress
- Support Moonlight Cinema programming and Lake Piru summer recreation promotion



# 2025 Update of the Urban Water Management Plan & Water Shortage Contingency Plan Adoption Resolution (Motion Item)



**United Water**  
CONSERVATION DISTRICT

## 2025 Urban Water Management Plan Schedule

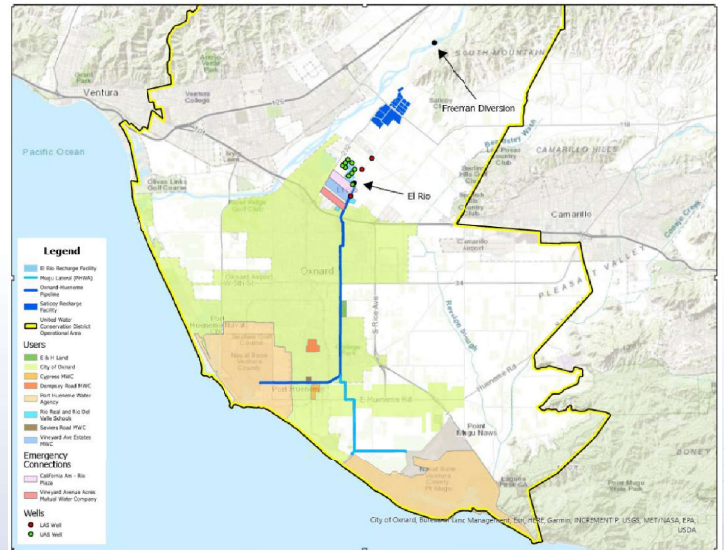


April 29, 2026	May 13, 2026	June 10, 2026	July 1, 2026
<b>Available for Public Comment On District's Website, Notices Sent</b>  Public Draft UWMP & WSCP	<b>Public Hearing for</b>  UWMP & WSCP to receive additional public comments	<b>Board Adoption (Resolution)</b>  Final UWMP & WSCP	<b>Staff submit to DWR</b>  Final UWMP & WSCP

# Oxnard-Hueneme Pipeline System

## ➤ Urban Water Management Plan (UWMP)

- State of California Legislature first enacted the UWMP Act in 1983
  - Requirement for State grants or loans
- Urban water supplier:  
3,000+ connections (retail) or **3,000+ acre-feet (wholesale)**
- 2025 Update reporting 2021-2025 historical data
- 2025 Update projecting water supply and demand through 2050



6/10/2026

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## Public comments received during review period:

- A comment letter from County of Ventura Planning Division was received on May 12, 2026, with comments noting policies in the County's General Plan related to development planning and water supply.
- Specifically, County noted their ongoing update to the El Rio/Del Norte "Area Plan," and potential population growth that may be allowed by State laws in that Area as well as other unincorporated areas.
- **Changes from Public Review Draft to Final:**
- District staff addressed these comments with additional background and discussion within the Final 2025 UWMP:  
**Chapter 3 (Service Area Description) and Chapter 4 (Water Use Characterization)**

6/10/2026

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# Summary of Changes from Public Review Draft and Final:

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## ➤ **Changes Detailed in Appendix G, titled “*Public Comments and Summary of Changes from Public Review Draft to Final Draft*”**

- Addressed County of Ventura Public Comments related to the El Rio Area.
- Minor updates related to City of Oxnard, incorporating most recently available information from their Public Draft (e.g., population, projected water use, and recycled water).
- Minor clarifying updates to various section text, figures, and/or tables.
- Finalized various Appendices for supporting documentation (e.g., Notification Letters and Public Hearing Agenda, Public Notification, DWR UWMP Checklist, Public Comments and Summary of Changes).
- **Appendix C (Adoption Resolution and Meeting Agenda) will be finalized following anticipated adoption prior to submission to DWR by July 1, 2026.**

# Thank You

# 2025 Update of the Urban Water Management Plan & Water Shortage Contingency Plan Adoption Resolution (Motion Item)



**United Water**  
CONSERVATION DISTRICT



**STAFF REPORT**

**To:** UWCD Board of Directors

**Through:** Mauricio Guardado, General Manager  
Maryam Bral, Assistant General Manager  
Bram Sercu, Water Resources Supervisor

**From:** Kathleen Kuepper, Hydrogeologist  
Luke Bryden, Associate Hydrologist

**Date:** June 18, 2026 (July 8, 2026, Board of Directors meeting)

**Agenda Item:** **3.2 Monthly Hydrologic Conditions Report**  
**Informational Item**

**Staff Recommendation:**

The Board will receive and file the Monthly Hydrologic Conditions Report for the District for the month of May 2026.

**Summary:**

Diversions, Recharge and Ag Pipeline Deliveries for Month of May 2026\*

<b>Activity</b>	<b>Amount (acre-feet)</b>
Total Diversions at Freeman Diversion	4,537
Lloyd-Butler Mutual Water Company surface water use	NA
Recharge to Saticoy basins (metered + unmetered)	401
Recharge to Noble and Rose basins	508
Recharge to El Rio basins	2,108
Total Ag Pipeline Deliveries of water diverted at Freeman	1,703
Total Ag Pipeline Deliveries of water pumped from Saticoy Well Field	288
Recharge to Piru spreading grounds	0

\*Provisional data. Final data are made available in the September Hydrologic Conditions Report of each water year.

**Groundwater Basin Available Storage at End of Month of May 2026**

<b>Basin</b>	<b>Available Storage (acre-feet)</b>
Oxnard Forebay	-600

**Precipitation for Month of May 2026**

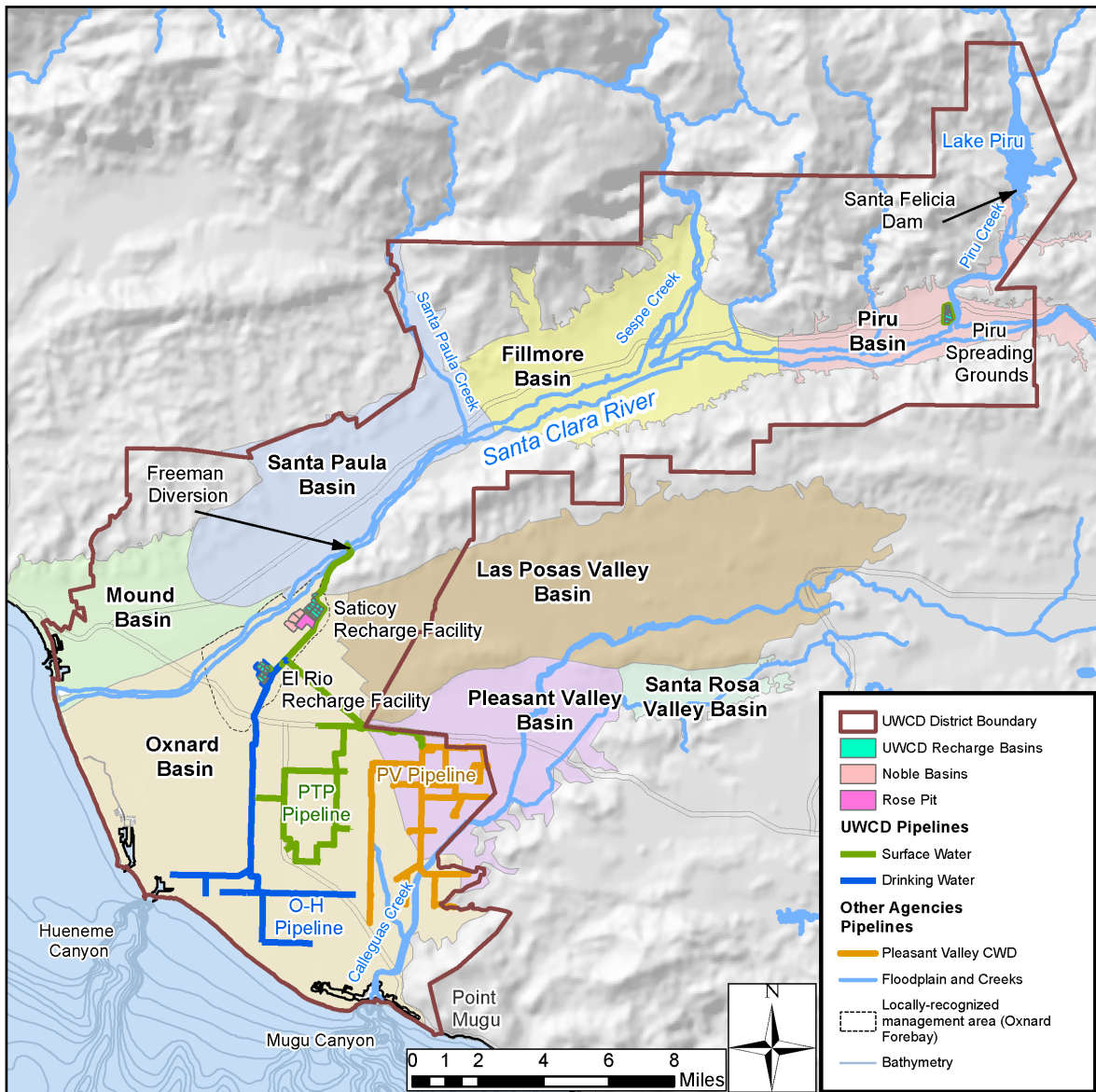
<b>Location</b>	<b>Precipitation (inches)</b>
Lake Piru	0.00
Santa Paula	0.00
El Rio	0.00



# United Water CONSERVATION DISTRICT

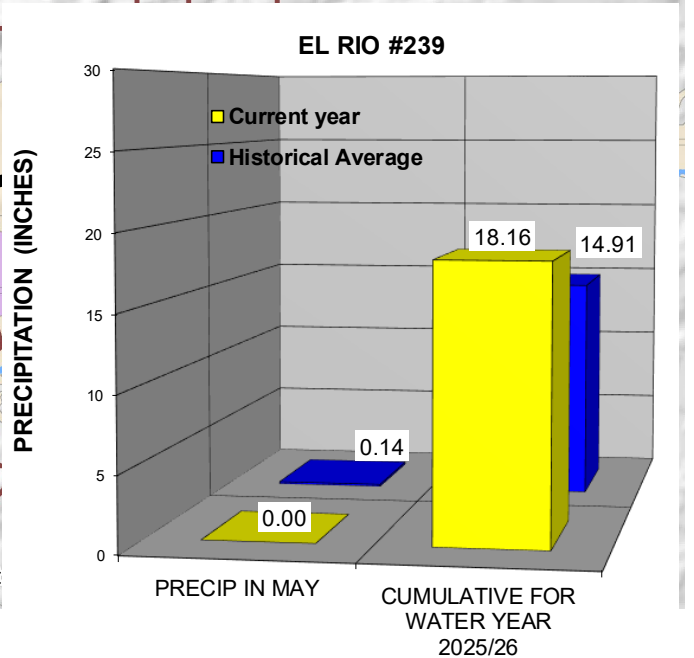
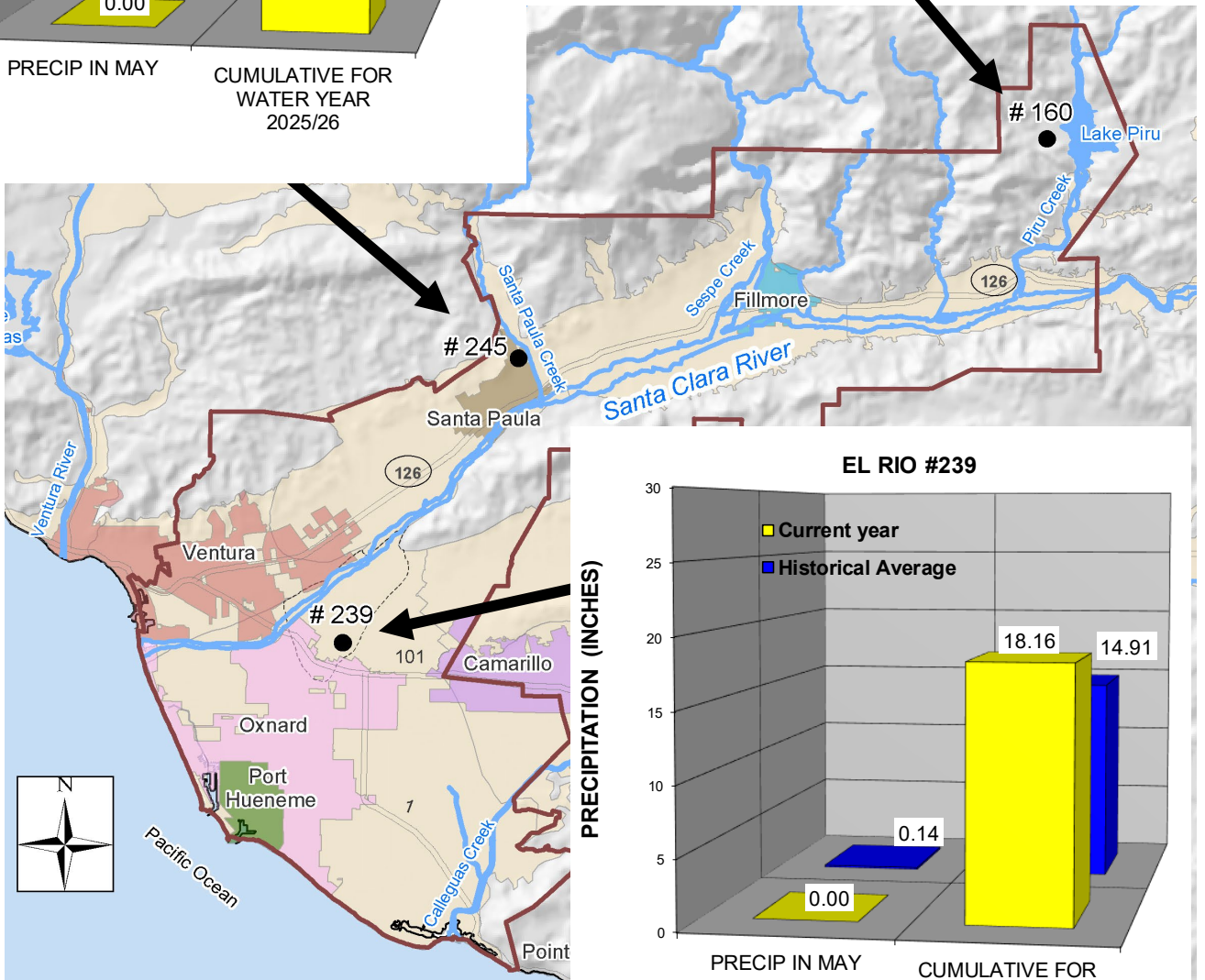
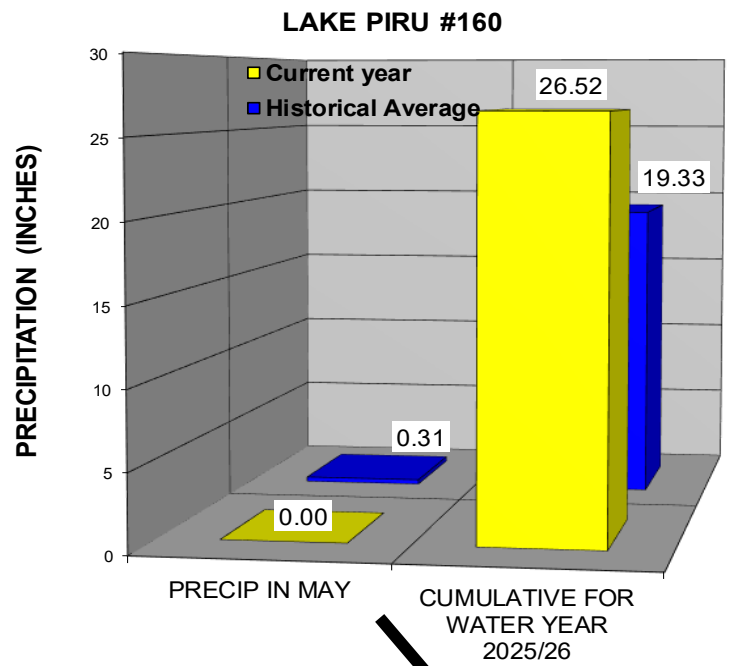
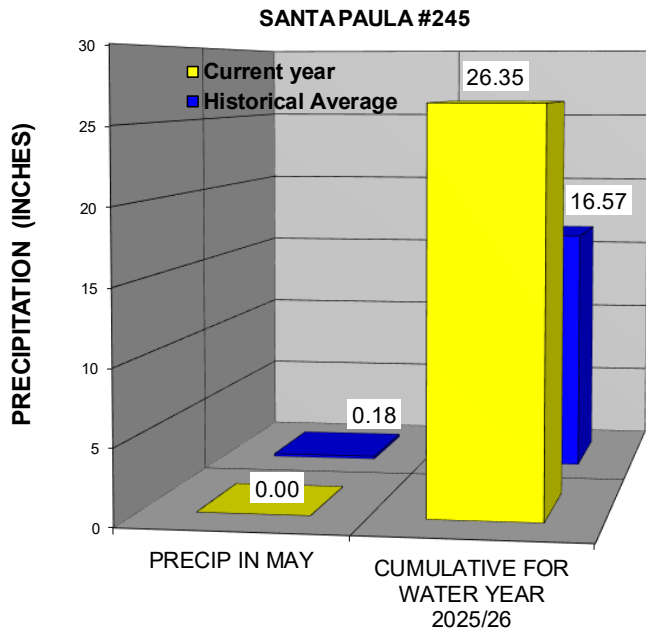
## May 2026 Hydrologic Conditions Report 2025/26 Water Year

June 18, 2026



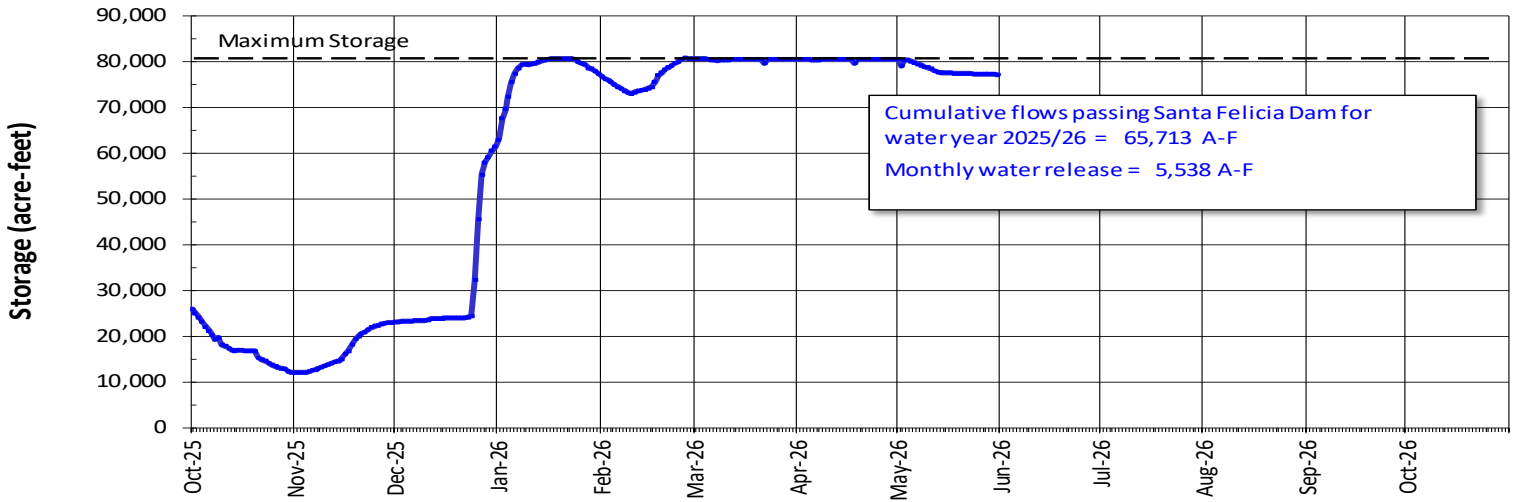
*Note: This report may contain provisional data until final review at the end of the water year.*

# Precipitation



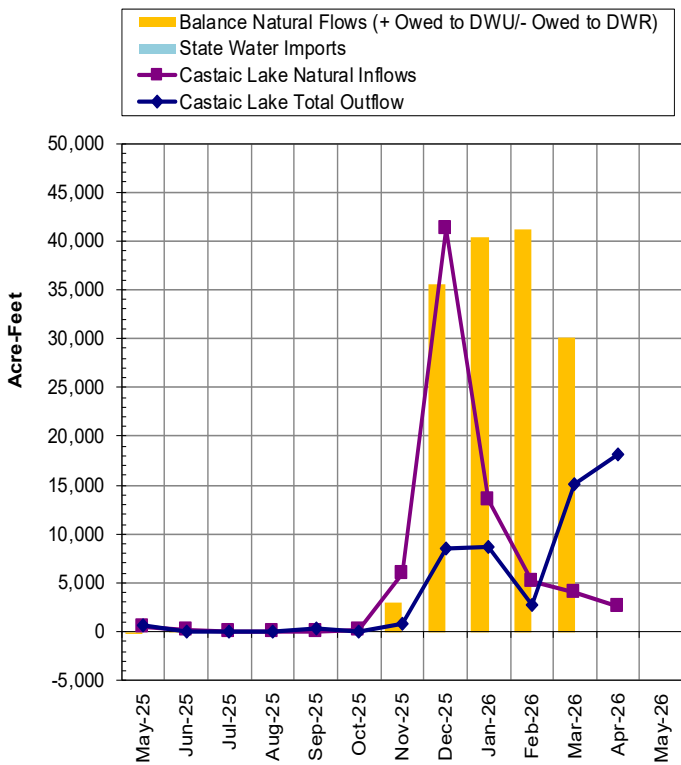
District-wide percent of normal precipitation = 140

## Lake Piru Storage and Outflow

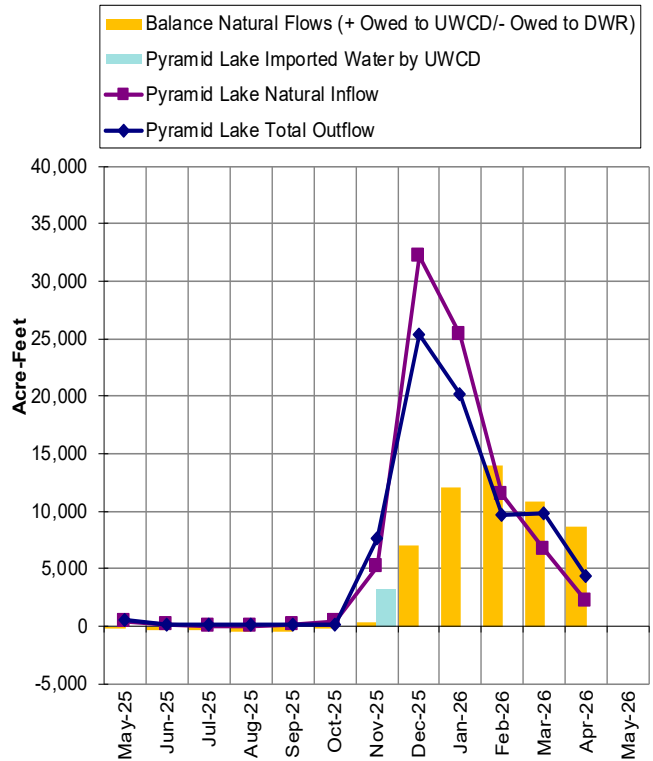


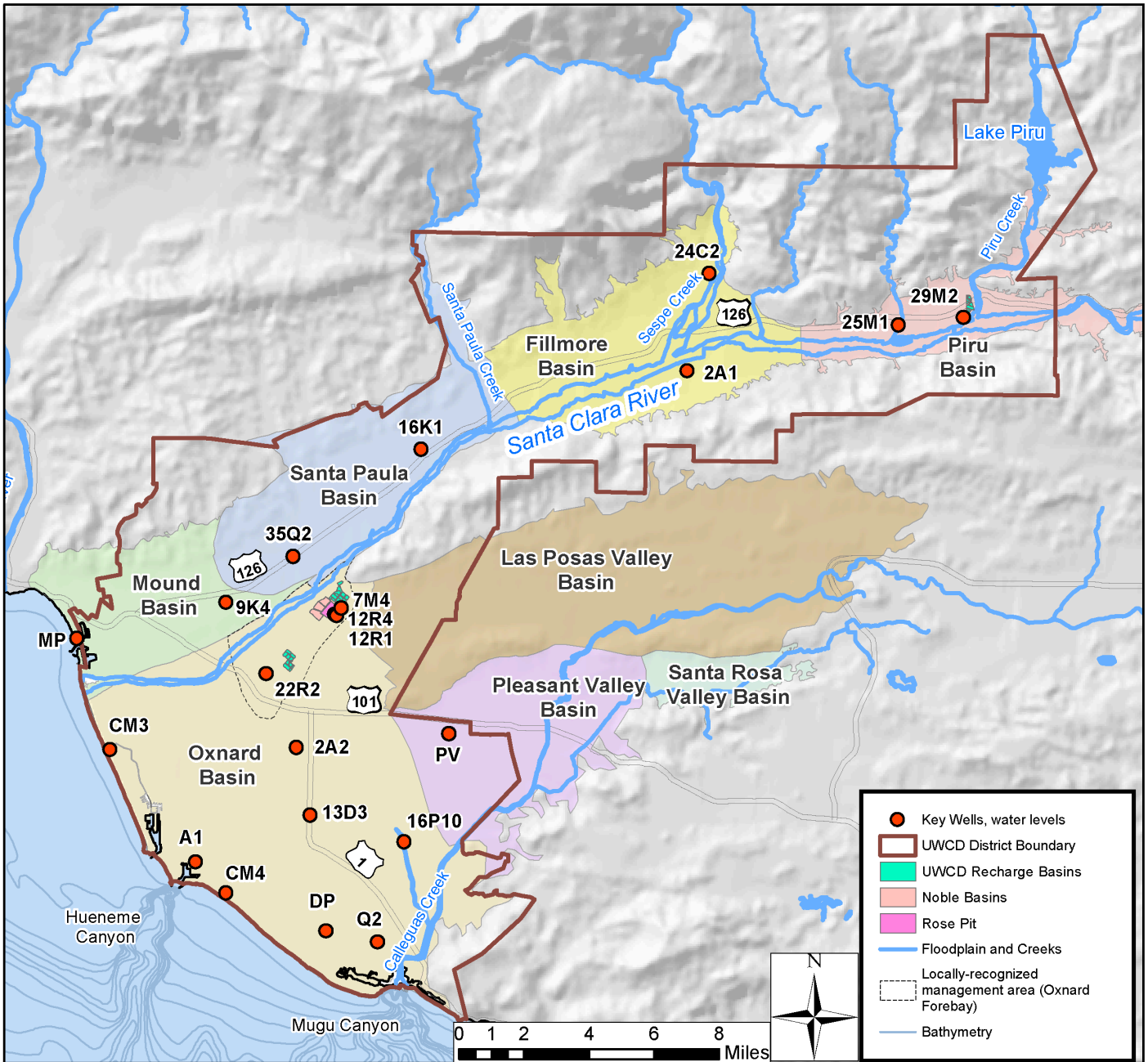
	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
Hydro Plant Outflow (Acre-Feet)	0	0	0	0	0	0	0	0				
Cumulative Hydro Plant Outflow (A-F)	0	0	0	0	0	0	0	0				

### Castaic Lake releases to downstream water users (DWU)



### Pyramid Lake releases to UWCD

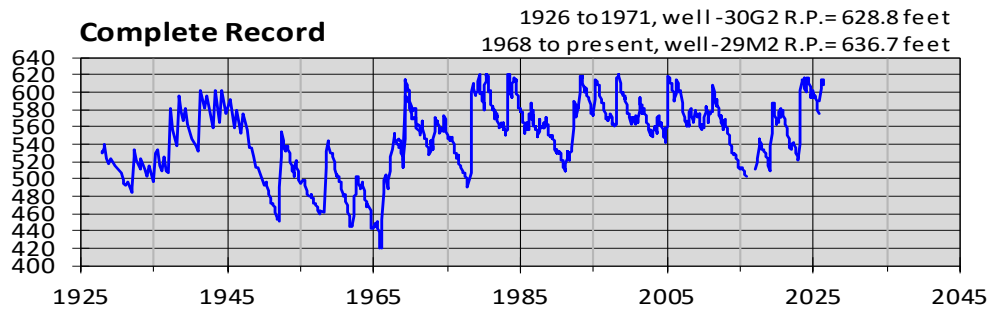
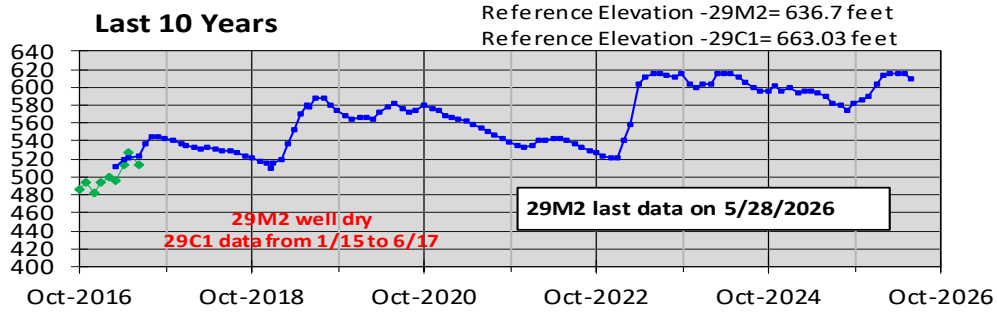




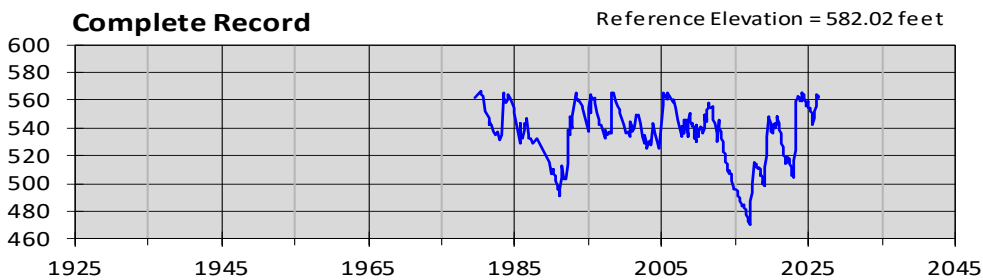
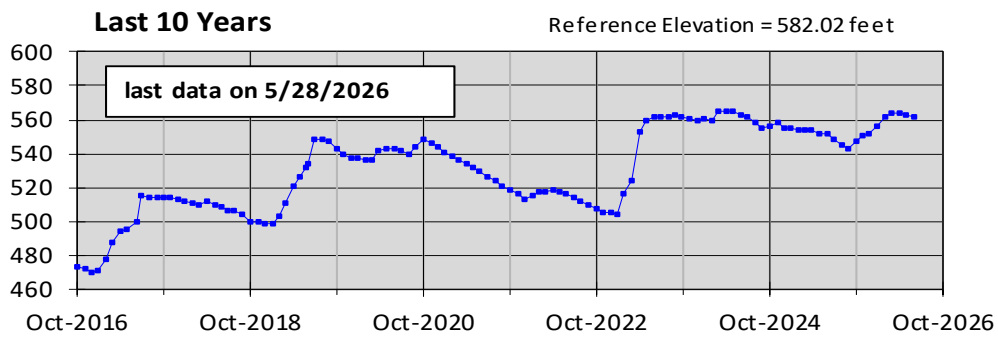
# Locations of Key Wells, Monthly Groundwater Elevation Monitoring

# Groundwater Elevation Records – Piru Basin

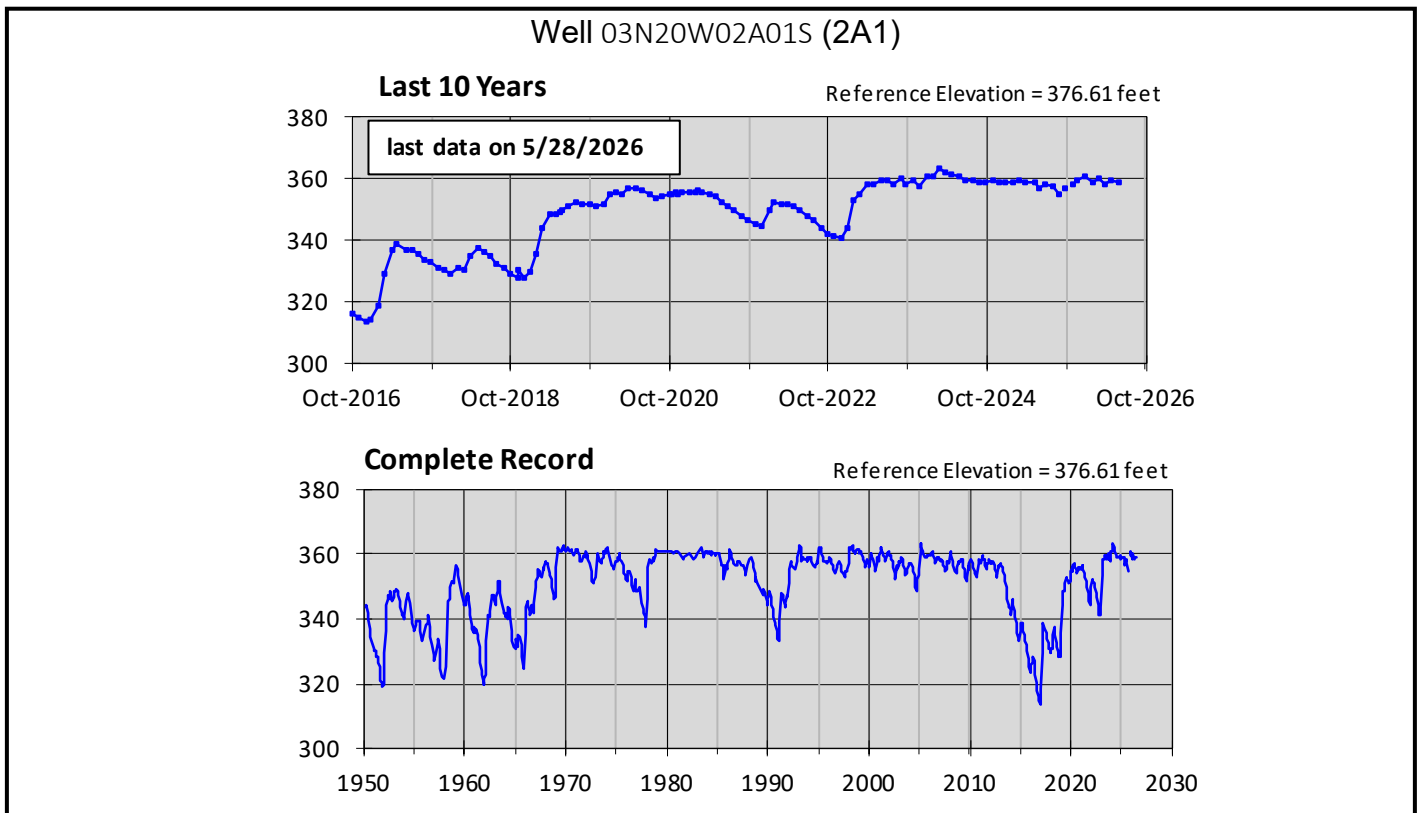
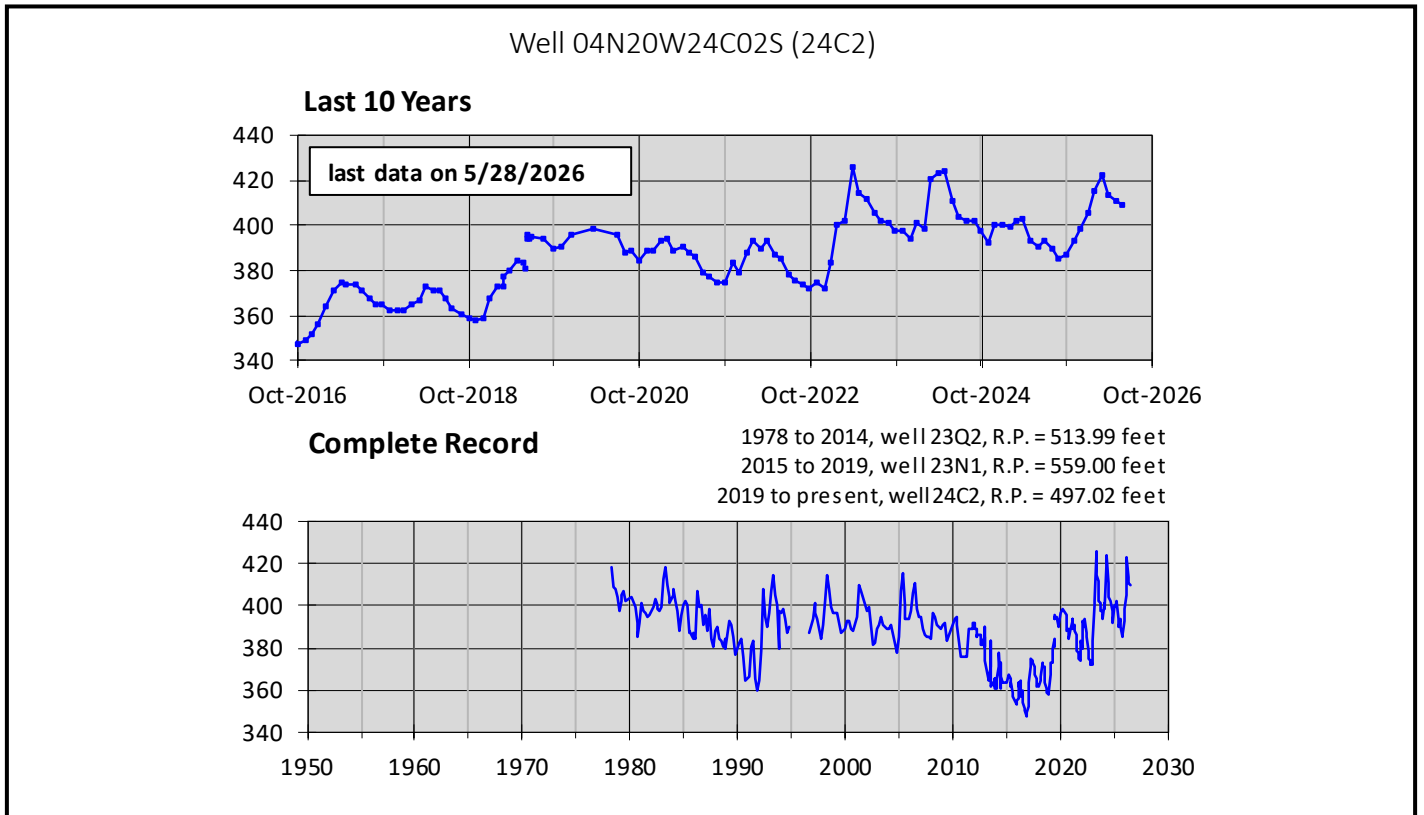
Well 04N18W29M02S (29M2)



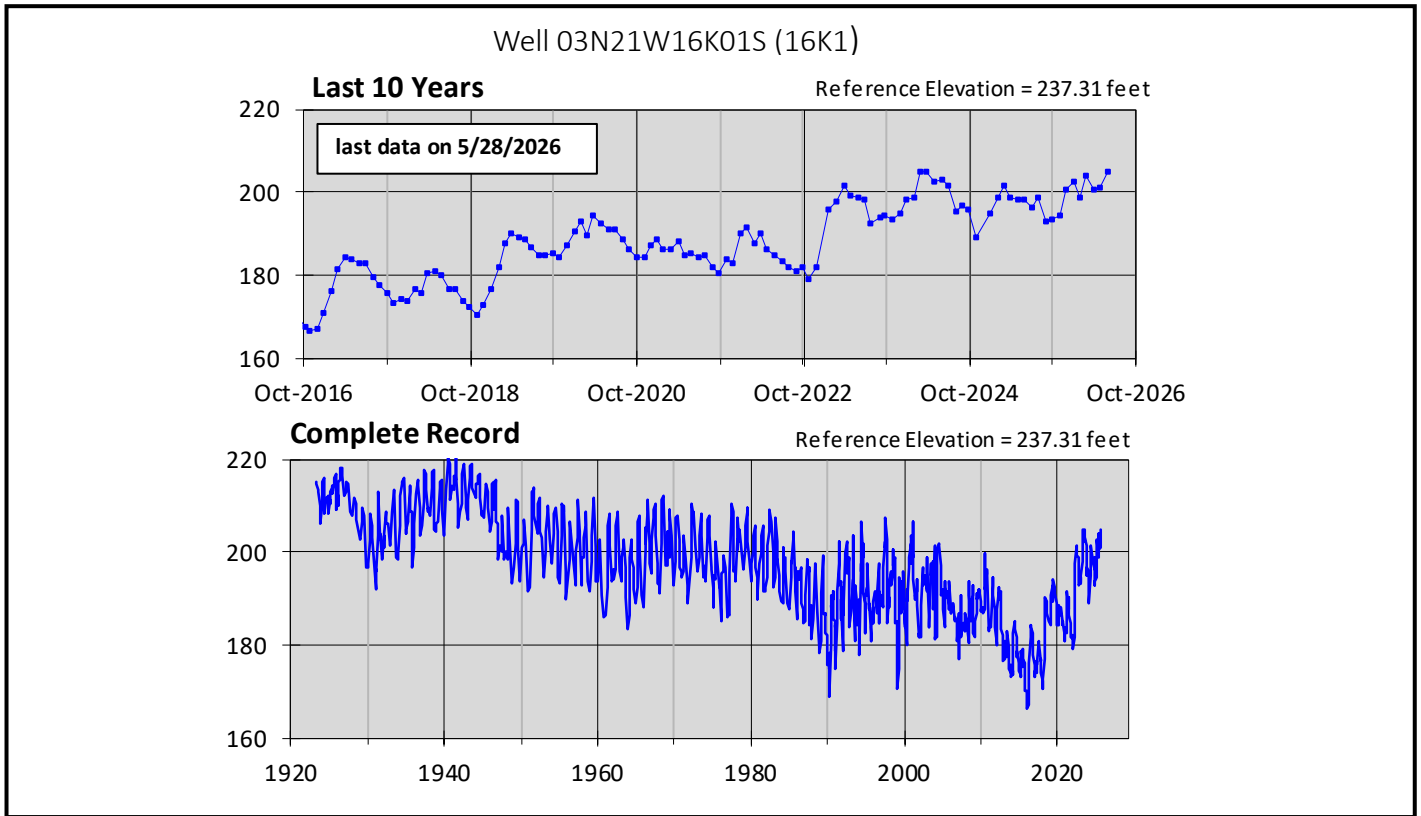
Well 04N19W25M01S (25M1)



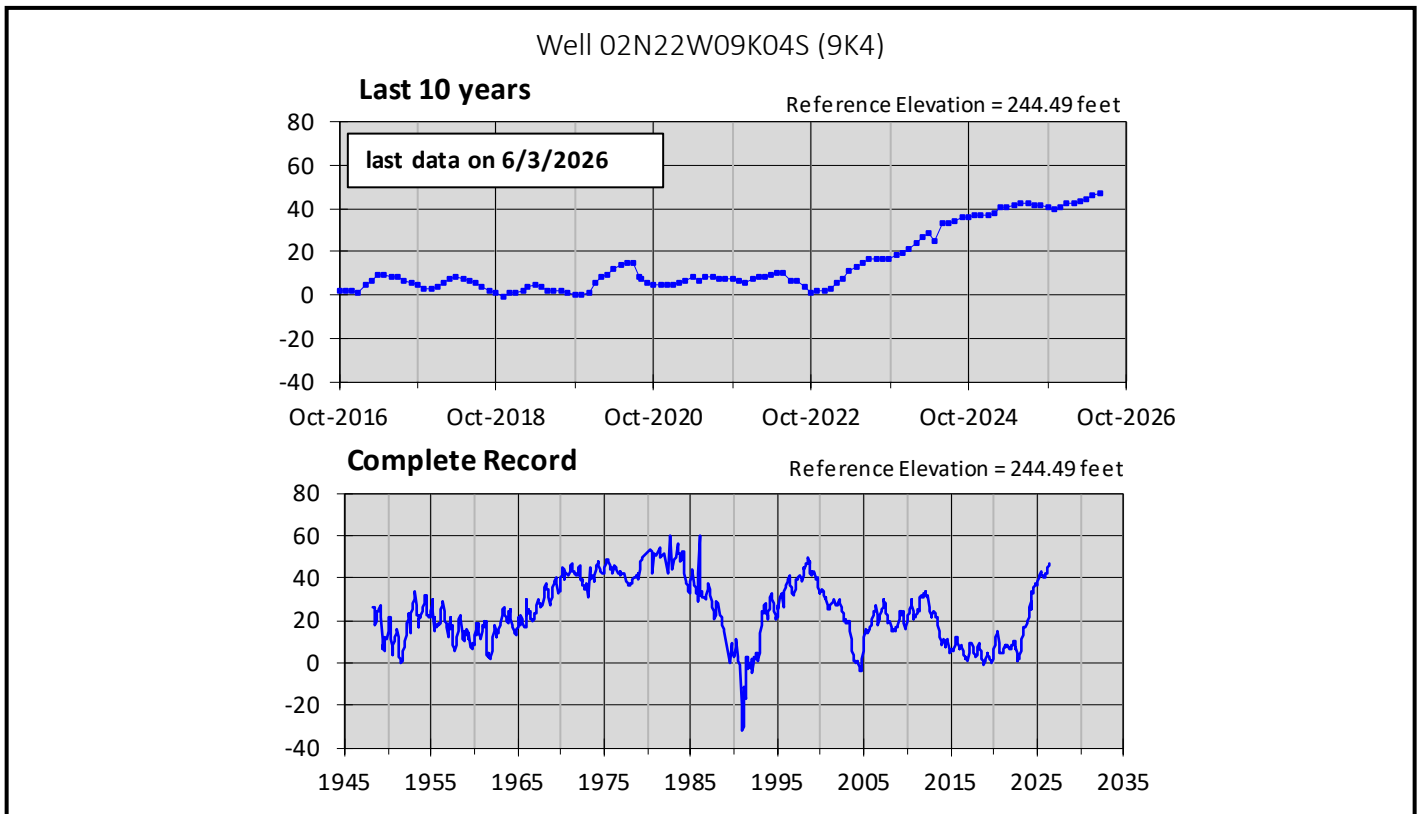
# Groundwater Elevation Records – Fillmore Basin



# Groundwater Elevation Records – Santa Paula Basin



# Groundwater Elevation Records – Mound Basin

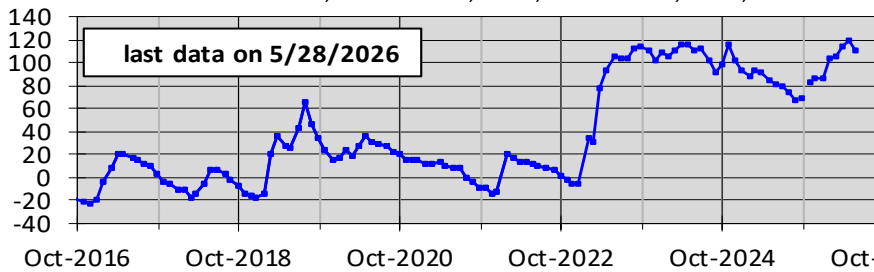


# Groundwater Elevation Records – Oxnard Basin, Forebay

Wells 02N22W12R01S (12R1), 02N22W12R04S (12R4), and 02N21W07M04S (7M4)

## Last 10 Years

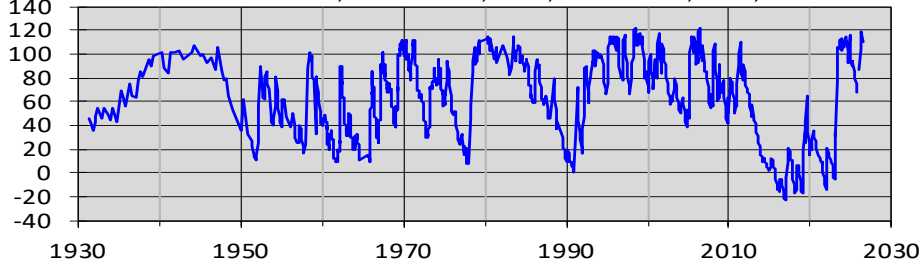
-12R1; RP = 134.19 ft; -7M4; RP = 142.84 ft; -12R4; RP = 137.11 ft



Note: Well 12R1 was destroyed in 2014 and replaced with well 12R4. When well 12R4 goes dry at approximately 9 ft msl, well 7M4 is used to measure groundwater level.

## Complete Record

-12R1; RP = 134.19 ft; -7M4; RP = 142.84 ft; -12R4; RP = 137.11 ft

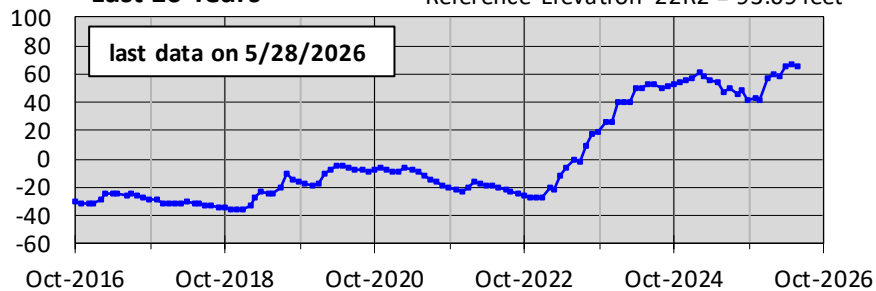


Note: Well 12R1 was destroyed in 2014 and replaced with well 12R4. When well 12R4 goes dry at approximately 9 ft msl, well 7M4 is used to measure groundwater level.

Well 02N22W22R02S (22R2)

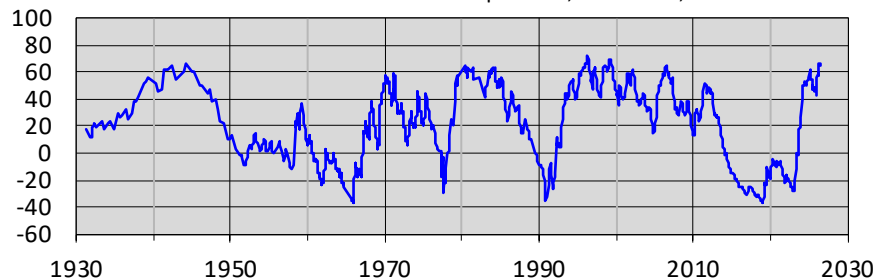
## Last 10 Years

Reference Elevation -22R2 = 93.09 feet

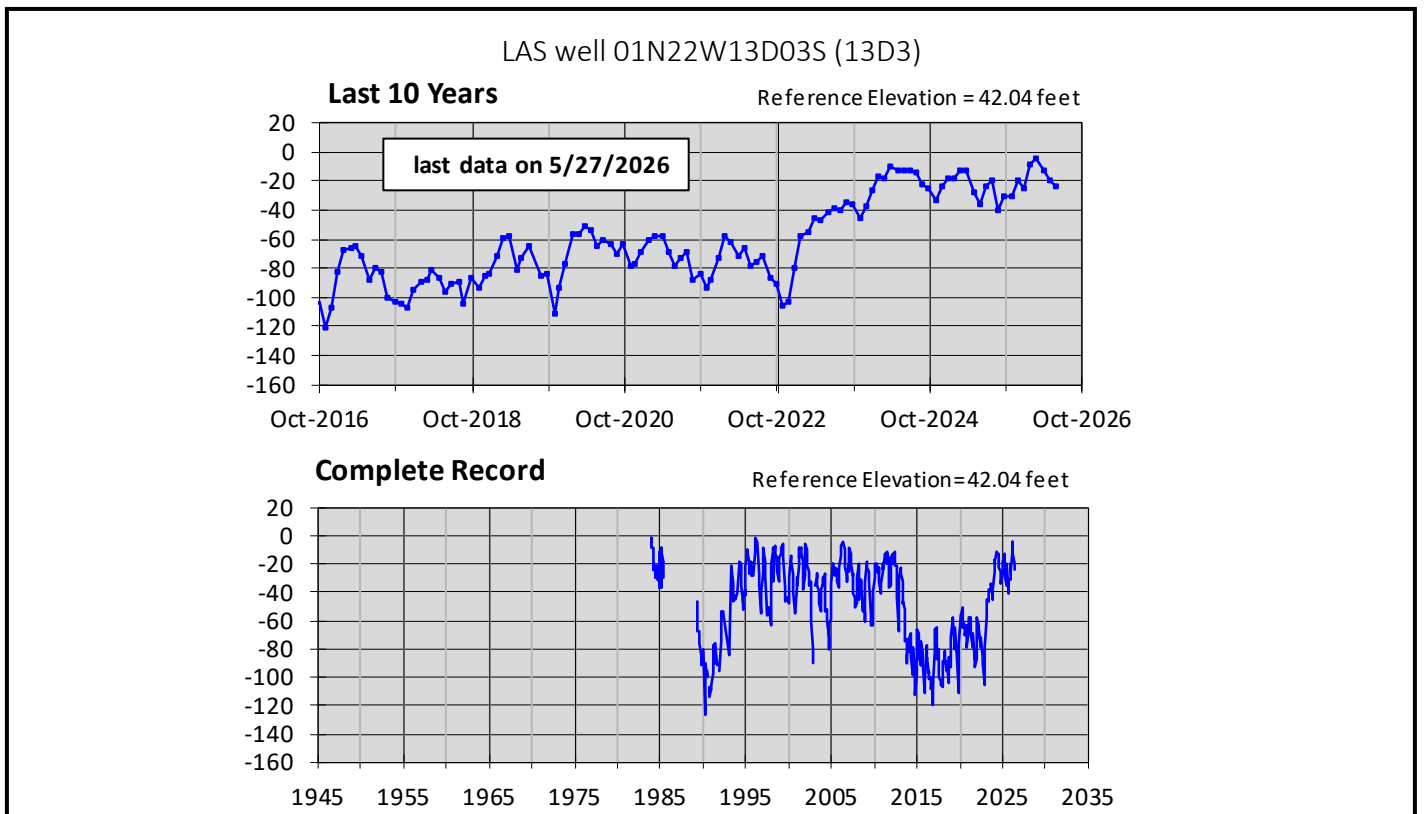
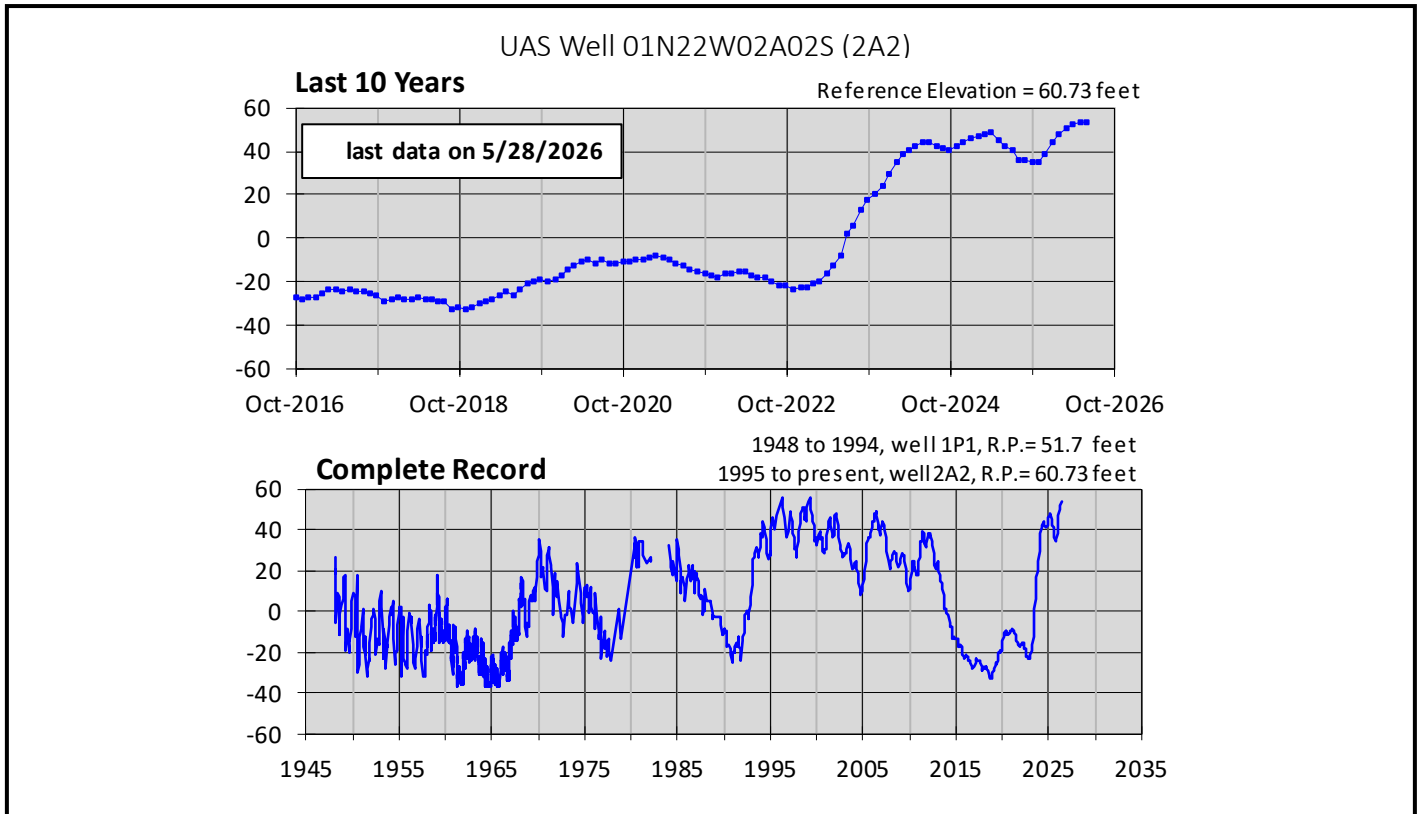


## Complete Record

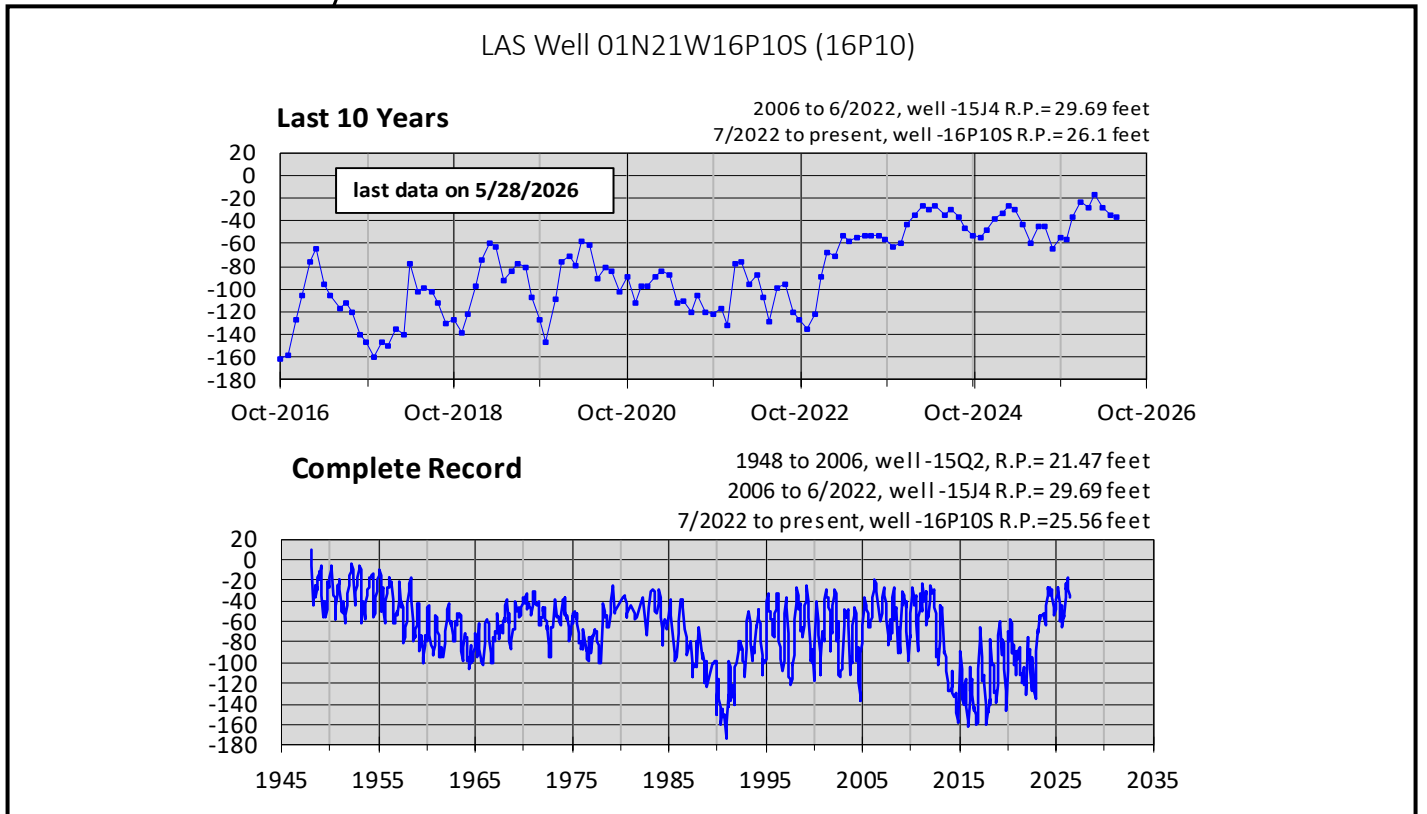
1931 to 2004, well -22R1, RP = 93.6 feet  
2004 to present, well -22R2, RP = 93.09 feet



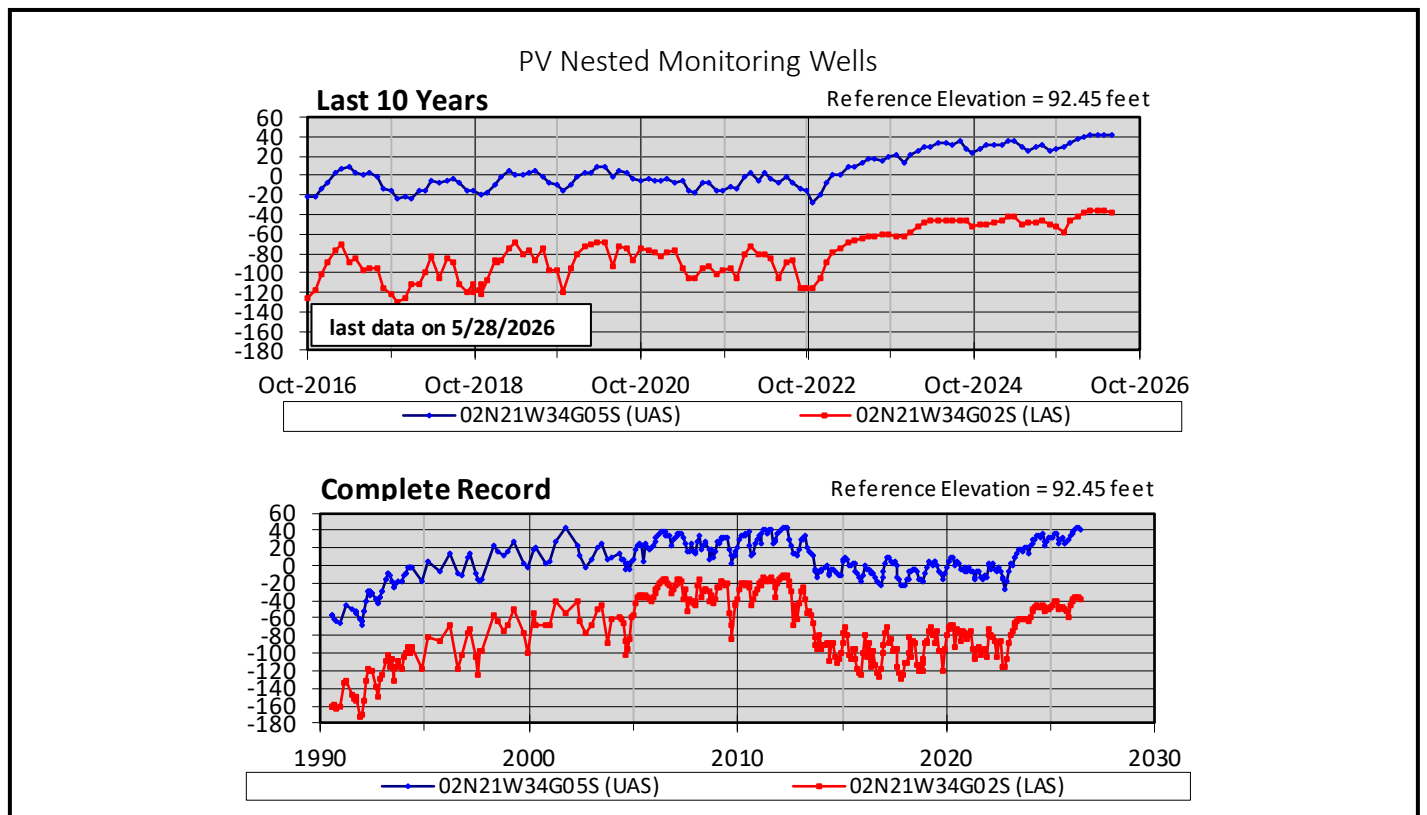
# Groundwater Elevation Records – Oxnard Basin



# Groundwater Elevation Records – eastern Oxnard/Pleasant Valley Basin Boundary Area

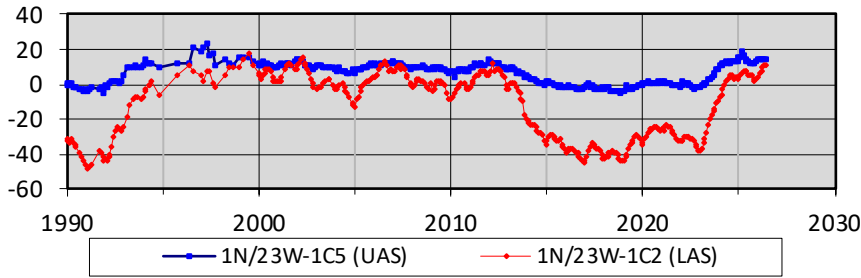


# Groundwater Elevation Records – Pleasant Valley Basin

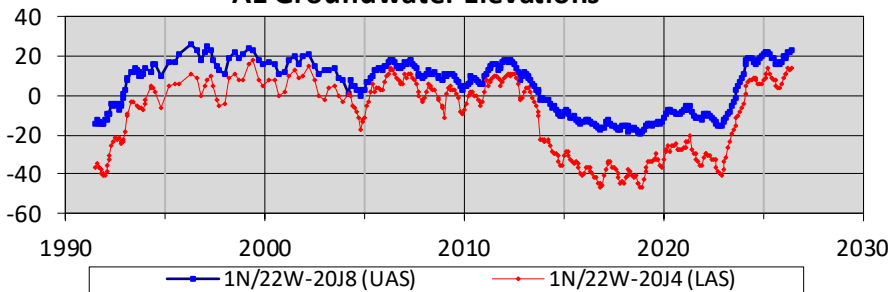


# Groundwater Elevation Records – Coastal Nested Monitoring Wells

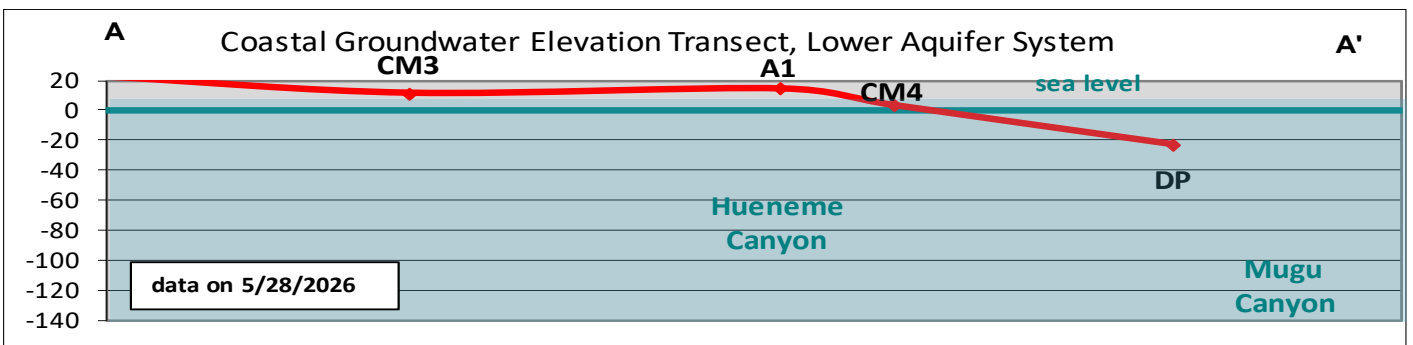
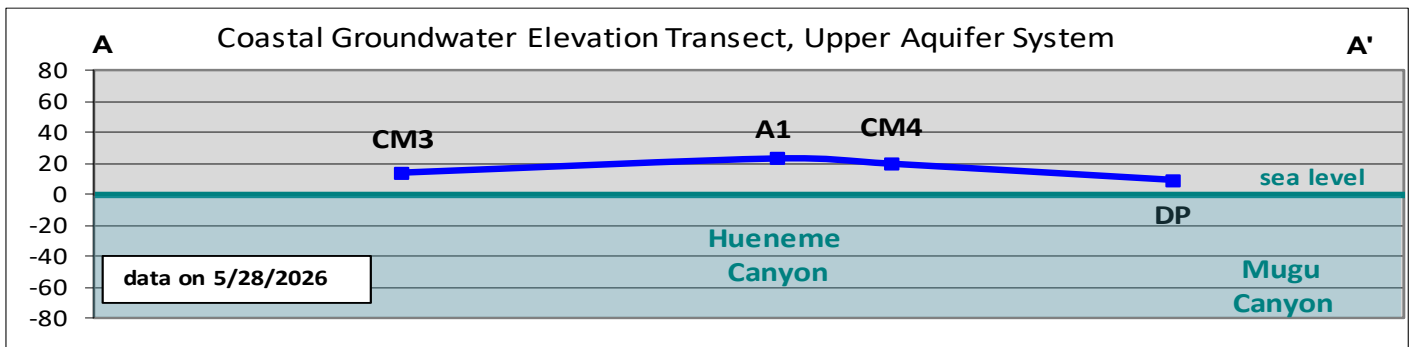
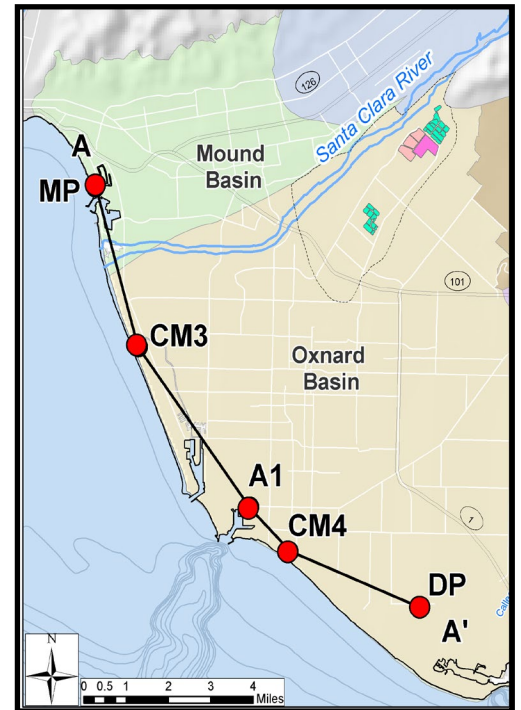
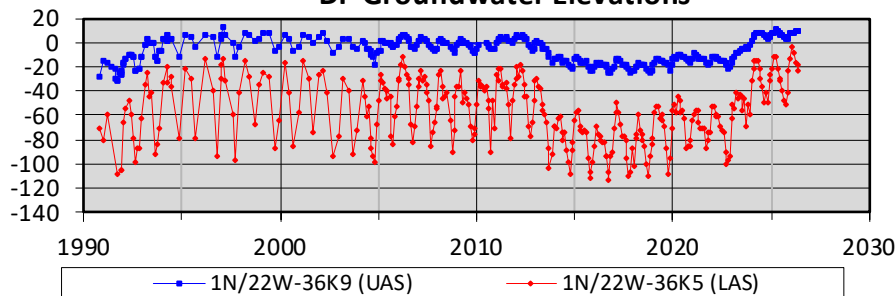
**CM3 Groundwater Elevations**



**A1 Groundwater Elevations**



**DP Groundwater Elevations**



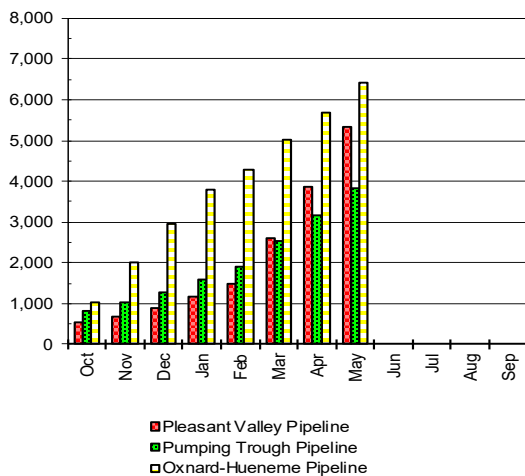
## Monthly Water Deliveries, acre-feet (Water Year 2025/26)

	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
PV Pipeline (surface water)	530.7	138.9	209.0	285.9	322.1	1,111.2	1,278.0	1,276.5				
PV Pipeline (Saticoy well field)	1.3	0.0	0.0	0.9	0.0	0.0	0.0	183.0				
<b>Total to Pleasant Valley Pipeline</b>	<b>532.0</b>	<b>138.9</b>	<b>209.0</b>	<b>286.8</b>	<b>322.1</b>	<b>1,111.2</b>	<b>1,278.0</b>	<b>1,459.5</b>				
PTP (surface water)	755.9	193.0	248.1	212.7	297.7	558.7	533.0	405.6				
PTP (PTP 1-5)	67.0	8.4	0.8	110.1	14.1	76.4	73.2	174.3				
PTP (OH-12/13)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0				
PTP (Saticoy well field)	3.0	0.0	0.0	0.4	0.0	0.0	0.0	104.6				
<b>Total PTP</b>	<b>825.8</b>	<b>201.4</b>	<b>248.9</b>	<b>323.2</b>	<b>311.7</b>	<b>635.1</b>	<b>606.3</b>	<b>684.4</b>				
C-customers (surface water)	0.0	0.0	0.4	0.6	0.0	10.7	25.9	21.3				
Saticoy Well Field (groundwater)	4.3	0.0	0.0	1.3	0.0	0.0	0.0	287.6				
O-H Pipeline (groundwater)	1,019.8	999.2	950.5	817.0	506.1	711.9	678.5	728.2				
<b>Total Surface Water Delivery (PTP, PV, c-cust)</b>	<b>1,286.6</b>	<b>331.9</b>	<b>457.4</b>	<b>499.2</b>	<b>619.8</b>	<b>1,680.6</b>	<b>1,836.9</b>	<b>1,703.4</b>				
<b>Total Groundwater Delivery (PTP, OH, Sat)</b>	<b>1,091.1</b>	<b>1,007.6</b>	<b>951.3</b>	<b>928.4</b>	<b>520.2</b>	<b>788.3</b>	<b>751.7</b>	<b>1,190.1</b>				
<b>Total Delivery (surface water, groundwater)</b>	<b>2,377.6</b>	<b>1,339.5</b>	<b>1,408.7</b>	<b>1,427.6</b>	<b>1,140.0</b>	<b>2,468.9</b>	<b>2,588.7</b>	<b>2,893.5</b>				

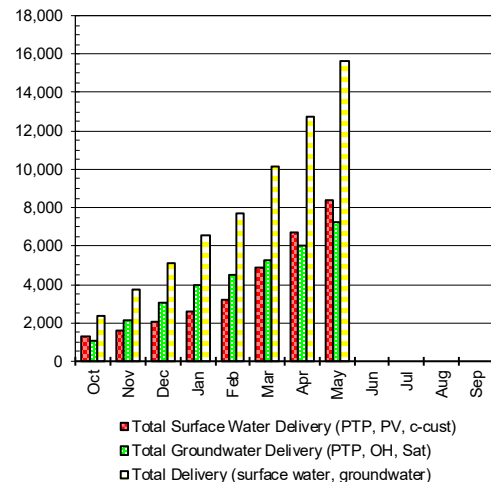
## Cumulative Water Deliveries, acre-feet (Water Year 2025/26)

	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
PV Pipeline (surface water)	530.7	669.6	878.6	1,164.5	1,486.6	2,597.8	3,875.8	5,152.3				
PV Pipeline (saticoy well field)	1.3	1.3	1.3	2.3	2.3	2.3	2.3	185.3				
<b>Total to Pleasant Valley Pipeline</b>	<b>532.0</b>	<b>670.9</b>	<b>879.9</b>	<b>1,166.7</b>	<b>1,488.8</b>	<b>2,600.0</b>	<b>3,878.0</b>	<b>5,337.6</b>				
PTP (surface water)	755.9	948.8	1,196.9	1,409.6	1,707.3	2,265.9	2,799.0	3,204.5				
PTP (PTP 1-5)	67.0	75.4	76.2	186.3	200.4	276.8	350.1	524.3				
PTP (OH-12/13)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0				
PTP (Saticoy well field)	3.0	3.0	3.0	3.3	3.3	3.3	3.3	107.9				
<b>Total PTP</b>	<b>825.8</b>	<b>1,027.2</b>	<b>1,276.0</b>	<b>1,599.2</b>	<b>1,911.0</b>	<b>2,546.1</b>	<b>3,152.3</b>	<b>3,836.7</b>				
C-customers (surface water)	0.0	0.0	0.4	1.0	1.0	11.7	37.6	59.0				
Saticoy Well Field (groundwater)	4.3	4.3	4.3	5.6	5.6	5.6	5.6	293.2				
O-H Pipeline (groundwater)	1,019.8	2,019.0	2,969.5	3,786.5	4,292.6	5,004.5	5,683.0	6,411.2				
<b>Total Surface Water Delivery (PTP, PV, c-cust)</b>	<b>1,286.6</b>	<b>1,618.4</b>	<b>2,075.9</b>	<b>2,575.0</b>	<b>3,194.8</b>	<b>4,875.4</b>	<b>6,712.4</b>	<b>8,415.7</b>				
<b>Total Groundwater Delivery (PTP, OH, Sat)</b>	<b>1,091.1</b>	<b>2,098.7</b>	<b>3,050.0</b>	<b>3,978.4</b>	<b>4,498.6</b>	<b>5,286.9</b>	<b>6,038.7</b>	<b>7,228.7</b>				
<b>Total Delivery (surface water, groundwater)</b>	<b>2,377.6</b>	<b>3,717.1</b>	<b>5,125.9</b>	<b>6,553.4</b>	<b>7,693.4</b>	<b>10,162.3</b>	<b>12,751.0</b>	<b>15,644.5</b>				

Cumulative deliveries by system



Cumulative deliveries by source/type



### Monthly diversion and recharge totals by facility, 2025/26, in acre-feet

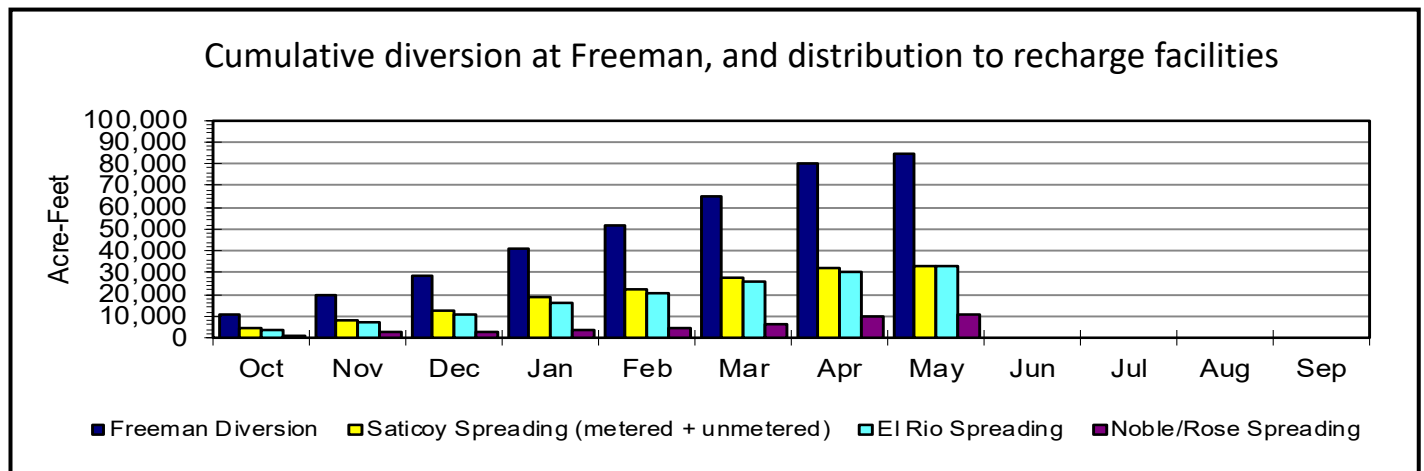
Month	Piru Spreading	Freeman Diversion	Saticoy Spreading (metered + unmetered)	El Rio Spreading	Noble/Rose Spreading	LBMWC Water Use
Oct	0	10,654	4,313	3,994	1,050	10
Nov	0	9,328	4,101	3,464	1,420	11
Dec	0	8,325	4,369	3,273	218	7
Jan	0	12,782	5,646	5,605	1,024	7
Feb	0	10,456	4,261	4,370	1,195	11
Mar	0	13,427	4,979	5,055	1,685	27
Apr	0	14,759	4,685	4,691	3,546	NA
May	0	4,537	401	2,108	508	NA
Jun						
Jul						
Aug						
Sep						

NA = Not Available

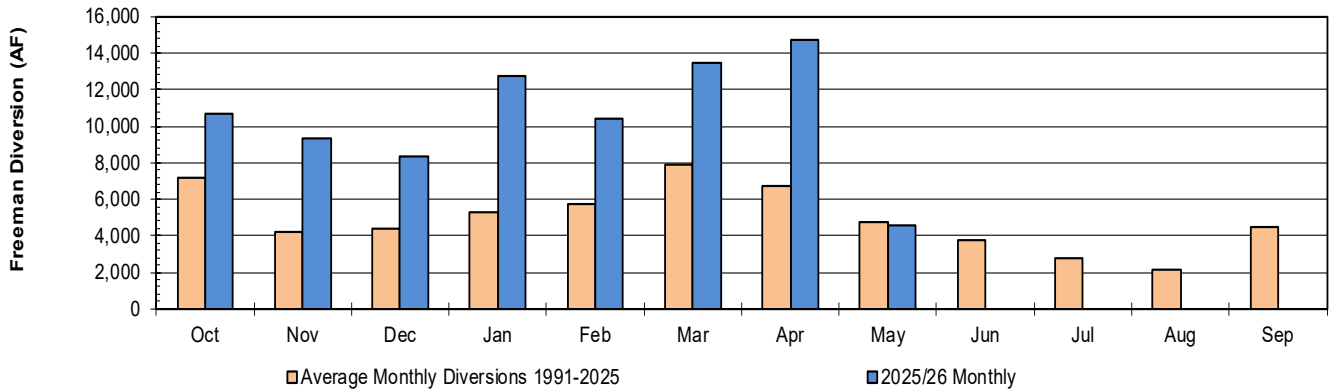
### Cumulative diversion and recharge totals by facility, 2025/26, in acre-feet

Month	Piru Spreading	Freeman Diversion	Saticoy Spreading (metered + unmetered)	El Rio Spreading	Noble/Rose Spreading	LBMWC Water Use
Oct	0	10,654	4,313	3,994	1,050	10
Nov	0	19,981	8,414	7,458	2,469	21
Dec	0	28,306	12,783	10,731	2,688	28
Jan	0	41,088	18,429	16,336	3,712	35
Feb	0	51,545	22,690	20,706	4,907	45
Mar	0	64,972	27,669	25,761	6,592	73
Apr	0	79,731	32,354	30,452	10,139	NA
May	0	84,268	32,755	32,559	10,647	NA
Jun						
Jul						
Aug						
Sep						

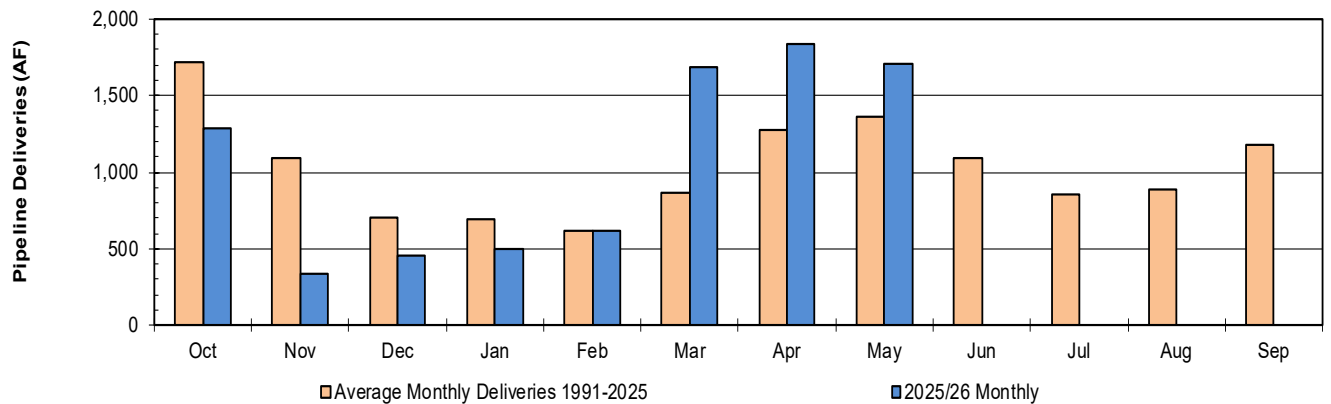
NA = Not Available



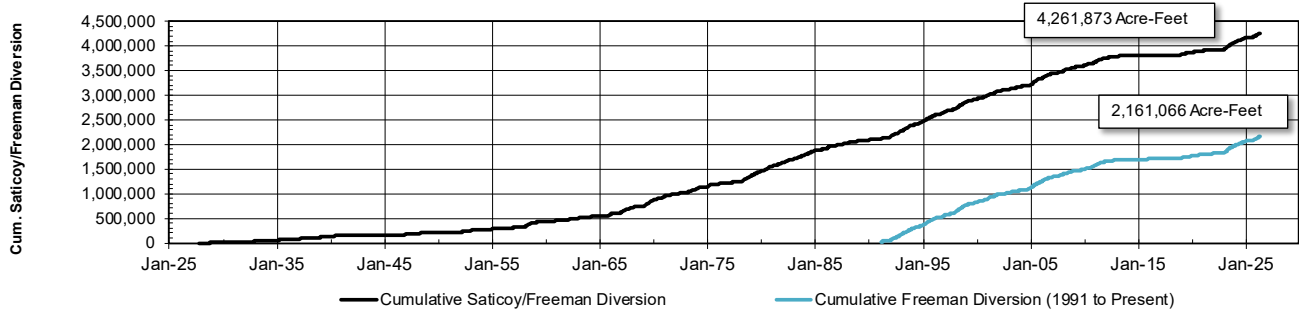
Monthly 2025/26 diversion at Freeman,  
compared to average monthly diversions (1991-2025)



Monthly 2025/26 pipeline deliveries (surface water deliveries),  
compared to average monthly pipeline deliveries (1991-2025)

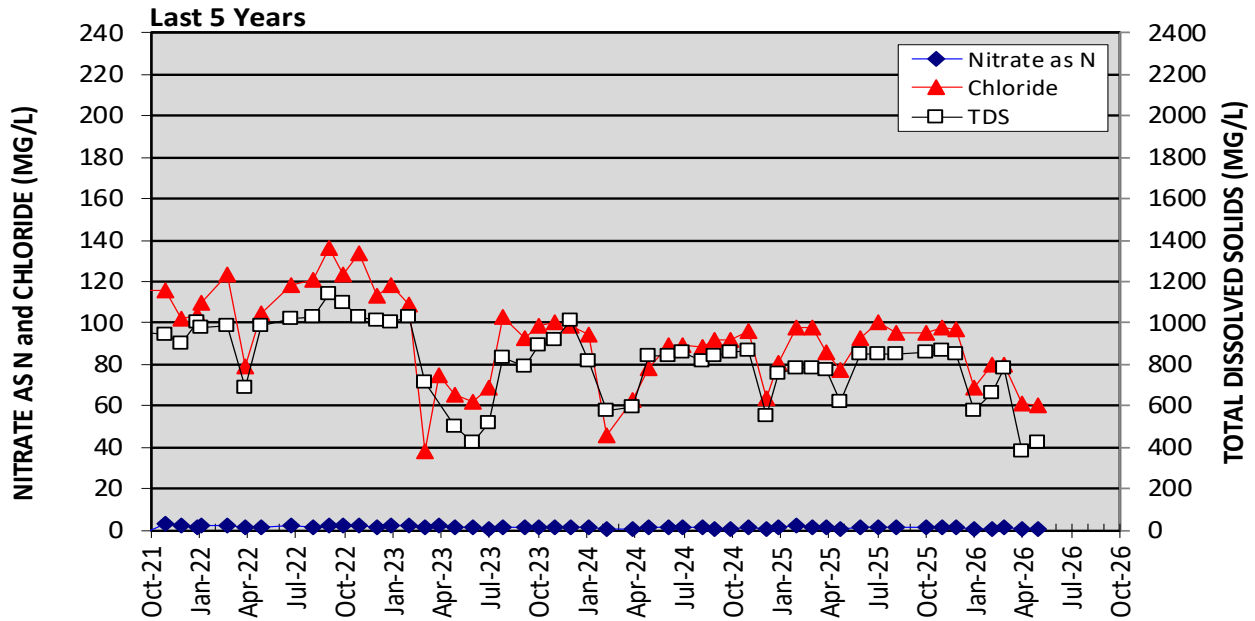


Cumulative diversion at Saticoy and Freeman Diversion, in acre-feet

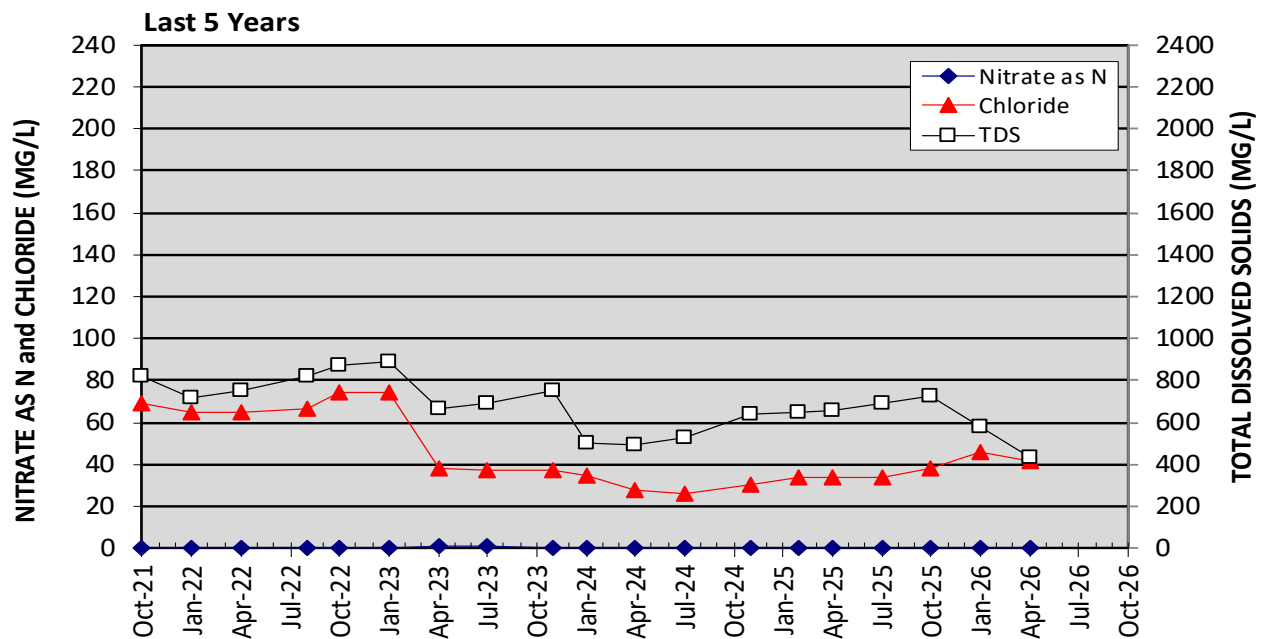


# Water Quality

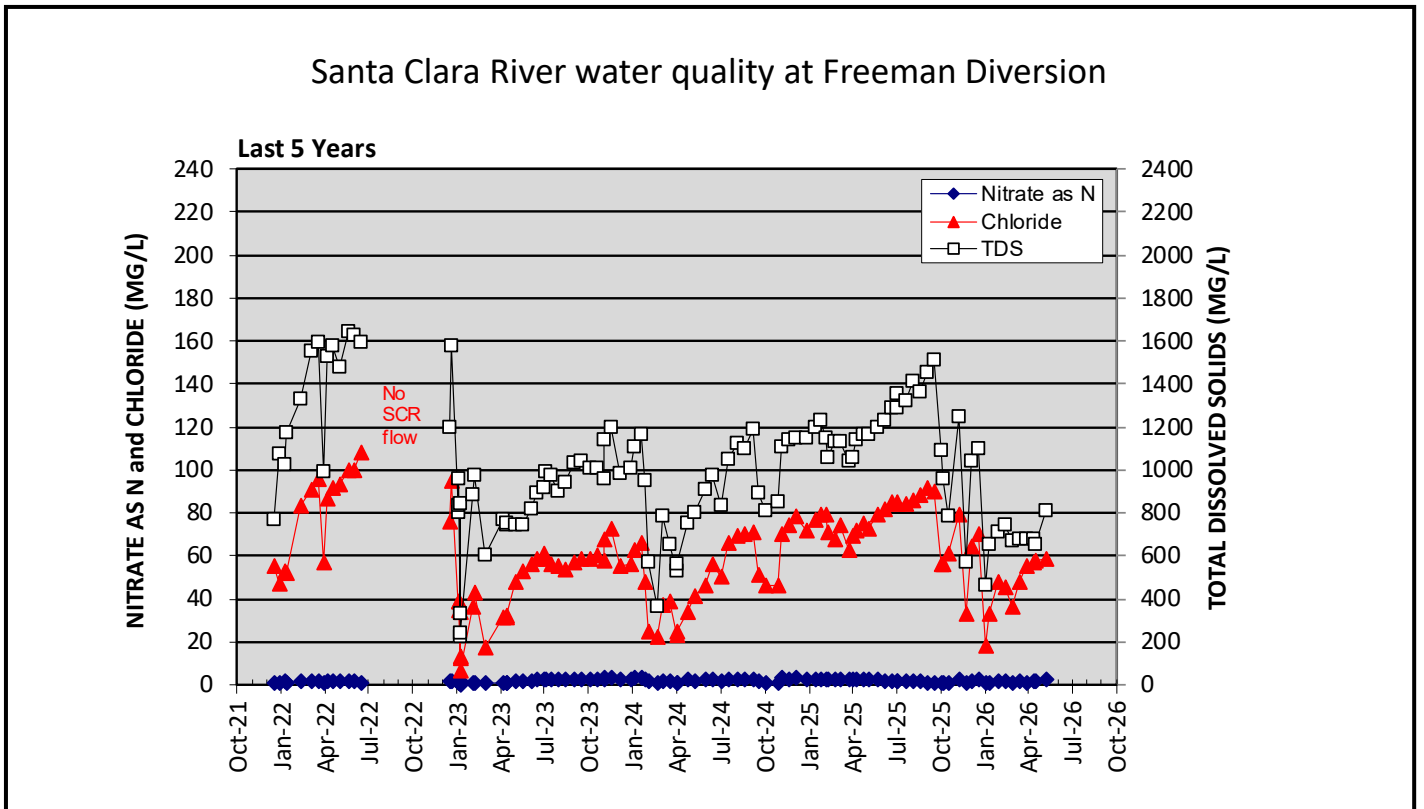
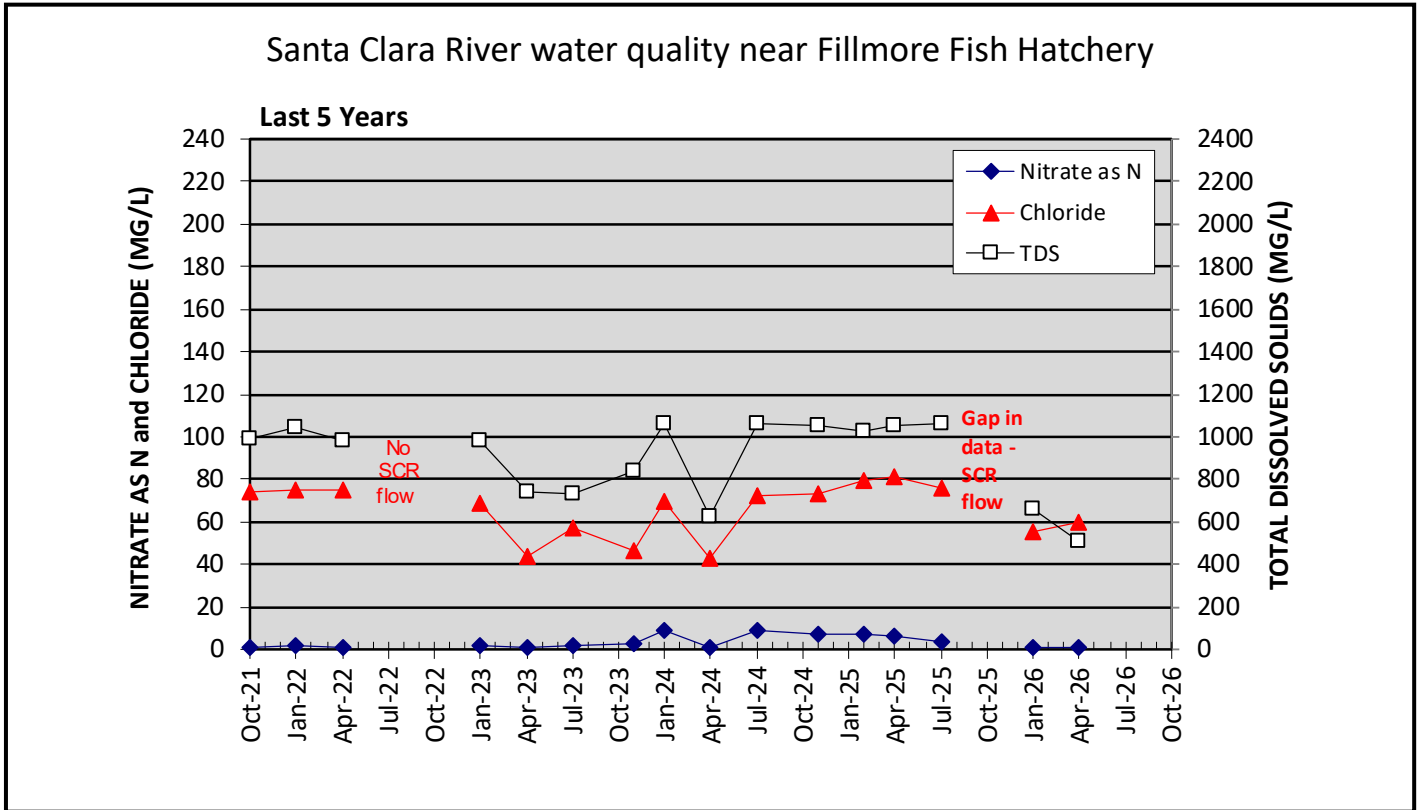
Santa Clara River water quality near Los Angeles/Ventura County line



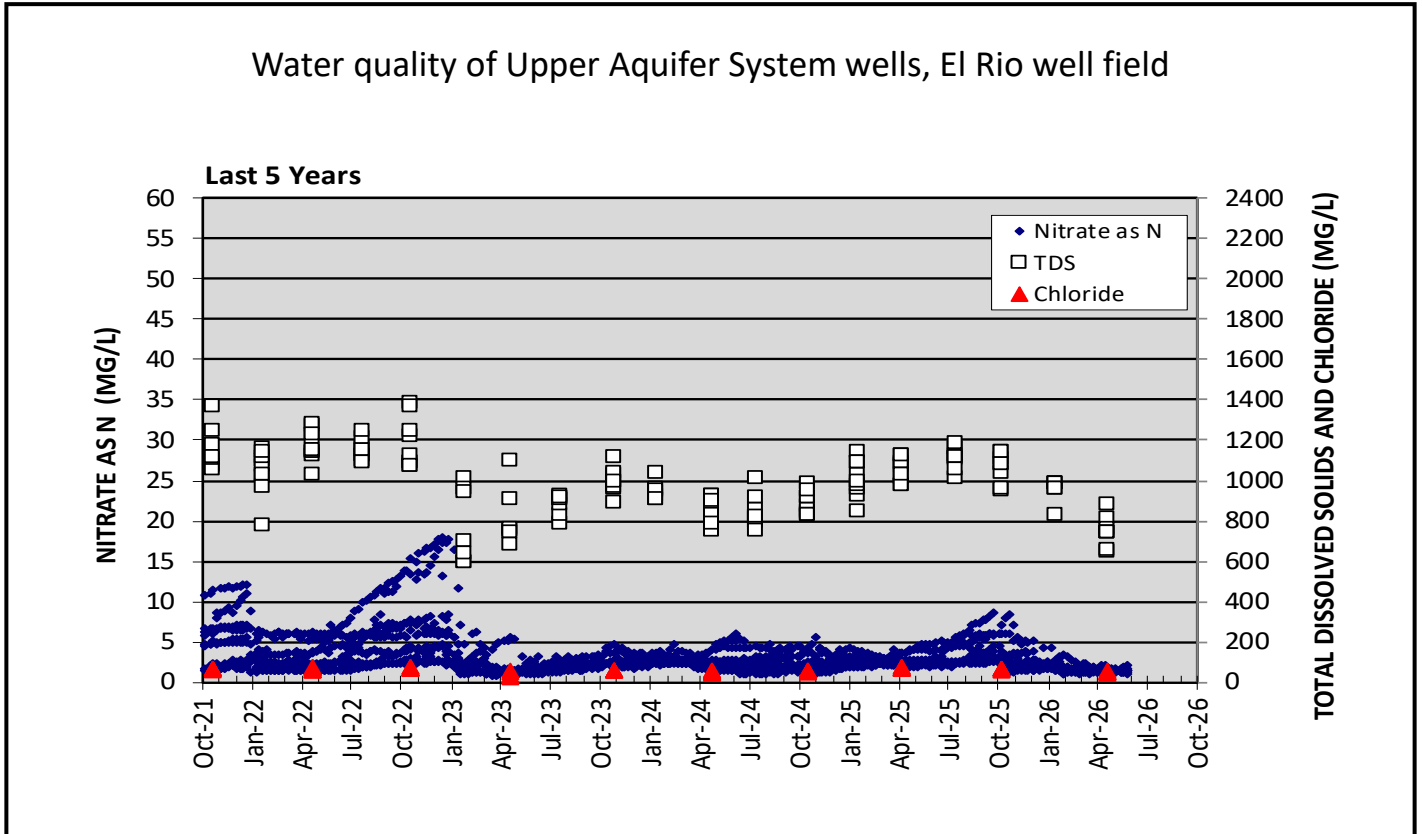
Piru Creek water quality below Santa Felicia Dam

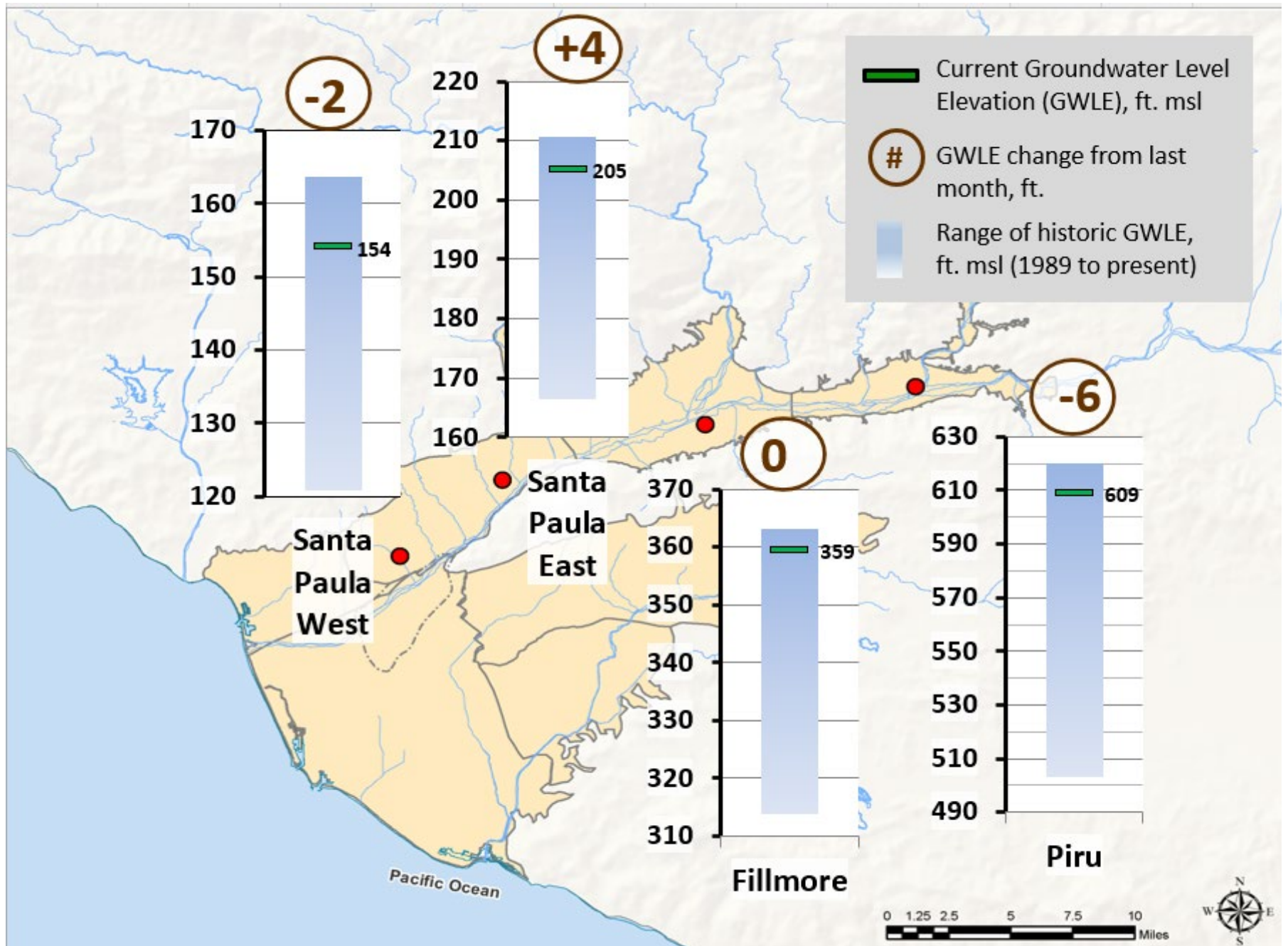


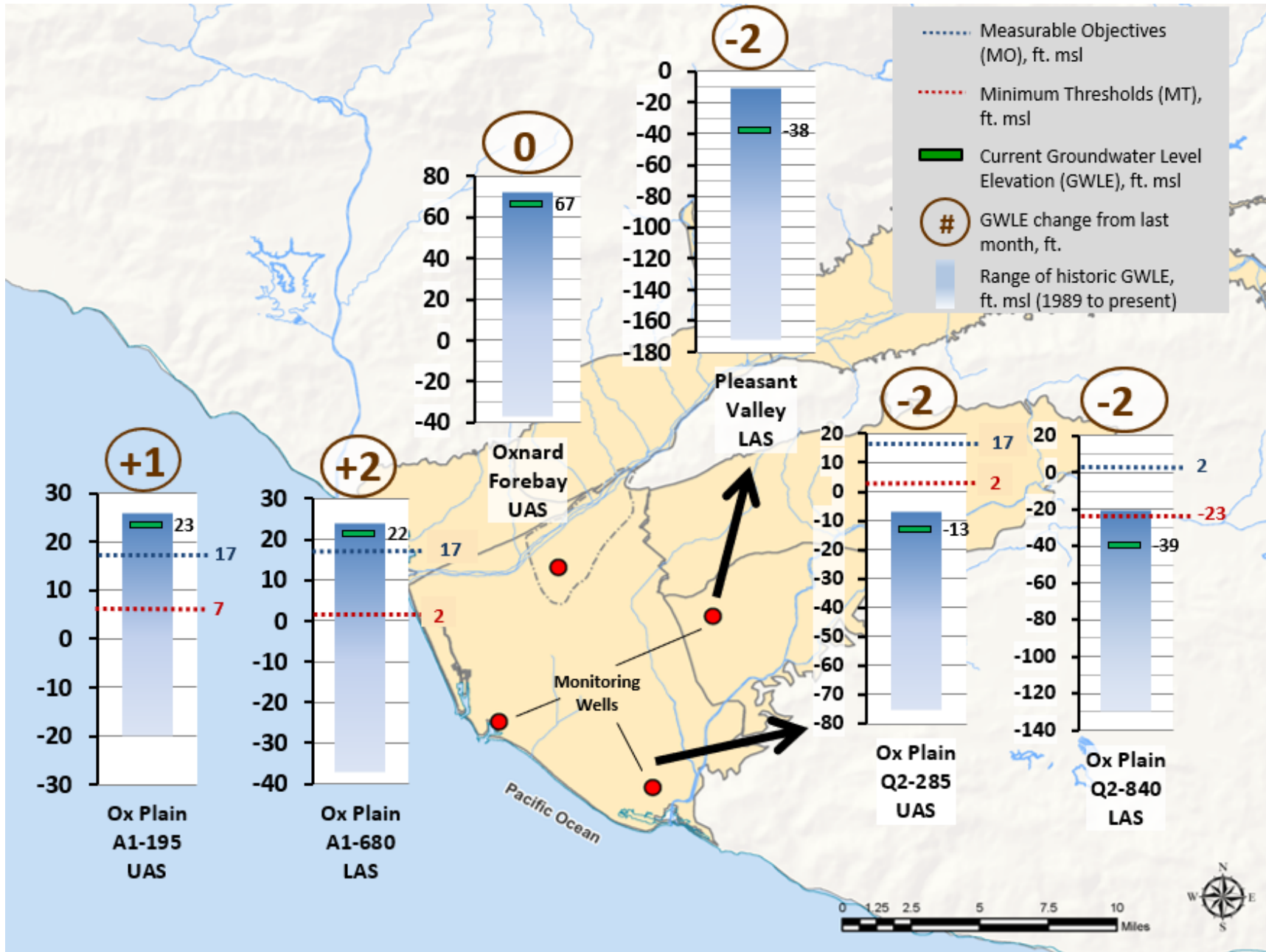
# Water Quality



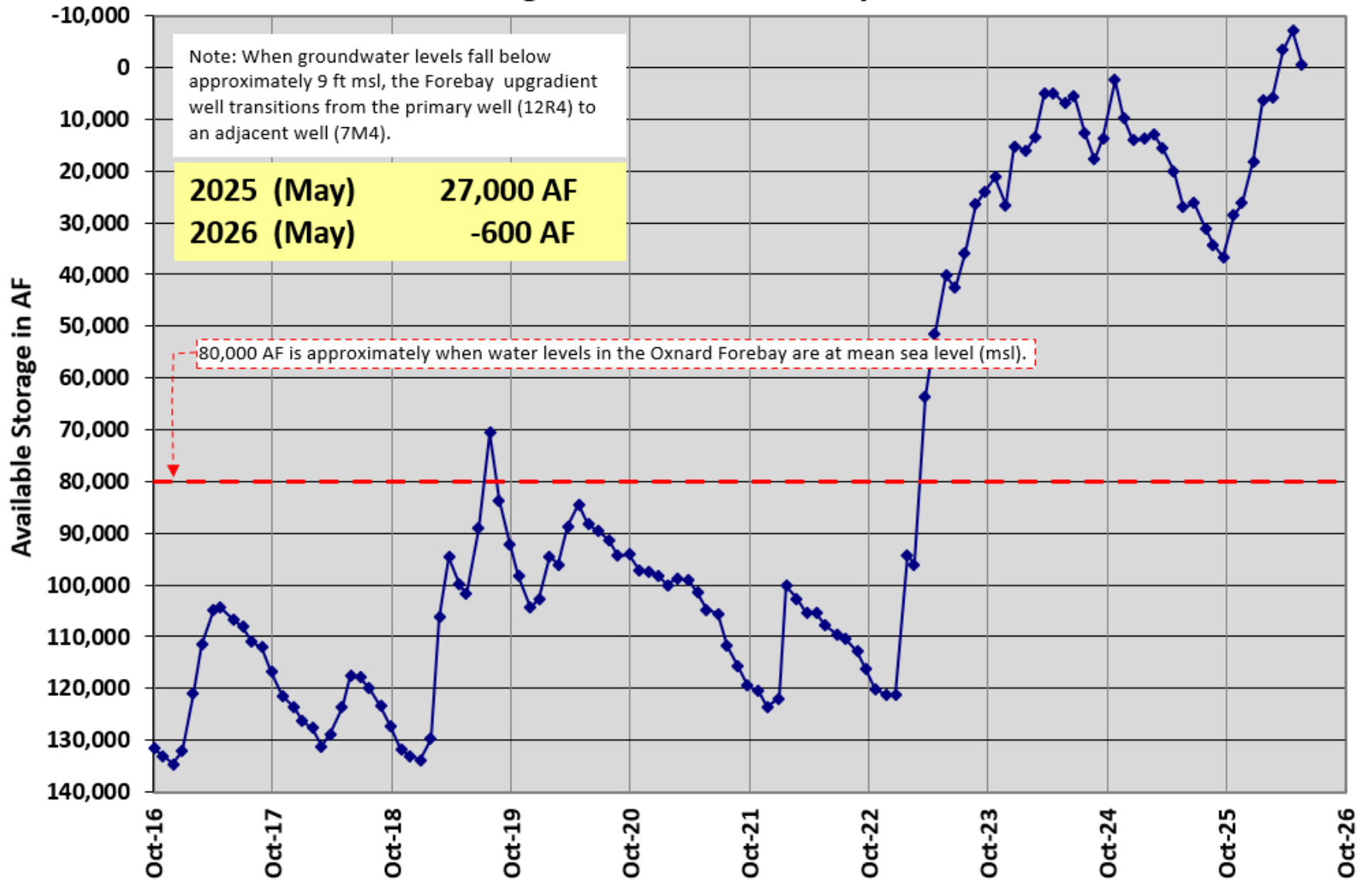
# Water Quality

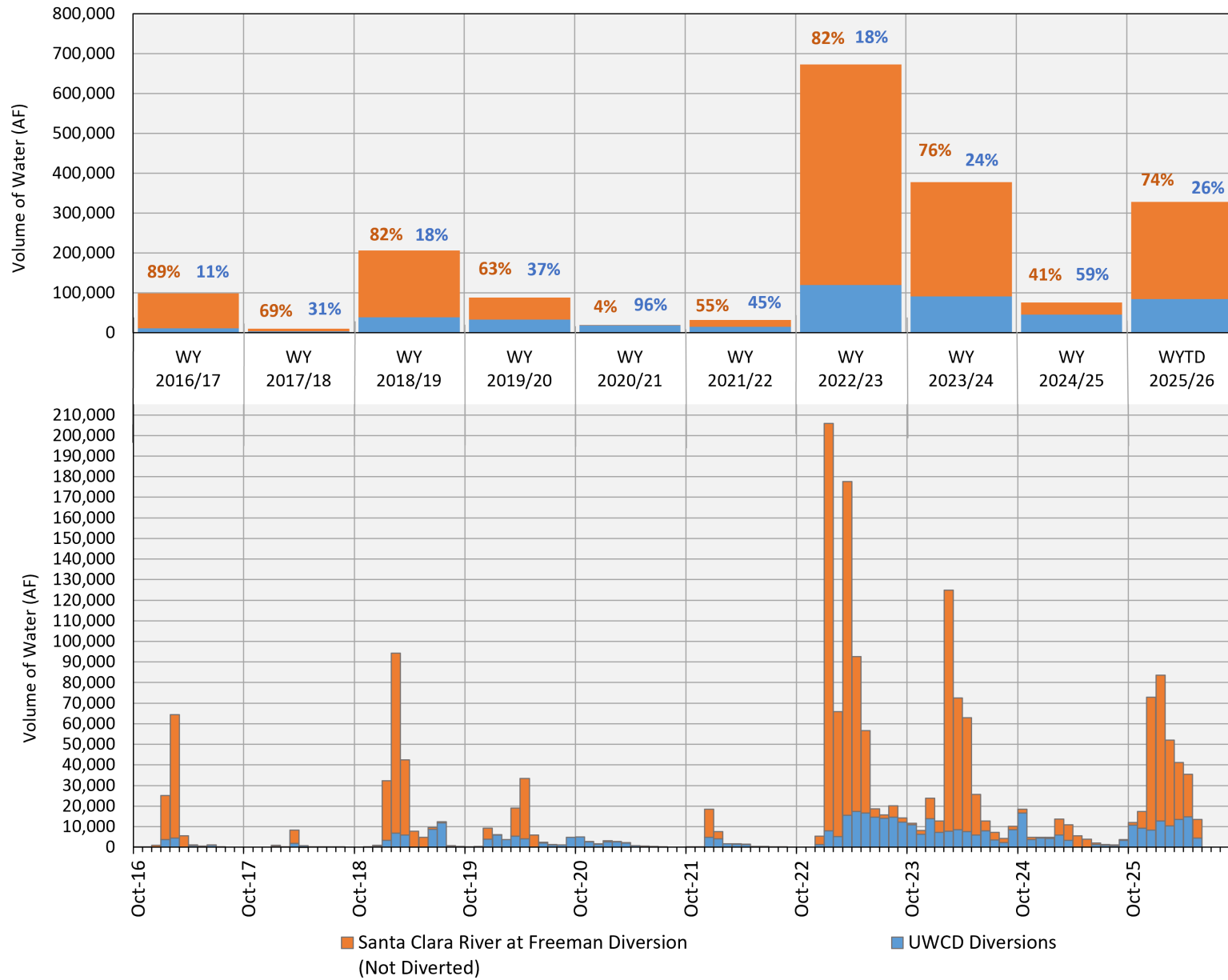






## Available Storage in the Oxnard Forebay - Last 10 Years





Water Year (WY) = October 1 to September 30; WYTD = Water Year To Date



## STAFF REPORT

**To:** UWCD Board of Directors

**Through:** Mauricio Guardado, General Manager  
Anthony A. Emmert, Assistant General Manager

**From:** Brian H. Zahn, Chief Financial Officer  
Sara Guzman, Finance Supervisor

**Date:** June 22, 2026 (July 8, 2026, meeting)

**Agenda Item:** 3.3 **Investment Monthly Report for May 2026**  
**Information Item**

---

**Staff Recommendation:**

Review the most current investment report for the month ending May 31, 2026.

**Discussion:**

None. Informational only.

**Fiscal Impact:**

As shown.

**Attachments:**

Combined Investment Monthly Report for May 2026

**United Water Conservation District  
Monthly Investment Report  
May 31, 2026**

<b>Investment Recap</b>	<b>G/L Balance</b>	<b>Weighted Avg Days to Maturity</b>	<b>Diversification Percentage of Total</b>
Citizens Business Bank	3,344,565	1	4.76%
Petty Cash	5,400	1	0.01%
County Treasury	4,415	1	0.01%
LAIF Investments	66,953,017	1	95.22%
<b>Total Cash, Cash Equivalents and Securities</b>	<b>70,307,396</b>		<b>100.00%</b>
<b>Investment Portfolio w/o Trustee Held Funds</b>	<b>70,307,396</b>		
<b>Trustee Held Funds</b>	<b>-</b>		
<b>Total Funds</b>	<b>70,307,396</b>		

<b>Local Agency Investment Fund (LAIF)</b>	<b>Beginning Balance</b>	<b>Deposits (Disbursements)</b>	<b>Ending Balance</b>
	66,953,017	-	66,953,017
	<b>Interest Earned YTD</b>	<b>Interest Received YTD</b>	<b>Qtrly Yield</b>
	1,610,005	2,118,769	3.98%

All District investments are shown above and conform to the District's Investment Policy. All investment transactions during this period are included in this report. Based on budgeted cash flows the District appears to have the ability to meet its expenditure requirements for the next six months.

DocuSigned by:  
*Mauricio Guardado*  
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6/12/2026

**Mauricio Guardado, General Manager**

**Date Certified**

DocuSigned by:  
*Anthony Emmert*  
70D59ECF0D8D46E...

6/11/2026

**Anthony Emmert, Assistant General Manager**

**Date Certified**

Signed by:  
*Brian H Zahn*  
6685DB362A674A3...

6/11/2026

**Brian H. Zahn, Chief Financial Officer**

**Date Certified**

<b>United Water Conservation District</b>			
<b>Cash Position</b>			
<b>May 31, 2026</b>			
<b>Fund</b>	<b>Total</b>	<b>Composition</b>	<b>Restrictions/Designations</b>
<b>General/Water Conservation Fund:</b>		<b>Revenue collected for district operations</b>	
General/Water Conservation	1,726,775	(17,056,753)	Includes General, Rec & Ranger, Water Conservation
		4,962,000	Reserved for legal expenditures
		4,280,257	Designated for replacement, capital improvements, and environmental projects
		9,541,271	Supplemental Water Purchase Fund
General CIP Funds	21,819,044	21,819,044	Appropriated for capital projects
	14,860,098	14,860,098	Reserved for CIP Projects
<b>Special Revenue Funds:</b>		<b>Revenue collected for a special purpose</b>	
State Water Project Funds	10,814,084	10,814,084	Procurement of water/rights from state water project
<b>Enterprise Funds:</b>		<b>Restricted to fund usage</b>	
Freeman Fund	1,775,585	1,775,585	Operations, Debt Service and Capital Projects
		-	Designated for replacement and capital improvements
		-	Reserved for legal expenditures
Freeman CIP Fund	5,375,062	5,375,062	Appropriated for capital projects
OH Pipeline Fund	4,041,427	4,041,427	Delivery of water to OH customers
OH CIP Fund	3,278,810	3,278,810	Appropriated for capital projects
OH Pipeline Well Replacement Fund	271,277	271,277	Well replacement fund
PV Pipeline Fund	1,014,262	1,014,262	Delivery of water to PV customers
PV CIP Fund	259,775	259,775	Appropriated for capital projects
PT Pipeline Fund	8,131,292	8,131,292	Delivery of water to PTP customers
PT CIP Fund	(3,060,095)	(3,060,095)	Appropriated for capital projects
<b>Total District Cash &amp; Investments</b>	<b>70,307,396</b>	<b>70,307,396</b>	



## STAFF REPORT

**To:** UWCD Board of Directors

**Through:** Mauricio Guardado, General Manager

**From:** Tara Acosta, Executive Assistant/Clerk of the Board

**Date:** June 29, 2026 (July 8, 2026, meeting)

**Agenda Item:** 3.4 **Adopt Resolution No. 2026-17 Supporting ACWA's Vision for Our Water Future**  
**Motion**

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### **Recommendation:**

Adopt Resolution No. 2026-17 supporting ACWA's Vision for Our Water Future.

### **Discussion:**

The Association of California Water Agencies (ACWA) has developed its "Vision for Our Water Future," which outlines statewide priorities focused on water supply reliability, infrastructure investment, environmental stewardship, and climate resilience. These priorities align with the District's ongoing efforts related to groundwater recharge, water supply reliability, and major infrastructure improvements, including the Santa Felicia Dam Safety Improvement Project. Adoption of this resolution demonstrates the Board's support of ACWA's Vision and helps strengthen statewide advocacy efforts for legislative and funding priorities that are important to the District and the communities it serves, with no direct fiscal impact anticipated.

ATTACHMENT: A – Resolution No. 2026-17

**RESOLUTION NO. 2026-17**

**A RESOLUTION OF THE BOARD OF DIRECTORS OF UNITED WATER CONSERVATION DISTRICT EXPRESSING SUPPORT FOR ACWA'S VISION FOR OUR WATER FUTURE**

WHEREAS, in April 2026, the Association of California Water Agencies (ACWA) launched Vision for Our Water Future, a member-driven initiative to identify statewide water priorities to inform engagement with California's next Administration; and

WHEREAS, the Vision for Our Water Future priorities call for strong leadership, sustainable investment, and coordinated action across all levels of government to secure California's water future; and

WHEREAS, United Water Conservation District (United Water), a not-for-profit public water agency, manages a comprehensive basin program across the Santa Clara River Valley and most of Ventura County, capturing stormwater, treating drinking water, importing water from the State Water Project, and recharging the groundwater supplies that underpin the region's water future; and

WHEREAS, the first Vision priority, to lead on water and elevate water as foundational to California's economy, agriculture, communities, environment, and climate resilience, reflects the daily work of United Water in serving agricultural, municipal, and industrial water users across roughly 335 square miles; and

WHEREAS, the second Vision priority, to ensure safe and reliable water remains affordable through sustained and predictable funding partnerships and streamlined investment delivery, directly affects United Water's ability to deliver the Santa Felicia Dam Safety Improvement Project, the Freeman Diversion Improvement Project, and the Extraction Barrier and Brackish Water Treatment Project (EBB Water Project); and

WHEREAS, the third Vision priority, to strengthen and modernize California's water infrastructure, including both built and natural systems, is embodied in United Water's Santa Felicia Dam Safety Improvement Project, which addresses seismic and flood capacity requirements under the California Division of Safety of Dams and the Federal Energy Regulatory Commission, and in the Freeman Diversion Improvement Project, which fulfills a federal court order to provide fish passage for Southern California steelhead; and

WHEREAS, the fourth Vision priority, to improve regulatory, operational, and scientific frameworks to enable efficient project delivery and adaptive water management, is essential to United Water's ability to address seawater intrusion in the Oxnard Plain aquifer through the EBB Water Project, a collaboration with Naval Base Ventura County undertaken in furtherance of the Fox Canyon Groundwater Management Agency's Groundwater Sustainability Plan; and

WHEREAS, local water agencies such as United Water are essential partners in implementing statewide solutions, and depend on the kind of sustained state leadership, predictable funding, and streamlined regulatory frameworks the Vision recommendations are designed to advance; and

WHEREAS, supporting the Vision recommendations demonstrates United Water's commitment to collaborative, science-based, and practical policies that advance a secure and resilient water future for the communities, farms, economy, and environment of central Ventura County and the State of California; now, therefore, be it

RESOLVED that the Board of Directors of United Water Conservation District hereby expresses support for ACWA's Vision for Our Water Future recommendations and affirms its commitment to working collaboratively with ACWA, state and federal partners, and fellow local agencies to advance reliable, affordable, and resilient water supplies.

PASSED AND ADOPTED by the Board of Directors of United Water Conservation District this 8th day of July, 2026, by the following vote:

Ayes:

Noes:

Abstain:

Absent:

ATTEST

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Lynn E. Maulhardt, President of the Board

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Gordon Kimball, Secretary of the Board



## STAFF REPORT

**To:** UWCD Board of Directors

**Through:** Mauricio Guardado, General Manager

**From:** Tara Acosta, Executive Assistant/Clerk of the Board

**Date:** June 29, 2026 (July 8, 2026, meeting)

**Agenda Item:** 3.5 Consider Cancellation of August Board Meeting  
Motion

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### **Recommendation:**

Cancel the regular August 2026 meeting of the Board of Directors.

### **Discussion:**

As has been the District's longstanding tradition, the Board annually considers whether to cancel its regular August meeting. The August meeting has historically been canceled when there are no time-sensitive matters requiring Board action and when meeting cancellation does not adversely affect District operations or governance activities.

If approved, the next regular Board of Directors meeting would occur on September 9, 2026.



## STAFF REPORT

**To:** UWCD Board of Directors

**Through:** Mauricio Guardado, General Manager  
Anthony A. Emmert, Assistant General Manager

**From:** Tara Mulally, Public Outreach and External Affairs Manager

**Date:** May 26, 2026 (July 8, 2026, meeting)

**Agenda Item:** 4.1 Public Outreach and External Affairs Department Monthly Report  
Information Item

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### Staff Recommendation:

Review this monthly report, including a six-month review of the Visibility and Value campaign, from the Public Outreach and External Affairs Department of its activities for May 2026, as well as receive a verbal presentation of its highlights.

### Discussion:

In January 2026, United Water Conservation District formally launched the Visibility and Value Campaign, a coordinated effort to build public awareness of the District's role, infrastructure, and impact across Ventura County. The campaign grew over its first half year into a multi-channel program spanning outdoor, print, digital, television, radio, branded content, and community events, all built around four consistent creative themes: Protect, Conserve, Manage, and Enhance.

### Outdoor

- Total DOOH (Digital Out-of-Home) impressions to date: 276,488
- Total ad plays: 44,022
- Oxnard was the top-performing market throughout
- Creative ran across grocery stores, gas stations, gyms, medical offices, and entertainment venues, reaching residents during routine daily movement

A digital billboard on the Ventura Freeway began running in May through July, extending United Water's outdoor presence onto one of the County's highest-traffic corridors.

### Print

- Six-issue print run in the Ventura County Reporter, running every other week
- Weekly print circulation: 35,000 copies distributed to over 700 locations
- Estimated weekly readership: 80,000 readers in print and online
- A print insert also ran in the VC Star's (Ventura County Star) Sunday edition. The Star's most recently published Sunday circulation is approximately 58,600

## **4.1 Public Outreach and External Affairs Department Monthly Report**

### **Information Item**

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#### **Digital**

United Water's digital presence spanned Display, Pre Roll/OLV (Online Video) video, CTV/OTT (Connected TV / Over-the-Top streaming video), Social, SEM (Search Engine Marketing), and Email across three media partners (KEYT, owned by the News-Press & Gazette Company (NPG); VC Star (Ventura County Star); and Entravision).

- Total Display impressions: 2,564,109
- Total Pre Roll/OLV video impressions: 1,203,572 (1,022,817 completions), combining KEYT's display network video with Entravision's bilingual Pre-Roll/OLV campaign, which consistently posted video completion rates between 82% and 96.5%, well above the 65–75% industry benchmark
- Total CTV/OTT impressions: 178,189 (175,461 completions, consistently above 96% completion rate across major networks including NBC, CBS News, and Paramount Network)
- Total Social impressions: 584,000
- Total SEM impressions: 4,395 (369 clicks)
- Total Email: 6 campaigns (4 VC Star, 2 Entravision bilingual) reaching approximately 529,948 combined audience, generating roughly 88,800 views/opens and 14,600 clicks
- Combined digital impressions across all channels: 4,534,265
- Oxnard, Camarillo, Thousand Oaks, and Simi Valley were the most consistent top-performing markets

#### **Television**

- 434 spots aired on KEYT's News Channel 3, February–June
- 1,716,900 household impressions
- 93.9% household reach in the market
- Average frequency of 11.8

#### **Radio**

- Lazer Media (KOLM-FM, Hispanic adults 18+, Oxnard-Ventura market, 20-week schedule): 738,000 gross impressions, 17.2 average frequency
- Entravision Audio Engage (bilingual digital audio, March–May): 840,640 impressions, 752,030 complete listens, an 89.5% blended listen-through rate, well above typical industry benchmarks. Oxnard and Ventura together generated roughly 90% of audio impressions each month
- United Water also maintained a presence on Gold Coast Broadcasting's KUNX and KVEN, extending reach to Ventura County's Spanish-speaking audience

#### **Branded Content**

- "Strengthening the Santa Clara River and the Region's Water Supply" article: 18,339 views, 2,923 clicks
- Click-through-via-rate of nearly 16%, well above typical benchmarks for sponsored content

## **4.1 Public Outreach and External Affairs Department Monthly Report Information Item**

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### **Total Advertising Impact**

Across Outdoor, Digital, Television, Radio, and Branded Content, the Visibility and Value Campaign generated more than 8.1 million paid advertising impressions over its first six months.

### **Earned Media**

- **SDLF “District of Distinction” release** (April 22, 2026): distributed via PR Newswire and picked up by 283 outlets, reaching a potential audience of over 100 million. Pickup broke down as 136 newspapers, 111 broadcast media outlets, and 28 online news sites and influencers, with the release generating 30 click-throughs back to unitedwater.org. The large pickup count reflects wire-service syndication, primarily local TV station sites nationally republishing the release verbatim, rather than original reporting on each. The release also ran as Member Submitted News on ACWA’s newsroom.
- **“From Ventura County to Capitol Hill” release** (ACWA Most Effective Agency on a Federal Issue, May 13, 2026): published directly on unitedwater.org and ran as Member Submitted News on ACWA’s newsroom.
- **“Piru’s Pothole Trail Gets a New Path Forward” release** (May 12, 2026): announced completion of the Pothole Trail realignment in partnership with the Los Padres Forest Association, published directly on unitedwater.org.
- **Independent local coverage:** United Water’s Assistant General Manager Maryam Bral was quoted directly in a Ventura County Reporter feature, “Flowing Into the Future,” covering regional water districts’ long-term planning and drought resilience, an example of organic, earned local press interest beyond syndicated releases.

### **Events and Tours**

United Water maintained a steady cadence of community presence across the campaign period, spanning tours, festivals, recreation programming, and industry events.

### **Tours**

- Isbell Middle School Diversion Tour (December 16)
- AWA Diversion Tour (December 16)
- January Quarterly Tour (January 28)
- CSU Channel Islands Tour (March 25)
- City of Ventura Operators Tour (April 7)
- Ventura Missionary School Tour (April 9)
- Quarterly Tour (April 29)

### **Community and Cultural Events**

- AWA Holiday Event (December 9)
- Piru Christmas Parade and Festival (December 13)
- Native Plant Fest (January 24)
- Southern California Water Coalition Quarterly Luncheon (January 23)
- Boys and Girls Club Annual Auction and Dinner (February 7)
- Ventura County Science Fair (March 20)
- Ventura County Farm Day (April 11)

## 4.1 Public Outreach and External Affairs Department Monthly Report Information Item

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- Strawberry Festival (May 16–17)
- Santa Paula Chamber of Commerce Awards (May 14)
- Santa Paula State of the City (June 11)
- Spring into Summer Community Resource Fair, Santa Paula (June 20)

### Recreation and Lake Piru Programming

- Piru Power Run 5K & 1K Fun Run/Walk (March 28)
- Pothole Trail Ribbon Cutting (May 17)
- Moonlight Cinema at Lake Piru Kick Off (May 23)
- Moonlight Cinema (June 13)
- Moonlight Cinema (June 27)

### Industry and Association Events

- AWA Waterwise Breakfast (March 19)
- AWA Ventura County 2026 Annual Symposium (April 16)
- CoLab 2026 Annual Spring Fling (April 25)
- Santa Paula Business Roundtable (June 25)

### Upcoming Events

- Oxnard's Stars, Stripes and Sounds (July 3)
- Sespe Creek Car Show (July 4)
- Hueneme Beach Festival (July 11)
- VC Farm Bureau Bond Presentation (July 16)
- Boys and Girls Club STEM Day (July 17)
- Moonlight Cinema (July 25)
- Quarterly Tour (July 29)
- Ventura County Fair (July 29–August 9)

**Awards:** March brought ACWA's Most Effective Agency on a Federal Issue award and SDLF's District of Distinction designation, both supported by dedicated press releases. April added a Lifetime Achievement Award for Board President Maulhardt at the AWA Annual Symposium.

**Team Growth:** External Affairs added two new staff members, Warner Curtis and Victoria Quiroz, expanding the department's capacity heading into the second half of the year.

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### Organic Momentum: Social Media and Website Growth

Alongside paid media, United Water's owned channels showed sustained, accelerating growth across the campaign period.

## 4.1 Public Outreach and External Affairs Department Monthly Report Information Item

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### Social Media

Metric	December Baseline	June 2026
Facebook Likes (UWCD)	659	893 (+36%)
LinkedIn Followers (UWCD)	467	690 (+48%)
Instagram Followers (UWCD)	9	234 (+2,500%)
Lake Piru Facebook	2,676	5,067 (+89%)
Lake Piru Instagram	1,387	3,533 (+155%)

The most dramatic growth came in two places: Lake Piru’s platforms, which benefited from the Piru Power Run, the Moonlight Cinema launch, and consistent seasonal recreation content; and United Water’s Instagram, which grew twenty-six fold over the campaign’s first six months. Both gains came under intern Warner Curtis’s leadership of the District’s social media efforts. Nextdoor engagement also expanded meaningfully over the period.

### Website Traffic

- April: 13,936 total visits
- May: 65,886 total visits
- June (through 6/23): 93,156 total visits
- Campaign-to-date total: 173,005 visits
- Traffic has continued accelerating into June, with two standout days on June 20 and 21 each topping 7,000 and 10,000 visits

Together, these trends point to a campaign that is building real organic momentum on top of its paid media foundation, with both social platforms and the website seeing their strongest growth in the most recent months.

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### Looking Ahead

As the campaign enters its second half, messaging is shifting toward United Water’s key infrastructure projects and the bond measure educational outreach effort. With paid media infrastructure now well-established and organic platforms showing sustained growth, the foundation built over these first six months positions the campaign well for continued momentum through the remainder of the flight.

## STAFF REPORT

**To:** UWCD Board of Directors

**Through:** Mauricio Guardado, General Manager  
Dr. Maryam Bral, Assistant General Manager

**From:** Robert Richardson, Engineering Manager

**Date:** June 23, 2026 (July 8, 2026, meeting)

**Agenda Item:** 4.2 Engineering Department Monthly Report  
Information item

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### **Staff Recommendation:**

Receive this summary report from the Engineering Department regarding its activities for May 2026, as well as receive a presentation of its highlights. Please refer to the end of the report for a list of acronyms.

### **Discussion:**

#### **Santa Felicia Dam Safety Improvement Project**

- **Regulatory Compliance:**
  - On May 21, Staff participated in a tabletop cybersecurity exercise planning meeting with FERC.
  - On May 28, DSOD conducted their annual inspection of SFD (see **Figure 1**). The inspector found the dam in satisfactory condition, with the only action item identified as clean-up of debris on the spillway.
  - The Annual FERC Inspection has been scheduled for August 27.
  
- **Outlet Works Improvement Project:**
  - On May 11, Amendment No.1 to the PCSA with GEI for Bid Document Preparation Services was executed.
  - On May 20, Staff received a letter from GEI certifying that the OPCC for SFD OW is BABAA compliant and would only require four product-specific waivers.
  - On May 22, Staff conducted a front-end specs workshop with B&V and GEI where they reviewed reservoir operations during construction and how it would influence the start/finish of tunneling, a critical safety component of the construction phase. Staff also received a presentation from GEI on their proposed strategy for GW infiltration testing, which supports the viability of disposing of construction nuisance water via percolation.

## **4.2 Engineering Department Monthly Report Information Item**

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- Staff advanced the sub-application for the FEMA BRIC program.
- Staff attended the Dam Safety and Climate Resilience Grant Program Overview Webinar. \$228M will be made available in funding, and the application process for SFD OW is anticipated to start in Q4.
- **Spillway Improvement Project:**
  - On May 26, Staff received initial review comments from GFT on the April 2025 SFD HHA.
  - On May 26, the GM authorized a budget transfer requesting the usage of \$20k of the \$200k contingency budget to the PCSA with GEI for Final Design Phase and Bid Phase Support Services to provide additional engineering support related to the SFD HHA.
- *Please see the Monthly Environmental Services Department Report for updates on the Project's environmental compliance and permitting process.*

### **Lake Piru Recreation Area FIP**

- **LOG Restroom Repair**
  - In May, the District's contractor, Ghazal Construction, completed all construction work except the floor coating system.
- **Water Treatment Plant Upgrades**
  - In May, the District's design consultant, Stantec, continued developing the Basis of Design Report.

### **Freeman Diversion Expansion**

- In May, the District's consultants and advisors continued to advance the 60% design.
- *Please see the Monthly Environmental Services Department Report for updates on the Project's environmental compliance and permitting process.*

### **Freeman Conveyance System Upgrade – Freeman to Ferro**

- **Vineyard Avenue Crossing**
  - On May 8, the District's design consultant, HDR, provided a milestone schedule for bid advertisement with tentative construction contract award in September.
  - On May 19, Staff received the updated OPCC and technical specifications for review in preparation for the solicitation of construction bids.

### **OH Pipeline**

- **2025 Urban Water Management Plan**
  - On May 12, Staff received a comment letter from the County of Ventura on the 2025 UWMP.
  - On May 13, a public hearing was held at the May Board of Directors meeting for the 2025 UWMP and WSCP.
  - Staff finalized the remaining documents related to this item.

## **4.2 Engineering Department Monthly Report Information Item**

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- **OH User's Meeting**

- On May 14, Staff presented "An Overview of Current and Future Connections to OH Pipeline" and "Five-Year CIP Plan (2026-27 to 2030-31) – Overview of Key Projects" at the OH Users Meeting (see **Figure 2**).

### **OH Well Replacement**

- In May, the District's Contractor, LO Lynch, under the supervision of the District's Consultant, Kyle Groundwater, completed preliminary development, chemical treatment, and focused intake pumping of Well No. 17.

### **Recycled Water Planning Activities**

- On May 27, Staff met with the City of Oxnard and PVCWD to discuss the current status of the AWPf.

### **Pumping Trough Pipeline**

- **PTP Users Meeting**

- On May 14, Staff presented "Recycled Water Updates" and "Five-Year CIP Plan (2026-27 to 2030-31) – Overview of Key Projects" at the PTP Users Meeting.

### **PTP Turnout Metering**

- Throughout May, Staff processed data for the final PTP metering punch list for the DWR WUE grant deliverables.

### **Extraction Barrier and Brackish Water Treatment Project**

- On May 28, Staff held a meeting with the District's potholing contractor (C-Below) and consultants (ECG, BGI, and Fillippin Engineering) to discuss the plan for potholing to potentially begin in mid-July, following submission of a dig permit.
- On May 28, the monthly drumbeat meeting was held with NBVC Staff.
- On May 29, Staff received the fully executed Phase 1B (design only) SAR. This marks a significant milestone and provides site control over all proposed Phase 1 well sites, pipelines, and the discharge facility at NBVC Point Mugu. A separate SAR will be required for Phase 1B construction after full design and NEPA documentation are complete.
- In May, Staff along with the District's on-call services consultant, BKF, worked on a grant application for the FY26 DCIP funding opportunity (applications due June 25). This includes coordination with NBVC's Community Planning Liaison Officer for the required letter of support from the Installation Commanding Officer.

## 4.2 Engineering Department Monthly Report Information Item

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### Utility Requests

- **Throughout** the month, Staff continued to respond to various Utility Requests for work that potentially impacts the PTP, PV, and the OH Pipelines:
  - **Costco Support**
    - On May 11, a Letter of Non-Interference was issued to the City of Camarillo.
    - On May 29, Staff attended a site visit at Overland Road with Costco's contractor, Toro, to locate an existing buried manhole match on the PV Pipeline.
  - **LOSSAN**
    - On May 1, Staff provided a letter of response to LOSSAN regarding the Leesdale Siding Extension project that impacts the PTP.
    - On May 19, a meeting was held with Monument Row and Zephyr Rail to discuss the project.
  - **City of Camarillo**
    - On May 21, Staff met with the City of Camarillo to discuss the Camarillo Hills Drain and Central Ave and Bike Path utility requests that potentially impact the PV Pipeline.
  - **Other Utility Requests**
    - In May, Staff responded to three utility requests from Cal Am, VCTD, CivilGrid, and Dempsey Mutual Water Company.

### State Water Interconnection Project

- On May 9, Staff received a bid abstract summarizing bids received from five (5) contractors from the City of Ventura. The lowest bid is at \$38.9M, and the highest bid is \$47.1M. The City is in the process of bid evaluation.

### Asset Management/CMMS System

- Throughout May, Staff reviewed record drawings for the OH Pipeline leak detection planning effort.
- Twenty (20) boxes of historical engineering documents were picked up for scanning on May 21 by the District's consultant, ARC.

### Professional Development (Conferences, Trainings, Webinars)

- May 4 – Staff participated in the monthly RDP meeting and provided a water resources brief and an update on the FY26 DCIP grant application.
- May 4 to 6 – Kaili Taniguchi, Adrian Quiroz, and Maryam Bral attended the USSD Annual Conference in Austin, Texas.
- May 12 – Kaili Taniguchi presented at the Santa Paula Pumpers Association Meeting (see **Figure 3**).
- May 14 – Robert Richardson presented the District's current and upcoming CIP projects at the SAME Business Opportunities Forum.

## 4.2 Engineering Department Monthly Report Information Item

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- May 19 – Staff attended the Buy America De Minimus Cost Waiver Workbook Webinar and learned about the various waivers available as part of the BABAA compliance process.
- May 19 – Staff virtually attended the City of Oxnard’s Council Meeting presentation of their 5-year CIP budget.
- May 22 – Staff attended the WaterWise Breakfast Meeting – "Ventura Water Membrane Bioreactor and Ultraviolet Light Disinfection and VenturaWaterPure Projects" presented by Gina Dorrington, Ventura Water’s General Manager.
- May 27 – Staff attended the AWA CCWUC – "Cross-Connection Control: Regulatory Updates and Field Implementation" presented by Lauren Tan, District Engineer with DDW.
- May 27 – Staff attended the DSCR grant workshop hosted by DWR.



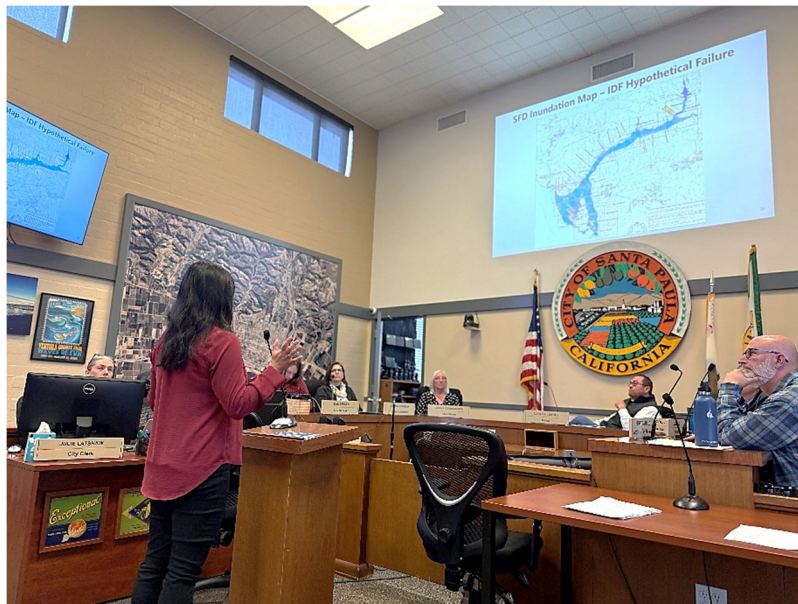
**Figure 1** – Dr. Maryam Bral and Tyler Clark of DSOD at the DSOD Inspection of SFD on May 28.

## 4.2 Engineering Department Monthly Report Information Item

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**Figure 2** – Robert Richardson presenting Five-Year CIP Plan (2026-27 to 2030-31) – Overview of Key Projects at the OH Users’ Meeting on May 14.



**Figure 3** – Kaili Taniguchi presenting on the SFD SIP Project at the Santa Paula Pumpers Association on May 12.

**4.2 Engineering Department Monthly Report  
Information Item**

**Acronym Index**

<b>Acronym</b>	<b>Definition</b>
ARC	ARC Document Solutions, Inc.
AWA	Association of Water Agencies
AWPF	Advanced Water Purification Facility
B&V	Black & Veatch
BABAA	Buy America Build America
BGI	Black Gold Industries
BRIC	Building Resilient Infrastructure and Communities
C Below	C Below, Inc.
Cal Am	California American Water
CCWUC	Channel Counties Water Utilities Committee
CIP	Capital Improvement Plan
CMMS	Computerized Maintenance Management System
DCIP	Defense Community Infrastructure Program
DDW	Division of Drinking Water
DSCR	Debt Service Coverage Ratio
DSOD	Division of Safety of Dams
DWR	California Department of Water Resources
ECG	Encompass Consulting Group Inc.
FEMA	Federal Emergency Management Agency
FERC	Federal Energy Regulatory Commission
FIP	Facility Improvement Project
FY	Fiscal Year
GEI	GEI Consultants
GFT	GFT Infrastructure, Inc.
GW	Ground Water
HDR	HDR Consultants, Inc.
HHA	Hydraulic Hazard Analysis
LO Lynch	L O Lynch Quality Wells & Pumps Inc
LOG	Lower Olive Grove
LOSSAN	Los Angeles – San Diego – San Luis Obispo
NBVC	Naval Base Ventura County
NEPA	National Environmental Policy Act
OH	Oxnard Hueneme
OPCC	Opinion of Probable Construction Cost
OW	Outlet Works
PCSA	Professional Consulting Services Agreement
PTP	Pumping Trough Pipeline

#### 4.2 Engineering Department Monthly Report Information Item

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<b>Acronym</b>	<b>Definition</b>
PV	Pleasant Valley
PVCWD	Pleasant Valley County Water District
RDP	Regional Defense Partnership
SAME	Society of American Military Engineers
SAR	Site Access Request
SFD	Santa Felicia Dam
SIP	Safety Improvement Plan
Toro	Toro Enterprises, Inc.
USSD	United States Society of Dams
UWMP	Urban Water Management Plan
VCTD	Ventura County Transportation Department
WSCP	Water Shortage Contingency Plan
WUE	Water Use Efficiency



## STAFF REPORT

**To:** UWCD Board of Directors

**Through:** Mauricio Guardado, General Manager  
Anthony A. Emmert, Assistant General Manager  
Dr. Maryam Bral, Assistant General Manager

**From:** Marissa Caringella, Environmental Services Manager

**Date:** June 24, 2026 (July 8, 2026, meeting)

**Agenda Item:** **4.3 Environmental Services Department Monthly Report**  
**Information Item**

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### **Staff Recommendation:**

Review this staff report from the Environmental Services Department (ES) staff regarding its activities for the month of May 2026.

### **Discussion:**

1. Santa Felicia Project Operations and Federal Energy Regulatory Commission License Support

#### *Santa Felicia Recreation Trail Plan – Pothole Trail*

On May 17, 2026, United Water Conservation District (United) hosted the Los Padres Forest Association's (LPFA) dedication event and grand opening ceremony for the U.S. Forest Service (USFS) Pothole Trailhead. The existing trail was realigned to create a safer and more accessible trail and will start at United's existing parking lot facility. The project was a collaboration between United, LPFA, and USFS, and was funded through a grant to LPFA.

#### *Water Release Plan and Water Release and Ramping Rate Implementation Plan*

Under the Water Release Plan and Federal Energy Regulatory Commission (FERC) license for the Santa Felicia Project, United is required to make certain water releases from the Santa Felicia Dam for steelhead habitat and migration when specific triggers are met. Triggers for habitat water releases are based on cumulative rainfall within the water year. United evaluates whether the trigger is met on the first day of each month, between January and June. The table below presents trigger criteria for each month and minimum required releases if triggers are met. The total precipitation as of February 1, 2026, met the triggers for elevated habitat releases through October 1, 2026. The minimum required habitat water release for the month of May was 10 cfs.

**4.3 Environmental Services Department Monthly Report  
Information Item**

Habitat water release trigger date	Trigger criteria (total cumulative precipitation on trigger date)	Minimum required water release if trigger is met	2026 Measured cumulative precipitation	Actual minimum required habitat water release for month
<b>January 1</b>	4.80 inches	15 cfs	16.53 inches	15 cfs
<b>February 1</b>	8.10 inches	20 cfs	19.84 inches	20 cfs
<b>March 1</b>	12.00 inches	20 cfs	25.64 inches	20 cfs
<b>April 1</b>	14.90 inches	20 cfs	25.64 inches	20 cfs
<b>May 1</b>	16.30 inches	10 cfs	25.64 inches	10 cfs
<b>June 1</b>	17.50 inches	9 cfs*	25.64 inches	9 cfs

\*If triggered, the minimum required water release will remain at nine (9) cfs through October 1, at which time, minimum required water release will be seven (7) cfs through January 1 of next calendar year.

*United Water Conservation District v. FERC, Court Case in Abeyance*

On September 29, 2010, the U.S. Court of Appeals granted United a motion to hold the court case “United Water Conservation District v. FERC” in abeyance and directed United to file status reports every 60 days. United filed the court case to challenge the biological opinion issued by the National Marine Fisheries Service (NMFS) on FERC’s issuance of a license for the Santa Felicia Project. On May 8, 2026, the ninety-fourth status update was filed with the U.S. Court of Appeals for District of Columbia Circuit.

*NMFS 2008 Biological Opinion Compliance*

During the month of May 2026, ES staff completed snorkel surveys below Santa Felicia Dam to comply with Reasonable and Prudent Alternative 3 of the 2008 Biological Opinion issued by NMFS for southern California steelhead (*Oncorhynchus mykiss*; *O. mykiss*). Requirements include conducting snorkel surveys in lower Piru Creek two times per week for four consecutive weeks when a fish is recorded (by the surveillance camera system) moving upstream through the fish ladder at the Freeman Diversion facility. Multiple *O. mykiss* were recorded using the Freeman fish ladder in May, so ES staff completed surveys in lower Piru Creek throughout the month and surveys will continue into June. No *O. mykiss* were observed.

*General Maintenance at Lake Piru and Santa Felicia Project – Section 404 Clean Water Act*

On May 4, 2026, ES staff completed pre-project biological surveys of the Juan Fernandez Swim Beach and two drainage basins along Piru Canyon Road in accordance with the U.S. Army Corps of Engineers (USACE) Clean Water Act 404 Permit. On May 5, 2026, ES staff submitted a pre-project notification and results from the surveys to the USACE. Maintenance activities were completed on May 11, 2026, and a completion of work notice was sent to the USACE on May 13, 2026.

*Lake Piru Recreation Area – Park Services Office Replacement Project Tree Protection Plan*

On May 27, 2026, ES, Engineering, and Recreation staff met with the Ventura County Planning Division for a final compliance check of the mitigation tree plantings related to the Tree Protection Plan for the 2018 Park Services Office Replacement Project at the Lake Piru

## 4.3 Environmental Services Department Monthly Report Information Item

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Recreation Area. This marks the completion of the planting, monitoring, and reporting requirements for that project.

### *Annual Consultations and Reporting*

Dissolved Oxygen Monitoring Plan: On May 6, 2026, ES staff submitted the final 2025 Dissolved Oxygen Monitoring Report to FERC. Before submittal to FERC, the annual report was sent to the State Water Resources Control Board for a 30-day comment period, as required; they did not have any comments.

Santa Felicia Whitewater Boating Access: On May 6, 2026, ES staff filed supplemental information for the 2025 Annual Whitewater Boating Access Monitoring Report with FERC.

### *United Water Conservation District v. FERC, Court Case in Abeyance*

On September 29, 2010, the U.S. Court of Appeals granted United a motion to hold the court case “United Water Conservation District v. FERC” in abeyance and directed United to file status reports every 60 days. United filed the court case to challenge the biological opinion issued by NMFS on FERC’s issuance of a license for the Santa Felicia Project. On May 8, 2026, the ninety-fourth status update was filed with the U.S. Court of Appeals for District of Columbia Circuit.

## 2. Increased State Water Project Imports from Pyramid Lake to Lake Piru

During the month of May 2026, the U.S. Geological Survey (USGS) conducted arroyo toad surveys in middle Piru Creek in support of the future permanent license amendment request to the Department of Water Resources (DWR) and the Los Angeles Department of Water and Power’s FERC license for Pyramid Lake to allow increased State Water Project imports from Pyramid Dam to Lake Piru. USGS conducted daytime and nighttime surveys in middle Piru Creek on May 4, 5, 18, and 19, 2026. ES staff supported USGS staff for the May 4 daytime and nighttime surveys in middle Piru Creek and Agua Blanca Creek. The final round of USGS surveys took place in early June 2026.

## 3. Freeman Diversion Operations

During the month of May 2026, ES staff continued to support Freeman Diversion operations and maintenance activities by coordinating with Water Resources and Operations and Maintenance (O&M) staff, coordinating with resource agencies as needed, conducting pre-project surveys, and providing on-site biological monitoring.

ES staff initiated fish ladder operations on January 1, 2026, and the fish ladder continued to operate through the month of May. ES and O&M staff continued utilizing the supplemental pump(s) during flushes. During the month of May 2026, the Freeman fish ladder surveillance system recorded a total of 10 clips of *O. mykiss* individuals moving upstream through the ladder. Specifically, clips of individual *O. mykiss* were recorded on May 2 (3 clips), May 3 (2 clips), May 5 (2 clips), May 6 (1 clip), May 10 (1 clip), and May 12 (1 clip).

### 4.3 **Environmental Services Department Monthly Report** **Information Item**

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Additionally, a total of 20 adult and 25 juvenile Pacific lamprey (*Entosphenus tridentatus*) have been observed in or near the facility as of May 31, 2026.

On May 21, 2026, the California Department of Fish and Wildlife (CDFW) performed their monthly survey of the Santa Clara River near the Freeman Diversion; no *O. mykiss* were observed.

#### 4. Multiple Species Habitat Conservation Plan

Following the January 8, 2026, submittal of the Freeman Diversion Multiple Species Habitat Conservation Plan (MSHCP) under the federal Endangered Species Act, incidental take permit (ITP) applications under the California Endangered Species Act, and notifications of Lake and Streambed Alteration, United continues to communicate with federal and state agencies regarding review and processing of the subject applications. NMFS has yet to provide a response to United's January 8, 2026, MSHCP submittal; however, United has a scheduled meeting with NMFS West Coast Region staff in June to discuss the status of NMFS' review.

During the month of May 2026, United's consultant, Stillwater Sciences, prepared a draft publication manuscript for the smolt agent-based migration (ABM) model and provided to United for review. ES staff reviewed the draft manuscript and provided feedback. The manuscript is anticipated to be submitted for peer review and publication in June 2026.

On May 28, 2026, ES staff provided a pre-submittal California Endangered Species Act ITP outline to CDFW for the current and future operations of the Freeman Diversion. The outline was provided to CDFW for feedback in advance of the full ITP application package in an effort to minimize potential incompletes and requests for additional information from CDFW and streamline the review process.

During the month of May 2026, ES staff completed the final critical riffle data collection event of the season. Consistent with prior data collection events and the field study approach, critical riffle data was collected at several sites in the lower Santa Clara River to support the critical riffle study.

#### 5. Extraction Barrier and Brackish Water Treatment Project (EBB Water Project)

During the month of May 2026, ES staff oversaw the development of the EBB Water Project Phase 1 final draft National Environmental Policy Act (NEPA) document (Environmental Assessment). The final draft NEPA document will be delivered to the U.S. Navy by July.

During the week of May 25, 2026, ESD staff worked with EBB Water Project sub-consultant Larry Walker Associates on development of a technical memo to support surface water discharge during utility potholing work to be completed in summer 2026. The memo was distributed to the U.S. Navy on May 28, 2026.

## 4.3 Environmental Services Department Monthly Report Information Item

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### 6. Sustainable Groundwater Management Act

During the month of May 2026, ES staff continued work in the East Grove groundwater dependent ecosystem in support of resolving biological data gaps on behalf of the Fillmore and Piru Basins Groundwater Sustainability Agency (FPBGSA). ES staff continued to maintain data loggers in Lost Creek (the off-channel artesian stream in East Grove) and completed an additional snorkel survey on May 1, 2026.

On May 19, 2026, ES staff received the eDNA results from the April 2026 sampling event, and on May 21, 2026, ES staff and Stillwater Sciences presented the results of recent monitoring activities to the FPGSA Board of Directors. Notably, the latest eDNA results included a positive detection for *O. mykiss* significantly further upstream than previously detected by eDNA (though consistent with an April visual observation).

Additionally, during the month of May, ES staff continued fieldwork in lower Sespe Creek in support of resolving hydrologic data gaps on behalf of the FPBGSA. The lower reach of Sespe Creek near Fillmore has been identified as a data gap in the Groundwater Sustainability Plan in terms of information relevant to modeling groundwater and interconnected surface water. ES staff coordinated with local landowners regarding access in key areas, deployed and maintained eight water level loggers, and collected numerous discharge measurements.

### 7. Invasive Mussel Management

Throughout the month of May 2026, ES staff continued to conduct quagga mussel monitoring activities on Lake Piru, lower Piru Creek, and the spillway pool in accordance with the Quagga Mussel Monitoring and Control Plan (QMMCP). ES staff also continued quagga mussel veliger (larva) sampling in United's lower system and continues to coordinate regularly with Pleasant Valley County Water District.

During the month of May 2026, staff participated in the Association of California Water Agencies (ACWA) Golden Mussels Working Group meetings and provided feedback on pending golden mussels legislation.

On May 13, 2026, ES staff attended a Golden Mussel webinar hosted by the California Lake Management Society.

### 8. Grant Efforts

During the month of May 2026, the Grant Task Force (GTF) and Engineering staff continued to develop an application under the Building Resilient Infrastructure and Communities (BRIC) grant program – administered by the Federal Emergency Management Agency – for the Santa Felicia Dam Safety Improvement Project (Outlet Works Project). The BRIC grant application is due June 5, 2026.

## 4.3 Environmental Services Department Monthly Report Information Item

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### 9. Miscellaneous

On May 8, 2026, ES staff attended a virtual training, "What's Heating Up for Spring: Federal Permitting Policy Update" hosted by Environmental Science Associates' Federal Strategy Director.

On May 13, 2026, ES staff conducted the second of three least Bell's vireo protocol point-count surveys at the Freeman Diversion and downstream locations in partnership with the U.S. Fish and Wildlife Service.

On May 14, 2026, ES staff attended an advanced California Environmental Quality Act webinar hosted by the Association of Environmental Professionals.

On May 18, 2026, ES staff attended the ACWA Federal Affairs Committee meeting focused on the ESA Amendments Act and the ACWA ESA Policy Principles.

On May 18, 2026, the Wishtoyo Foundation submitted a Public Records Act (PRA) request to United. On May 28, 2026, legal counsel for United provided a 10-day response to the Wishtoyo Foundation's PRA request.

On May 20, 2026, ES staff watched the House Natural Resources Subcommittee on Water, Wildlife and Fisheries hearing titled "The Federal Reclamation Program's Next Century". Farm Family Alliance's Executive Director, Samantha Barncastle, and Metropolitan Water District's General Manager both testified at the hearing.

On May 21, 2026, ES staff attended the Association of Water Agencies WaterWise meeting with a presentation by Gina Dorrington, the General Manager of Ventura Water.

On May 21, 2026, ES staff attended a training session with ESRI staff regarding ArcGIS Pro and ArcGIS online workflows and establishing authoritative data.

On May 22, 2026, ES staff attended a zoom webinar hosted by the Office of Water Programs titled, "Northern California's Role in Adapting to a Hotter, Drier Future." The webinar was presented by the Northern California Water Association.

On May 27, 2026, ES, O&M, and Engineering staff met at the dam to assess lower Piru Creek for flow obstructions.

On May 28, 2026, ES staff attended a meeting with DWR and Santa Clarita Valley Water regarding the Castaic Downstream Users Agreement. The next meeting is scheduled for July 2, 2026.

On May 28, 2026, ES staff attended an in-house SCADA training session.



## STAFF REPORT

**To:** UWCD Board of Directors

**Through:** Mauricio Guardado, General Manager  
Dr. Maryam Bral, Assistant General Manager

**From:** Craig Morgan, Chief Operations Officer  
Randy Castañeda, Operations Supervisor - Water Treatment  
Ed Reese, Control Systems Supervisor  
J.D. Smallwood, Operations Supervisor - Water Distribution

**Date:** June 23, 2026 (July 8, 2026, meeting)

**Agenda Item:** **4.4 Operations and Maintenance Department Monthly Report**  
**Information item**

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### **Staff Recommendation:**

Receive this summary report from the Operations and Maintenance Department regarding its activities for May 2026, as well as a presentation of its highlights.

### **1. Major Facilities Update**

- **Santa Felicia Dam**
  - On May 1, 2026, Lake Piru level was 1054.9'. On May 31, 2026, Lake Piru level was 1052.3'.
  - Santa Felicia Dam outflows ranged from 35 CFS to 200 CFS as releases were adjusted to match lake inflows, maintain target reservoir elevations for the spillway cleanup project, and support water harvesting operations at the Freeman Diversion.
  - Annual maintenance of the East Road was completed to ensure reliable emergency access and maintain the functionality of debris basins along the roadway.
  - On May 28, 2026, Santa Felicia Dam staff hosted the Division of Safety of Dams (DSOD) for the annual dam inspection. The inspection included a review of the dam facilities, operations, and maintenance activities to ensure continued compliance and safe operation.
  - SFD's monthly inspection for May was completed and submitted to Engineering for review.
  - Interdepartmental coordination is ongoing to support the debris removal process at the Santa Felicia Dam Spillway, ensuring efficient planning and execution of cleanup efforts.
  
- **Freeman Diversion, Saticoy, and El Rio Recharge Facilities**
  - Various maintenance activities and inspections were conducted throughout the Saticoy Facility, ensuring reliable water diversions.

#### 4.4 Operations and Maintenance Department Monthly Report Information Item

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- Staff performed routine screen bay maintenance in between sediment flush operations to ensure continued functionality and reliable system performance.
- Staff utilized a District-owned portable pump to maintain flow through the fish ladder during sediment flushes and maintenance activities, ensuring adequate water conditions within the fish ladder.
- Staff utilized a rental pump to dewater the Desilting Basin in preparation for the upcoming annual Desilting Project. This effort will help provide suitable working conditions and improve project efficiency.
- Staff have been discing the tops of ponds for weed abatement, rodent control, and overall site appearance.
- Staff performed various microscreen maintenance activities as part of the annual Freeman maintenance program, including painting infrastructure, replacing gearbox oil, cleaning microscreens, and conducting general equipment upkeep. These efforts were completed ahead of schedule to ensure operational readiness and system reliability.
- Taking advantage of lower diversion rates, Saticoy staff proactively scheduled and completed maintenance on the Grand Canal, Noble Basin, and Rose Basin pond gates. Work included the inspection, cleaning, lubrication, and general maintenance of the gates and associated equipment to ensure reliable operation and extend equipment service life.
- Sediment flush operations were conducted at the Freeman Diversion in response to sediment impoundment on the approach channel throughout May.
- Static groundwater levels (distance to water - from the well pad to the water table):

Facility	2026*	2025	2024	2023
Saticoy	27'	60'	24'	16'
El Rio	53'	57'	61'	94'
PTP	66'-101'	77'-130'	55'-95'	65'-101'

*\* Static groundwater levels are typically recorded in the last week of the month. This table represents data in the month of May.*

- **Oxnard Hueneme Delivery System**

- El Rio operators made operational changes to accommodate overhead electrical work throughout the OH Well Field.
- El Rio operators assisted All American Drilling with pump efficiency testing for various OH Wells.
- El Rio operators performed monthly start-up testing of miscellaneous UWCD emergency generators.
- El Rio operators completed installation of the new drain line, north of OH Clear Well 2.
- El Rio staff installed reflector devices around perimeters for OH Clear Wells to help prevent bird nesting at these sites.
- El Rio operators replaced the stolen backflow device at UWCD HQ. They then poured cement pads to install cages that will prevent future theft of these devices.

#### **4.4 Operations and Maintenance Department Monthly Report Information Item**

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- **Pleasant Valley County Water District**
  - Demand was met with a combination of surface water from the Freeman Diversion and Saticoy Wells.
  
- **Pumping Trough Pipeline**
  - El Rio operators uncovered and marked out buried isolation valves on the PTP System.
  - The On-Duty El Rio operator responded to a leaking air vac. valve that was run over on Rice Ave. The line feeding the air vac was isolated and a new air vac was installed.
  - El Rio staff assisted All American Drilling with the reinstallation of a refurbished pump assembly for the PTP Reservoir booster station.
  - El Rio operators isolated part of the PTP System to replace the defective air vac. valve on the pipeline that runs across Beardsley Slough.
  
- **Control Systems**
  - Supported Engineering for the Olive Grove Restroom Overhaul.
  - Installed the AUX gate pump at Freeman.
  - Integrated Moss Screen Power Monitoring into SCADA.
  - Completed PTP Res VFD drive configuration.
  - Patching update completed on Proxmox Environment.
  - Repair and Maintenance to restore the Infrastructure Radio Communications.
  - Meter Replacement for OH 16 Completed.
  
- **Lake Piru Water Treatment Plant**
  - El Rio operators completed repairs on the backflow devices at Lake Piru site.
  - All the chlorine residuals and turbidity readings for the Lake Piru Water Treatment Plant (LPWTP) were within proper ranges in May.
  - Monthly pH, turbidity, and coliform samples were obtained from the treatment plant as part of the Long-Term 2 Enhanced Surface Water Treatment Rule compliance monitoring. The results were favorable, with another passing grade.

#### **2. Operations and Maintenance Treatment Compliance Projects Update**

- Completed monthly OH SWTR report and emailed to DDW and OH customers.
- Performed monthly inspection of OH Clear Well floating covers.
- Obtained monthly static water levels for OH, PTP, and Saticoy Wells.
- Logged monthly reads for OH, PTP, PV pipeline flow meters.
- Completed monthly SWRCB Drought Tolerance report for OH system.
- Finalized monthly UWCD surface water and pipeline usage reports.
- Completed annual UWCD CCR document and forwarded to UWCD Administration staff for review before it is posted online and sent to OH customers.

#### **4.4 Operations and Maintenance Department Monthly Report Information Item**

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### **3. Safety and Training**

- El Rio operators began training the new O&M intern on the daily operations of OH, PTP, and PV water systems.
- Saticoy staff attended CPR training.

**Attachment:**

Operation Log for May 2026





## STAFF REPORT

**To:** UWCD Board of Directors

**Through:** Mauricio Guardado, General Manager  
Anthony A. Emmert, Assistant General Manager

**From:** Bernard Riedel Jr., Senior Park Ranger

**Date:** June 22, 2026 (July 8, 2026, meeting)

**Agenda Item:** 4.5 **Recreation Department Monthly Report**  
**Information Item**

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### **Staff Recommendation:**

Review this monthly report from the Recreation Department of its activities for May 2026, as well as receive a verbal presentation of its highlights.

### **Discussion:**

Temperatures in May increased and Memorial Day Weekend is the unofficial start of the busy season at Lake Piru, so there was a sharp increase in visitation. After O&M graded and removed the vegetation along the shoreline adjacent to the Juan Fernandez Boat Launch, 450 tons of fill sand was purchased and ranger staff spread it along the shore to create the swim beach for the summer.

New in the 2026 busy season is on Fridays, Saturdays, and holidays from Memorial Day Weekend through Labor Day Weekend, a ranger is on duty all night long. The purpose is to ensure noise levels are kept to a minimum during quiet hours (10pm to 8am) and address any emergencies that may arise after hours.

### **Staff Tasks and Activity Highlights**

- **May 17:** Lake Piru staff attended the ribbon cutting ceremony for the rerouted Pothole Trail.
- **May 19:** Rangers picked up two new pontoon boats, increasing the pontoon rental fleet to five (5).
- **May 23:** The first movie in the park was shown with a screening of Goonies. Ranger staff will be coordinated with the outreach manager on other screenings throughout the summer season. If successful, the plan is to have one movie screening per month throughout the year.

#### 4.5 Recreation Department Monthly Report Information Item

##### Staff Training/Meetings/Events

- **April 30-May 3:** Lake Piru staff hosted a booth at the West Coast Fishing Expo at the Ventura Fairgrounds and engaged with the community on Lake Piru Recreation Area and United Water Conservation District matters.

<b>2026 Day Use/Other Revenue Recap and Comparison</b>	
2026 Day Use/Other Revenue (Jan 1 – May 31)	\$304,056
2026 Boat Rental Revenue (Jan 1 – May 31)	\$41,284
2025 Day Use/Other Revenue (Jan 1 – May 31)	\$221,848
Total Revenue Increase/Decrease from Prior Year	<b>\$82,208</b>
Annual Increase/Decrease %	<b>37%</b>
<b>2026 Camping Revenue</b>	
2026 Camping Revenue (Jan 1 – May 31)	\$211,682
2025 Camping Revenue (Jan 1 – May 31)	\$188,098
Total Revenue Increase/Decrease from Prior Year	<b>\$23,584</b>
Annual Increase/Decrease in %	<b>13%</b>
<b>2026 All Revenue</b>	
2026 All Revenue (Jan 1 – May 31)	\$515,748
2025 All Revenue (Jan 1 – May 31)	\$409,946
Total Revenue Increase from Prior Year	<b>\$105,802</b>
Annual Increase/Decrease in %	<b>26%</b>

\* It should be noted that the above figures have not been verified by the District's Finance Department.

<b>2026 Total Visitation Figures</b>				
<b>Month</b>	<b># People</b>	<b># Vehicles</b>	<b># Vessels</b>	<b>Pets</b>
January	2,208	1,063	151	8
February	2,733	1,188	167	3
March	6,368	2,551	476	2
April	8,654	3,554	434	1
May	12,479	3,540	644	4
<b>Total</b>	<b>21,442</b>	<b>11,896</b>	<b>1,872</b>	<b>18</b>

##### Citations/Enforcement Summary

- Throughout May, no citations were issued for violations of District Ordinance 15 or for violations of the California Penal Code of the California Harbors and Navigation Code. It should be noted that Ranger staff issued verbal warnings for violations of District ordinances and state laws.

## 4.5 Recreation Department Monthly Report Information Item

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### Incidents

- **May 23:** Rangers responded to Olive Grove Campground to an adult male camper in an altered mental status. EMS was activated and the diabetic patient was transported to a local hospital. Rangers secured the patient's RV and vehicle and coordinated the care for the patient's dog.
- **May 24:** Rangers responded to a report of a traffic accident on Piru Canyon Road, approximately one mile south of the park entrance. Ventura County Sheriff's Office, Ventura County Fire Department (VCFD), and California Highway Patrol (CHP) resources responded. An adult male, his minor son, and a small dog were in the vehicle and were not injured. The adult male driver was eventually arrested by CHP for driving under the influence.
- **May 29:** Rangers responded to a report of a camper ripping the awning off their RV while exiting the Olive Grove Campground. Rangers suspected alcohol was a factor and detained the individual pending CHP arrival. The driver was arrested by CHP for driving under the influence.
- **May 30:** Rangers responded to the Olive Grove Campground for a camper who fell off an electric scooter. The 35-year-old male had facial trauma and a missing tooth. EMS was activated and the patient was transported to the hospital.

### Grants

- Nothing to report.



## STAFF REPORT

**To:** UWCD Board of Directors

**Through:** Mauricio Guardado, General Manager  
Dr. Maryam Bral, Assistant General Manager

**From:** Dr. Bram Sercu, Water Resources Supervisor  
Dr. Jason Sun, Supervisory Water Resources Engineer

**Date:** June 18, 2026 (July 8, 2026, Board of Directors meeting)

**Agenda Item: 4.6 Water Resources Department Monthly Report and Update on Activities of Local Groundwater Sustainability Agencies Information Item**

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### **Staff Recommendation:**

Receive a report on Water Resources Department activities for the month of June 2026, including a summary of the activities of the Santa Paula Basin Technical Advisory Committee and three local Groundwater Sustainability Agencies (GSA): Fox Canyon Groundwater Management Agency (FCGMA), Fillmore and Piru Basins GSA (FPBGSA), and Mound Basin GSA (MBGSA).

### **Discussion:**

#### **Staff Activities**

Notable activities conducted by staff during the month included the following:

- Groundwater Modeling:
  - Staff are conducting GSP modeling simulations for FPBGSA.
  - Staff are preparing documentation for the FPBGSA groundwater model report.
  - Staff are updating the Coastal Plain Model and preparing associated documentation.
  - Staff are conducting a conjunctive use evaluation of the PTP system.
  - Staff are developing in-house tools for model review and data extraction.
  
- Water Resources:
  - Staff continued with planning and evaluations of artesian well conditions on the coastal Oxnard Plain.

#### **4.6 Water Resources Department Monthly Report and Update on Activities of Local Groundwater Sustainability Agencies** **Information Item**

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- Staff finalized United's 2026 Urban Water Management Plan and Water Shortage Contingency Plan for submission to the California Department of Water Resources by July 1.
- Staff participated in a coordination meeting with Santa Clarita Valley Water Agency (SCV Water), Los Angeles Regional Water Quality Control Board and California Division of Drinking Water (DDW) related to the Chiquita Canyon Landfill elevated temperature landfill event and continues to coordinate a response with SCV Water.
- Staff attended a Court hearing regarding the Wishtoyo litigation.
- Staff worked with IT to further United's Data Foundation Initiative Project, including developing a database and dashboard for EBB Water Phase 1 project data.
- Staff received training on the use of SCADA for operations data retrieval and emergency access.
- Staff presented at the Fillmore Piru Basin Pumpers Association annual meeting.
- Hydrology:
  - Staff assisted with planning and coordination of reservoir releases, diversions and recharge operations.
  - Staff measured diversion rates and river discharge at various locations to support operations and compliance efforts.
  - Staff are coordinating with San Luis Obispo County Flood Control and Water Conservation District and Ventura County Watershed Protection District to enter into a State Water transfer agreement for 2026.
  - Staff are updating the hydrological risk assessment to the SFD SIP Outlet Works construction.
  - Staff reviewed GEI's proposed infiltration study for SFD SIP Outlet Works construction.
  - Staff worked on the Water Availability Analysis (WAA) for a future water rights application for the Freeman Expansion Project.
  - Staff reviewed and updated simulations of diversion operations to support Freeman Diversion HCP efforts.
  - Staff prepared and submitted the annual report on Terms and Conditions 1(a) and 4(a) to NMFS on June 15.
  - Staff planned and attended ESRI training in coordination with ENG and ES staff.

#### **4.6 Water Resources Department Monthly Report and Update on Activities of Local Groundwater Sustainability Agencies** **Information Item**

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- Staff are continuing to evaluate use alternatives for Rose basin.
- Staff are coordinating real-time access to PTP turnout metering data (flows and conductivity) for PTP users.
- Staff are evaluating the PTP metering project benefits to assist with the PTP grant closeout.
- Staff held GitHub workflow kick-off meeting (internal)
- Hydrogeology:
  - Staff participated in the planning and execution of Phase 1 of the EBB Water Project at Navy Base Ventura County (NBVC) Point Mugu, including the following activities:
    - Review and evaluate EBB monitoring data collected to date.
    - Development of a Monitoring and Reporting Plan for the Prop 1 Grant deliverable.
    - Development of a Monitoring and Contingency Plan to support well permitting and coordination with FCGMA.
    - Reviewed and revised EBB NEPA Final Draft Environmental Assessment.
  - Staff tested telemetry equipment at Saticoy monitoring wells.
  - Staff assisted with El Rio Well 17 rehabilitation planning and field work.
  - Staff are preparing a work plan for a groundwater transport study at the Rose recharge basin, including tracer testing and groundwater modeling.
  - Staff conducted routine collection of groundwater elevations and quality data throughout the District's service area, following up with quality control, analysis, and reporting activities required to support water resources management by United and other entities within our service area.
  - Staff are working on implementation of Field Maps for electronic groundwater data collection.
- Outreach and Education: AWA Water Issues Committee Meeting, California Water Data Consortium Webinar on "Filling in the Gaps with Ungauged Streamflow Forecasting".

#### **Fox Canyon Groundwater Management Agency**

Notable activities include:

- Staff evaluated the recent amendments to the OPV Allocation Ordinance.
- Monthly coordination meeting with FCGMA staff to discuss the Monitoring and Contingency Plan submittal for the EBB project, the District's application for in-lieu

#### **4.6 Water Resources Department Monthly Report and Update on Activities of Local Groundwater Sustainability Agencies** **Information Item**

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allocation adjustments for WY 2027 and ongoing coordination regarding allocation transfers and accounting for District extraction facilities.

No FCGMA Board meeting has been held since the May 27 Water Resources Department Monthly Report.

##### **Fillmore and Piru Basins Groundwater Sustainability Agency**

Notable activities include:

- Staff reviewed the East Grove GDE Aquatic Study Plan
- Staff coordinated filling of well construction data gaps
- Staff updated FPBGSA pumping records for groundwater modeling.
- Staff continued to prepare groundwater modeling inputs for scenarios to be included in the GSP Periodic Evaluation.

No FPBGSA Board meeting has been held since the May 27 Water Resources Department Monthly Report.

##### **Mound Basin Groundwater Sustainability Agency**

No notable staff activities. No MBGSA Board meeting has been held since the May 27 Water Resources Department Monthly Report.

##### **Santa Paula Basin Technical Advisory Committee (TAC)**

No notable staff activities.



## STAFF REPORT

**To:** UWCD Board of Directors

**Through:** Mauricio Guardado, General Manager  
Anthony A. Emmert, Assistant General Manager

**From:** Josh Perez, Chief Human Resources Officer  
Brian H. Zahn, Chief Financial Officer  
Tony Huynh, Risk and Safety Manager  
Zachary Plummer, Technology Systems Manager  
Jackie Lozano, Senior Administrative Assistant

**Date:** June 24, 2026 (July 8, 2026, meeting)

**Agenda Item:** 4.7 **Administrative Services Department Monthly Report**  
**Information Item**

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### **Staff Recommendation:**

Review this monthly report from the Administrative Services Department for its activities for May 2026, as well as receive a verbal presentation of its highlights.

### **Discussion:**

#### **Finance**

- FY2025-26 Interim Audit complete
- Ventura Energy base contract finalized
- Adopted budget online and print version distributed
- FY2026-27 budget being loaded into Incode
- Finance staff role and responsibilities rotation

#### **Administrative Services**

- Provided administrative assistance for drafting, finalizing, distributing, posting materials, and room set up for the following meetings: Executive Committee (Apr. 6), Water Resources Committee (Apr. 7), Board of Directors (Apr. 8), and Finance and Administration Committee (Apr. 29).
- Provided support with beverage and/or lunch setup for the following meeting: Operational Readiness and System Reliability Coordination (Apr. 6).
- Provided support for the District's quarterly tour by preparing tour bags and assisting with vehicle pickup (Apr. 29).
- Coordinated logistical support with room and beverage setup for the following outside agency meetings: UCANR (Apr. 21), VCRC Watershed Committee (Apr. 23), Ventura County Resource Conservation District Apr. 30).

## **4.7 Administrative Services Department Monthly Report Information Item**

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- Working on HQ downstairs project, which involves organizing photographs, videos, and other historical materials.

### **Human Resources**

- Handled all the documentation regarding promotions
- Onboarded new Senior Hydrogeologist
- Completed all year end paperwork associated with cost-of-living adjustments and rental agreements
- Began efforts to work on staff recruitments that were approved as part of our annual budget with Department leaders and Executive managers.

### **Risk and Safety Management**

- Attended California Disaster Assistance Action (CDAA) applicant briefing with Senior Park Ranger at County EOC for disaster recovery funding for Late December 2025 Winter Storms.
- Supported Engineering Department with annual SFD Emergency Action Plan call-down drill and radio drill
- Attended Ventura County Taxpayers Foundation Public Safety Event with several other District leaders
- Coordinated with O&M on Cal/OSHA document request following inspection
- Conducted annual Heat Illness Prevention Training at monthly safety meeting
- Re-certified O&M Saticoy and SFD staff on CPR/AED/First Aid
- Supported Executive Management with identifying Managed Security Services Provider on enhancing cyber security capabilities across IT/OT networks

### **Information Technology**

#### **Cybersecurity & Access Control**

The Technology Systems team delivered training sessions for HQ staff on proper SCADA access protocols, including onboarding for new users and refresher sessions for existing staff. These efforts reinforce secure and appropriate use of the District's control systems. Routine monitoring, account provisioning, and access management activities remained steady throughout the month.

#### **Infrastructure & Systems**

A communications disruption was resolved at Lake Piru and Santa Felecia Dam that was the result of an Edison power maintenance repair. Once identified, field crews from the impacted site confirmed the failure and restored service by bypassing the device, allowing network communications to resume. Technological resolution required coordination between Technology Systems, field staff, and external support resources, including electrical evaluation by Control Systems teams.

#### **Operations & Project Support**

In collaboration with Water Resources, Technology Systems developed an internal software solution supporting the Extraction Barrier and Brackish Water Project. The

## **4.7 Administrative Services Department Monthly Report Information Item**

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application centralizes and visualizes key program data, improving accessibility and decision-making for project teams. As part of this effort, Technology Systems implemented one of the District's first in-house software applications, providing staff with a centralized data repository and establishing a scalable foundation for future data initiatives.

In addition, the team completed the following:

- The team provided approximately 19.5 hours of technical support for Boardroom and Chamber meetings, representing roughly 11% of available staff work time over the past 30 days. This reflects a significant operational commitment to meeting and event support.
- Technology Systems also supported cross-department coordination efforts related to IT/OT integration, system troubleshooting, and operational continuity.

### **Vendor Collaboration & Partnerships**

Technology Systems continued coordination with vendors across multiple areas, including:

- Software lifecycle management, including patching, subscription renewals, and licensing support
- Microsoft licensing coordination, including server OS licensing and tenant expansion
- Procurement support, including mobile device sourcing and FirstNet-related equipment planning

### **Field Support, Automation & Workflow**

#### **Key activities included:**

- Field and operational support remained a significant component of the June workload, particularly related to remote infrastructure and SCADA systems.
- Coordinated field support for Lake Piru, SFD, El Rio, and other remote sites, including outage troubleshooting and restoration efforts
- Supported SCADA system access, troubleshooting, and coordination with Control Systems
- Sourced, configured, and deployed mobile devices through third-party procurement, enrolling devices into the District's MDM platform prior to deployment



## STAFF REPORT

**To:** UWCD Board of Directors

**Through:** Mauricio Guardado, General Manager

**From:** Tara Acosta, Executive Assistant/Clerk of the Board

**Date:** June 29, 2026 (July 8, 2026, meeting)

**Agenda Item:** 5.1 **Adopt a Resolution to Approve the Updated Expense and Compensable Activity Policy – Board Members and District Executives**  
**Motion**

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### **Recommendation:**

Adopt the updated Expense and Compensable Activity Policy – Board Members and District Executives, incorporating revisions to Item 10 related to virtual attendance at non-District meetings.

### **Discussion:**

The proposed update to Item 10 of the District's Expense and Compensable Activity Policy clarifies the conditions under which Board members may receive compensation for attending non-District meetings virtually. The revised language specifies that such meetings must generally be attended in person to qualify for compensation, with limited exceptions when prior written approval is granted by the Board President, when the meeting is designated as virtual-only, or when the Board member is serving as a representative to an approved standing committee. This update is intended to provide clearer guidance, ensure consistency in application of the policy, and align compensation practices with the District's expectations for participation and accountability.

ATTACHMENT: A – Redlined Expense and Compensable Activity Policy  
ATTACHMENT: B – Updated Expense and Compensable Activity Policy  
ATTACHMENT: C -- Resolution No. 2026-09

## EXPENSE AND COMPENSABLE ACTIVITY POLICY – BOARD MEMBERS AND DISTRICT EXECUTIVES

Effective ~~November 12, 2025~~ July 8, 2026

### SCOPE

This policy applies to members of the Board of Directors and to District executive staff (General Manager and Assistant General Managers) that have occasion to incur expenses on behalf of the District.

This policy additionally sets forth the types of activities for which board members may receive compensation and reimbursement for their actual and necessary expenses thereto in accordance with Government Code Section 53232 et seq.

### PURPOSE AND GENERAL PROVISIONS

The intent of this policy is to establish equitable standards and achieve reasonably consistent and fair treatment relating to reimbursement of actual and necessary expenses incurred in the service of the District. It is further intended as a guide for both the preparation of expense reports and for approval of such reports. It is also a means of informing all concerned of their privileges and obligations in the use of District funds for travel, education, community and legislative outreach, and other expenses.

The District recognizes that attendance at workshops, seminars, meetings and conferences provides Board members and District staff with a vital forum for the exchange of ideas and methods in all areas of governmental administration, for presenting and receiving information, to provide training and professional growth opportunities. The District also recognizes the importance of building relationships with legislators, regulators and key opinion leaders in local, state and federal government centers. It is the District's policy to reimburse individuals for all actual and necessary expenses incurred while engaged in activities critical to building these relationships. Individuals are expected to exercise good judgment in the expenditure of District funds. Items deemed to be of a purely personal nature are not reimbursable or covered by the District.

#### A. District Executives

Advance approval by the immediate supervisor and General Manager is required for attendance at all training programs, meetings, seminars and conferences, and/or professional growth events beyond Los Angeles and Santa Barbara Counties that require an overnight stay, air travel and/or involves an expense exceeding \$500.

#### B. District Board Members

Consistent with the requirements of this policy, Board members may receive reimbursement for their actual and necessary expenses incurred when participating in those activities listed in the "Compensable Activities" section of this policy. Pre-approval of the Board for these expenses is not required.

However, any expenses which are incurred for activities not set forth in the "Compensable Activities" section, or which do not fall within the requirements of this policy (e.g. which exceed the maximum permissible rate), must be approved by the Board of Directors in a public meeting before the expense is incurred, or the expense will not be reimbursed. The only exception to this requirement pertains to lodging expenses, as set forth below.

## ALLOWABLE EXPENSES

### A. Travel Expenses

1. Airline or other travel accommodations shall be economy class. Travel arrangements will be made through District staff. Airline travel will be arranged so as to be as cost efficient to District as possible, including early booking to minimize costs. Baggage fees are considered part of the cost of airline travel and are an allowable expense.

In cases of trips longer than three hours scheduled flight time, tickets may be booked in premium economy class. (eg; Economy Plus on United Airlines, Main Cabin Extra on American, etc.). Where possible, this class should be booked at time of ticket purchase. Upgrades to premium economy purchased at check-in will be reimbursed. The District will not pay for upgrades in any other circumstances. When travelling on District business, the work/meeting schedule will take priority over the travel schedule. As such, opportunities for premium bookings and upgrades may not be available on every trip.

When scheduling flexibility is necessary, a refundable ticket may be purchased.

Travel arrangements and costs for guests are the responsibility of the individual attending and are not considered a District expense.

2. District-owned vehicles shall be used by executive management staff assigned a District-owned vehicle or staff that do not receive a mileage allowance, whenever possible when traveling on District business.
3. Personal vehicles may be used if necessary and the Board member or staff shall be reimbursed at the standard IRS mileage rate (i.e. \$0.70 per mile for calendar year 2025), but for a total of no more than the cost of round-trip airfare. Mileage is to be calculated via the shortest route between the District worksite or point of origin for staff, whichever is less, and from the point of origin for Directors, to the destination and the return. The owner's/driver's auto insurance is responsible for any damage, accident, etc. incurred. Executive management staff receiving a monthly mileage allowance shall abide by the terms of this section except where it conflicts with their employment agreement, in which case the terms of the employment agreement shall apply. Staff who do not receive a monthly mileage allowance must seek approval from their department manager in advance for use of personal vehicles on District business. Employees must provide the District with evidence of personal auto insurance, including liability insurance, in advance of travel.
4. Mileage reimbursement for a Board member's use of their personal vehicle shall be from the point of origin to destination and return. Executive management staff receiving a monthly mileage allowance shall abide by the terms of this section except where it conflicts with their employment agreement, in which case the terms of the employment agreement shall apply. Board members may be reimbursed for mileage for up to 10 meetings per month in accordance with Section B of the District Board Members: Compensation and Compensable Activities section of this policy.
5. Rental automobile costs are reimbursable when justified by the nature of the trip. Except in cases where there are more than three District-affiliated individuals travelling together, only rental of vehicles of full-size standard or smaller are permitted

to be reimbursed. Rental of SUVs, minivans or premium/luxury vehicles will not be reimbursed for fewer than four individuals in one vehicle. In such cases, the names of all District-affiliated individuals will be recorded in the expense report. All drivers of rental vehicles must provide their own insurance that covers the rental vehicle. Charges for insurance provided by the rental company will not be reimbursed. Fuel costs will be reimbursed with appropriate receipts.

6. Taxis and other local transportation costs, including ride-share apps, incurred to and from businesses, hotels or airports, or in other District-related activities are reimbursable upon submittal of a receipt (see tipping guidelines under Tipping section).
7. Government and group rates offered by a transportation provider will be utilized when available.

#### B. Hotels

1. The cost of hotel or motel accommodations incurred on approved business trips is reimbursable. It is expected that an individual will use accommodations appropriate to the nature of the business trip. Accommodations may be reserved for guests attending a District-approved function; however, the District will reimburse only the cost of the single person room rate.

2. Government and group rates offered by a lodging services provider will be utilized when available. If a lodging expense is incurred in connection with a conference or organized educational activity conducted in compliance with Government Code Section 54952.2(c), including but not limited to ethics training required by Government Code Section 53234 et seq., lodging costs covered by the District shall not exceed the maximum group rate published by the conference or activity sponsor, provided that lodging at the group rate is available to the Board member or District staff at the time of booking. If lodging is available at the group rate, and a Board member or District staff elects to stay at a non-group rate hotel which has a higher rate, District charges shall not exceed the maximum group rate published by the activity or group sponsor, that is the Board member or staff shall be financially responsible for the difference. If rooms at the group rate are not available, the Board member or staff shall use comparable lodging that is consistent with the requirements of Government Code Section 53232.2(c) and (e), respectively. In such event, the District may pay for up to 110% of the group rate, that is the Board member or staff shall be financially responsible for any amount in excess of 110% of the group rate.

The rates specified in the above paragraph refer to base rates and shall not include transient occupancy taxes or parking.

#### C. Travel Per diem

In order to provide for the costs of ad-hoc expenses related to District travel, the District will reimburse \$125 per full day of authorized travel outside of the District that requires an overnight stay. The per diem is expected to cover all meals not part of outreach activities and other District business as well as any and all incidental expenses not specifically addressed in this document. The per diem will be claimed on the standard business expense report and will not be paid in advance of travel.

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#### D. District Outreach Events

Periodically Board Members and District Executives engage in activities related to legislative outreach. Recognizing that these events often have irregular schedules and venues, food and

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beverages provided as part of these activities will be reimbursed, provided the cost is reasonable for the situation as determined by the General Manager. Alcohol of reasonable cost and quantity may be provided at these events at District expense. Third-party attendees of such events must be verbally approved by the General Manager in advance to assure that the event is pursuant to District objectives and not social in nature.

Meetings of District personnel prior to or following outreach events where food and beverage are provided will be considered part of the outreach activities and as such will be covered by the District and not an incidental expense covered by the abovementioned travel per diem.

Expenses for such activities will be comprehensively documented. Supporting documentation will include a detailed check or invoice (not just a credit card receipt) and a clear accounting of the names, positions and affiliations of all attendees at the event.

#### E. Communications (Phone calls)

The Board of Directors will be reimbursed \$50.00 per month for District business related phone/fax costs. Each Director is also eligible for reimbursement of business-related long-distance calls from their personal phone that are not covered by an all-inclusive phone plan upon submittal of their phone bill.

#### F. Travel Requiring Advance Approval (District Executives)

When overnight lodging is required, a travel authorization form "Request for Travel/Training Approval" must be completed by the staff as follows:

1. The completed travel authorization form must list all actual and anticipated costs associated with attendance at the event and required approvals per the Purpose and General Provisions section. Please include the account number to be used to pay for the associated expense costs and all background information describing the event and attendance arrangements.
2. When required the travel authorization form is submitted to the General Manager for final approval (Allowable Expenses -Section A).
3. If the General Manager's approval is granted, a copy of the request is submitted to the designated Finance personnel. If the request is denied, all paperwork is returned to the Department Manager.

#### G. Advances

The District will **not** provide cash advances for travel to board members or executives. Airfare will be paid directly by the District. Whenever possible, the District will prepay hotel, tuition, etc. costs to vendors with an approved purchase requisition, therefore an advance for these costs will not be necessary.

#### H. Non-Use of Advance Paid Reservations

Whenever staff or Board member makes an advance paid reservation, or the District staff makes a reservation on behalf of the staff or Board member, whether for travel, lodging or meals (e.g. conference meal tickets), if the reservation or ticket is unused and the associated expense is not refundable and the staff or Board member utilizes alternative arrangements, the expense associated with such alternative arrangements will be reimbursable by the District at the General Manager's discretion.

I. Tipping

The District shall cover tipping up to 20% of cost of the meal and transportation service charges.

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J. Non-reimbursable ~~expenses~~Expenses

This document covers all reimbursable expenses. Any expenses not specifically mentioned will not be reimbursed without prior General Manager approval.

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In no circumstances will the following be reimbursed:

- Hotel movie rentals
- Personal medical expenses not related to a workplace injury
- Personal entertainment such as sight-seeing, movies/theater, golf or other sports (except in the course of District outreach activities).

**DISTRICT BOARD MEMBERS: COMPENSATION AND COMPENSABLE ACTIVITIES**

A. Compensation.

Compensation for members of the Board of Directors is established in accordance with section 74208 of the California Water Code, and increases are authorized in accordance with Water Code Section 20202. Actual and necessary expenses incurred by a Board member in the performance of their duties required or authorized by the Board are reimbursed in accordance with Water Code Section 74208 and the terms of this policy.

B. Compensable Activities.

In accordance with Government Code Section 53232.1, a Board member's attendance at the following occurrences (activities) constitutes the performance of official duties on behalf of the District which qualify a Board member to receive compensation and reimbursement of actual and necessary expenses but limited to 1 compensable activity per day and 10 compensable activities per month incurred thereto:

1. Regular, special or emergency meetings of the District Board of Directors.
2. Regular or special meetings of District Board committees, or an advisory body established by the Board of Directors, of which the Board member is a member thereof.
3. Agenda review meeting of President with General Manager as well as other meetings of Board members with the District's General Manager, Legal Counsel or District consultants, as requested by the General Manager.
4. A conference or organized educational activity conducted in compliance with Government Code Section 54952.2(c), including but not limited to ethics training required by Government Code Section 53234 et seq. This includes conferences or educational activities organized by the Association of California Water Agencies (ACWA), the Association of Water Agencies of Ventura County (AWAVC), and the California Special Districts Association (CSDA). It shall also include any other training providers approved in advance by the Board of Directors. AWAVC events included within this policy are the Water Wise breakfast series, annual Symposium, Elected Official Night and Year-End Report Event; not included are the Annual BBQ or other events of a strictly social nature.

5. Meetings of the board of directors or board committees of governmental or non-governmental entities to which a Board member has been designated by the President, as the District's representative, liaison or alternate. Such designation shall be published at least annually at a District Board meeting. The entities include the Fox Canyon Groundwater Management Agency (FCGMA), Ventura County Local Agency Formation Commission (LAFCO); the AWAVC Board of Directors and the AWAVC Water Issues Committee; West Ventura County Business Alliance; ACWA; CSDA, and GSA. A District Board member who is a designated representative, liaison or alternate to a non-governmental entity, and who will be unable to attend a scheduled meeting, may request or ask the General Manager to designate another Board member to attend the meeting on his behalf, and attendance at the meeting shall be considered a compensable activity for such other Board member.  
Additionally, any preparatory meetings the Board member needs with the District's General Manager ahead of Board meetings or Board Committees entities in the paragraph above.
6. At the specific request of the District Board of Directors, Board President, or General Manager, meetings of the board of directors of the Pleasant Valley County Water District (PVCWD), FCGMA, or the Oxnard City Council, or the governing body of any local government entity during which there is discussion of specific matters related to the District.
7. Meetings by designated District Board members or alternates, with board members or executive management of the entities set forth in No. 5 during which there is substantial and substantive discussion of specific matters related to the District.
8. Attendance at public meetings hosted by the District (e.g. Section 10 HCP, Vern Freeman Fish Panel) at which there is a presentation of specific matters related to the District.
9. At the specific request of the District Board of Directors, Board President or General Manager, attendance at meetings with state or federal legislators, or officials of the state or federal administrations (e.g. California Department of Water Resources, U.S. Bureau of Reclamation, etc.), or representatives from other entities during which there is discussion of specific matters related to the District.
10. All Non-District meetings must be attended in person to receive compensation. Zoom or Teams meetings do not qualify for compensation, unless approved by the President of the Board or their designee. Written (e-mail) approval must be included with the monthly reimbursement report, unless the meeting is designated as virtual-only, or the Board member is serving as a representative to an approved standing committee identified on the annually adopted Roster of Committees.

## ADMINISTRATION

This policy shall be administered by the General Manager. The key to prompt reimbursement is proper documentation. This includes a clear statement of the business purpose of the trip, a copy of the meeting/conference agenda, and receipts for business expenses.

Expenses rendered for reimbursement shall be itemized and sufficiently described as to the nature and intent of the expense. Expense reports should be prepared on a monthly basis and submitted to the Finance Division on prescribed forms. Original documents such as receipts or bills for all hotel charges, the last page of the airline ticket showing the itinerary and costs, usually called the "passenger receipt," and receipts for other expenses must be attached to the monthly expense report for documentation. Credit card charge slips will not serve as adequate documentation for transportation, room or car rental expense. In the event a receipt is lost please provide a written explanation.

The traveler is required to sign the monthly expense report certifying that the amounts included on the report are actual and reasonable.

It is the responsibility of each individual, as well as each person approving the monthly expense report, to ensure that there is no appearance nor occurrence of extravagant and/or unsupported expenditures for travel. During the review of these reports, any questionable or incomplete reports may be returned to the individual in order to obtain additional approval or documentation to support expenses.

The Chief Financial Officer shall advise the General Manager of any outstanding matters relating to the administration of this policy.

Exceptions to this policy may be authorized by the General Manager for other staff and by the Board Treasurer if the exception applies to the General Manager.

The Chief Financial Officer or his/her designee shall provide overall oversight of the reimbursement of expenses and shall provide a quarterly report to the Board Finance Committee detailing the expenses of the District of at least one hundred dollars (\$100.00) for each individual charge for service or product received. Additionally, in compliance with Government Code §53065.5, by September 30<sup>th</sup> of each year, the Finance Division will submit a detailed report to the Board's Finance Committee that discloses any reimbursement paid to any one employee or member of the Board by the District within the immediately preceding fiscal year of at least one hundred dollars (\$100.00) for each individual charge for service or product received. The report shall be made available for public inspection at the first meeting of the Board of Directors following the Finance Committee submittal.

For District Board Members:

1. Expense reports of Board members shall be submitted to the General Manager, together with receipts, within a reasonable time after incurring the expense, and shall document that the expenses have been incurred for the types of occurrences (activities) authorized by the Board for reimbursement of such expenses.
2. Members of the Board shall provide brief reports on meetings attended at the District's expense at the next regular meeting of the Board of Directors.
3. All documents relating to expenditures of Board members as set forth herein are public records subject to disclosure under the California Public Records Act.

## EXPENSE AND COMPENSABLE ACTIVITY POLICY – BOARD MEMBERS AND DISTRICT EXECUTIVES

Effective July 8, 2026

### SCOPE

This policy applies to members of the Board of Directors and to District executive staff (General Manager and Assistant General Managers) that have occasion to incur expenses on behalf of the District.

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The District recognizes that attendance at workshops, seminars, meetings and conferences provides Board members and District staff with a vital forum for the exchange of ideas and methods in all areas of governmental administration, for presenting and receiving information, to provide training and professional growth opportunities. The District also recognizes the importance of building relationships with legislators, regulators and key opinion leaders in local, state and federal government centers. It is the District's policy to reimburse individuals for all actual and necessary expenses incurred while engaged in activities critical to building these relationships. Individuals are expected to exercise good judgment in the expenditure of District funds. Items deemed to be of a purely personal nature are not reimbursable or covered by the District.

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Advance approval by the immediate supervisor and General Manager is required for attendance at all training programs, meetings, seminars and conferences, and/or professional growth events beyond Los Angeles and Santa Barbara Counties that require an overnight stay, air travel and/or involves an expense exceeding \$500.

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However, any expenses which are incurred for activities not set forth in the "Compensable Activities" section, or which do not fall within the requirements of this policy (e.g. which exceed the maximum permissible rate), must be approved by the Board of Directors in a public meeting before the expense is incurred, or the expense will not be reimbursed. The only exception to this requirement pertains to lodging expenses, as set forth below.

## ALLOWABLE EXPENSES

### A. Travel Expenses

1. Airline or other travel accommodations shall be economy class. Travel arrangements will be made through District staff. Airline travel will be arranged so as to be as cost efficient to District as possible, including early booking to minimize costs. Baggage fees are considered part of the cost of airline travel and are an allowable expense.

In cases of trips longer than three hours scheduled flight time, tickets may be booked in premium economy class. (eg; Economy Plus on United Airlines, Main Cabin Extra on American, etc.). Where possible, this class should be booked at time of ticket purchase. Upgrades to premium economy purchased at check-in will be reimbursed. The District will not pay for upgrades in any other circumstances. When travelling on District business, the work/meeting schedule will take priority over the travel schedule. As such, opportunities for premium bookings and upgrades may not be available on every trip.

When scheduling flexibility is necessary, a refundable ticket may be purchased.

Travel arrangements and costs for guests are the responsibility of the individual attending and are not considered a District expense.

2. District-owned vehicles shall be used by executive management staff assigned a District-owned vehicle or staff that do not receive a mileage allowance, whenever possible when traveling on District business.
3. Personal vehicles may be used if necessary and the Board member or staff shall be reimbursed at the standard IRS mileage rate (i.e. \$0.70 per mile for calendar year 2025), but for a total of no more than the cost of round-trip airfare. Mileage is to be calculated via the shortest route between the District worksite or point of origin for staff, whichever is less, and from the point of origin for Directors, to the destination and the return. The owner's/driver's auto insurance is responsible for any damage, accident, etc. incurred. Executive management staff receiving a monthly mileage allowance shall abide by the terms of this section except where it conflicts with their employment agreement, in which case the terms of the employment agreement shall apply. Staff who do not receive a monthly mileage allowance must seek approval from their department manager in advance for use of personal vehicles on District business. Employees must provide the District with evidence of personal auto insurance, including liability insurance, in advance of travel.
4. Mileage reimbursement for a Board member's use of their personal vehicle shall be from the point of origin to destination and return. Executive management staff receiving a monthly mileage allowance shall abide by the terms of this section except where it conflicts with their employment agreement, in which case the terms of the employment agreement shall apply. Board members may be reimbursed for mileage for up to 10 meetings per month in accordance with Section B of the District Board Members: Compensation and Compensable Activities section of this policy.
5. Rental automobile costs are reimbursable when justified by the nature of the trip. Except in cases where there are more than three District-affiliated individuals travelling together, only rental of vehicles of full-size standard or smaller are permitted

to be reimbursed. Rental of SUVs, minivans or premium/luxury vehicles will not be reimbursed for fewer than four individuals in one vehicle. In such cases, the names of all District-affiliated individuals will be recorded in the expense report. All drivers of rental vehicles must provide their own insurance that covers the rental vehicle. Charges for insurance provided by the rental company will not be reimbursed. Fuel costs will be reimbursed with appropriate receipts.

6. Taxis and other local transportation costs, including ride-share apps, incurred to and from businesses, hotels or airports, or in other District-related activities are reimbursable upon submittal of a receipt (see tipping guidelines under Tipping section).
7. Government and group rates offered by a transportation provider will be utilized when available.

#### B. Hotels

1. The cost of hotel or motel accommodations incurred on approved business trips is reimbursable. It is expected that an individual will use accommodations appropriate to the nature of the business trip. Accommodations may be reserved for guests attending a District-approved function; however, the District will reimburse only the cost of the single person room rate.

2. Government and group rates offered by a lodging services provider will be utilized when available. If a lodging expense is incurred in connection with a conference or organized educational activity conducted in compliance with Government Code Section 54952.2(c), including but not limited to ethics training required by Government Code Section 53234 et seq., lodging costs covered by the District shall not exceed the maximum group rate published by the conference or activity sponsor, provided that lodging at the group rate is available to the Board member or District staff at the time of booking. If lodging is available at the group rate, and a Board member or District staff elects to stay at a non-group rate hotel which has a higher rate, District charges shall not exceed the maximum group rate published by the activity or group sponsor, that is the Board member or staff shall be financially responsible for the difference. If rooms at the group rate are not available, the Board member or staff shall use comparable lodging that is consistent with the requirements of Government Code Section 53232.2(c) and (e), respectively. In such event, the District may pay for up to 110% of the group rate, that is the Board member or staff shall be financially responsible for any amount in excess of 110% of the group rate.

The rates specified in the above paragraph refer to base rates and shall not include transient occupancy taxes or parking.

#### C. Travel Per diem

In order to provide for the costs of ad-hoc expenses related to District travel, the District will reimburse \$125 per full day of authorized travel outside of the District that requires an overnight stay. The per diem is expected to cover all meals not part of outreach activities and other District business as well as any and all incidental expenses not specifically addressed in this document. The per diem will be claimed on the standard business expense report and will not be paid in advance of travel.

#### D. District Outreach Events

Periodically Board Members and District Executives engage in activities related to legislative outreach. Recognizing that these events often have irregular schedules and venues, food and

beverages provided as part of these activities will be reimbursed, provided the cost is reasonable for the situation as determined by the General Manager. Alcohol of reasonable cost and quantity may be provided at these events at District expense. Third-party attendees of such events must be verbally approved by the General Manager in advance to assure that the event is pursuant to District objectives and not social in nature.

Meetings of District personnel prior to or following outreach events where food and beverage are provided will be considered part of the outreach activities and as such will be covered by the District and not an incidental expense covered by the abovementioned travel per diem.

Expenses for such activities will be comprehensively documented. Supporting documentation will include a detailed check or invoice (not just a credit card receipt) and a clear accounting of the names, positions and affiliations of all attendees at the event.

#### E. Communications (Phone calls)

The Board of Directors will be reimbursed \$50.00 per month for District business related phone/fax costs. Each Director is also eligible for reimbursement of business-related long-distance calls from their personal phone that are not covered by an all-inclusive phone plan upon submittal of their phone bill.

#### F. Travel Requiring Advance Approval (District Executives)

When overnight lodging is required, a travel authorization form "Request for Travel/Training Approval" must be completed by the staff as follows:

1. The completed travel authorization form must list all actual and anticipated costs associated with attendance at the event and required approvals per the Purpose and General Provisions section. Please include the account number to be used to pay for the associated expense costs and all background information describing the event and attendance arrangements.
2. When required the travel authorization form is submitted to the General Manager for final approval (Allowable Expenses -Section A).
3. If the General Manager's approval is granted, a copy of the request is submitted to the designated Finance personnel. If the request is denied, all paperwork is returned to the Department Manager.

#### G. Advances

The District will **not** provide cash advances for travel to board members or executives. Airfare will be paid directly by the District. Whenever possible, the District will prepay hotel, tuition, etc. costs to vendors with an approved purchase requisition, therefore an advance for these costs will not be necessary.

#### H. Non-Use of Advance Paid Reservations

Whenever staff or Board member makes an advance paid reservation, or the District staff makes a reservation on behalf of the staff or Board member, whether for travel, lodging or meals (e.g. conference meal tickets), if the reservation or ticket is unused and the associated expense is not refundable and the staff or Board member utilizes alternative arrangements, the expense associated with such alternative arrangements will be reimbursable by the District at the General Manager's discretion.

### I. Tipping

The District shall cover tipping up to 20% of cost of the meal and transportation service charges.

### J. Non-reimbursable Expenses

This document covers all reimbursable expenses. Any expenses not specifically mentioned will not be reimbursed without prior General Manager approval.

In no circumstances will the following be reimbursed:

- Hotel movie rentals
- Personal medical expenses not related to a workplace injury
- Personal entertainment such as sight-seeing, movies/theater, golf or other sports (except in the course of District outreach activities).

## **DISTRICT BOARD MEMBERS: COMPENSATION AND COMPENSABLE ACTIVITIES**

### A. Compensation.

Compensation for members of the Board of Directors is established in accordance with section 74208 of the California Water Code, and increases are authorized in accordance with Water Code Section 20202. Actual and necessary expenses incurred by a Board member in the performance of their duties required or authorized by the Board are reimbursed in accordance with Water Code Section 74208 and the terms of this policy.

### B. Compensable Activities.

In accordance with Government Code Section 53232.1, a Board member's attendance at the following occurrences (activities) constitutes the performance of official duties on behalf of the District which qualify a Board member to receive compensation and reimbursement of actual and necessary expenses but limited to 1 compensable activity per day and 10 compensable activities per month incurred thereto:

1. Regular, special or emergency meetings of the District Board of Directors.
2. Regular or special meetings of District Board committees, or an advisory body established by the Board of Directors, of which the Board member is a member thereof.
3. Agenda review meeting of President with General Manager as well as other meetings of Board members with the District's General Manager, Legal Counsel or District consultants, as requested by the General Manager.
4. A conference or organized educational activity conducted in compliance with Government Code Section 54952.2(c), including but not limited to ethics training required by Government Code Section 53234 et seq. This includes conferences or educational activities organized by the Association of California Water Agencies (ACWA), the Association of Water Agencies of Ventura County (AWAVC), and the California Special Districts Association (CSDA). It shall also include any other training providers approved in advance by the Board of Directors. AWAVC events included within this policy are the Water Wise breakfast series, annual Symposium, Elected Official Night and Year-End Report Event; not included are the Annual BBQ or other events of a strictly social nature.

5. Meetings of the board of directors or board committees of governmental or non-governmental entities to which a Board member has been designated by the President, as the District's representative, liaison or alternate. Such designation shall be published at least annually at a District Board meeting. The entities include the Fox Canyon Groundwater Management Agency (FCGMA), Ventura County Local Agency Formation Commission (LAFCO); the AWAVC Board of Directors and the AWAVC Water Issues Committee; West Ventura County Business Alliance; ACWA; CSDA, and GSA. A District Board member who is a designated representative, liaison or alternate to a non-governmental entity, and who will be unable to attend a scheduled meeting, may request or ask the General Manager to designate another Board member to attend the meeting on his behalf, and attendance at the meeting shall be considered a compensable activity for such other Board member.

Additionally, any preparatory meetings the Board member needs with the District's General Manager ahead of Board meetings or Board Committees entities in the paragraph above.

6. At the specific request of the District Board of Directors, Board President, or General Manager, meetings of the board of directors of the Pleasant Valley County Water District (PVCWD), FCGMA, or the Oxnard City Council, or the governing body of any local government entity during which there is discussion of specific matters related to the District.
7. Meetings by designated District Board members or alternates, with board members or executive management of the entities set forth in No. 5 during which there is substantial and substantive discussion of specific matters related to the District.
8. Attendance at public meetings hosted by the District (e.g. Section 10 HCP, Vern Freeman Fish Panel) at which there is a presentation of specific matters related to the District.
9. At the specific request of the District Board of Directors, Board President or General Manager, attendance at meetings with state or federal legislators, or officials of the state or federal administrations (e.g. California Department of Water Resources, U.S. Bureau of Reclamation, etc.), or representatives from other entities during which there is discussion of specific matters related to the District.
10. All Non-District meetings must be attended in person to receive compensation. Zoom or Teams meetings do not qualify for compensation, unless approved by the President of the Board or their designee. Written (e-mail) approval must be included with the monthly reimbursement report, unless the meeting is designated as virtual-only, or the Board member is serving as a representative to an approved standing committee identified on the annually adopted Roster of Committees.

## **ADMINISTRATION**

This policy shall be administered by the General Manager. The key to prompt reimbursement is proper documentation. This includes a clear statement of the business purpose of the trip, a copy of the meeting/conference agenda, and receipts for business expenses.

Expenses rendered for reimbursement shall be itemized and sufficiently described as to the nature and intent of the expense. Expense reports should be prepared on a monthly basis and submitted to the Finance Division on prescribed forms. Original documents such as receipts or bills for all hotel charges, the last page of the airline ticket showing the itinerary and costs, usually called the "passenger receipt," and receipts for other expenses must be attached to the monthly expense report for documentation. Credit card charge slips will not serve as adequate documentation for transportation, room or car rental expense. In the event a receipt is lost please provide a written explanation.

The traveler is required to sign the monthly expense report certifying that the amounts included on the report are actual and reasonable.

It is the responsibility of each individual, as well as each person approving the monthly expense report, to ensure that there is no appearance nor occurrence of extravagant and/or unsupported expenditures for travel. During the review of these reports, any questionable or incomplete reports may be returned to the individual in order to obtain additional approval or documentation to support expenses.

The Chief Financial Officer shall advise the General Manager of any outstanding matters relating to the administration of this policy.

Exceptions to this policy may be authorized by the General Manager for other staff and by the Board Treasurer if the exception applies to the General Manager.

The Chief Financial Officer or his/her designee shall provide overall oversight of the reimbursement of expenses and shall provide a quarterly report to the Board Finance Committee detailing the expenses of the District of at least one hundred dollars (\$100.00) for each individual charge for service or product received. Additionally, in compliance with Government Code §53065.5, by September 30<sup>th</sup> of each year, the Finance Division will submit a detailed report to the Board's Finance Committee that discloses any reimbursement paid to any one employee or member of the Board by the District within the immediately preceding fiscal year of at least one hundred dollars (\$100.00) for each individual charge for service or product received. The report shall be made available for public inspection at the first meeting of the Board of Directors following the Finance Committee submittal.

For District Board Members:

1. Expense reports of Board members shall be submitted to the General Manager, together with receipts, within a reasonable time after incurring the expense, and shall document that the expenses have been incurred for the types of occurrences (activities) authorized by the Board for reimbursement of such expenses.
2. Members of the Board shall provide brief reports on meetings attended at the District's expense at the next regular meeting of the Board of Directors.
3. All documents relating to expenditures of Board members as set forth herein are public records subject to disclosure under the California Public Records Act.

RESOLUTION NO. 2026-09

**A RESOLUTION OF THE BOARD OF DIRECTORS  
OF THE UNITED WATER CONSERVATION DISTRICT  
APPROVING AN UPDATE TO THE EXPENSE AND COMPENSABLE ACTIVITY  
POLICY – BOARD MEMBERS AND DISTRICT EXECUTIVES**

**WHEREAS**, the United Water Conservation District (“District”) maintains Financial Policies and Procedures to establish internal controls, governance standards, procurement standards, and financial management practices; and

**WHEREAS**, the Board of Directors of the District has the authority to establish and revise the District’s policies; and

**WHEREAS**, the District’s Expense and Compensable Activity Policy – Board Members and District Executives establishes guidelines for compensation eligibility and reimbursement of District-related expenses; and

**WHEREAS**, the Board of Directors has previously adopted provisions addressing compensation eligibility for meeting attendance, including distinctions between in-person and virtual participation; and

**WHEREAS**, the Board of Directors desires to update and clarify the District’s policy regarding virtual meeting attendance and compensation eligibility to ensure consistency, transparency, and compliance with applicable laws and District practices; and

**WHEREAS**, the proposed policy update provides clearer direction when virtual participation qualifies as a compensable activity and reinforces the District’s expectations for in-person attendance where required; and

**WHEREAS**, the Board of Directors desires to incorporate such updates into the District’s Financial Policies and Procedures Manual.

**NOW, THEREFORE, BE IT RESOLVED** by the Board of Directors of the United Water Conservation District as follows:

**1. Policy Update Approved.**

The updated Expense and Compensable Activity Policy – Board Members and District Executives, Section 14, Item 10, regarding virtual meeting provisions, is hereby approved.

**2. Clarification of Virtual Participation.**

The policy update clarifies the conditions under which virtual meeting attendance may or may not qualify for compensation, consistent with District requirements for in-person attendance at non-District meetings unless otherwise specified.

**3. Incorporation into Financial Policies.**

The updated policy shall be incorporated into the District's Financial Policies and Procedures Manual.

**4. Compliance and Applicable Laws and Policy Requirements.**

District officers, employees, Board Members, contractors, consultants, and vendors shall comply with the applicable policy requirements in addition to all applicable State and federal conflict of interest, procurement, grant, and contracting laws.

**5. Authorization to Implement.**

The General Manager, or designee, is authorized to implement and administer the policies and to make non-substantive administrative updates as necessary to maintain compliance with State law, federal law, grant requirements, and funding agency requirements.

**6. Effective Date.**

Resolution shall take effect immediately upon adoption.

**PASSED AND ADOPTED** this 8th day of July 2026 by the following vote:

Ayes:

Noes:

Absent:

Abstain:

ATTEST

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Lynn E. Maulhardt, President

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Gordon Kimball, Secretary/Treasurer



## STAFF REPORT

**To:** UWCD Board of Directors

**Through:** Mauricio Guardado, General Manager  
Anthony A. Emmert, Assistant General Manager  
Suparna Jain, Legal Counsel, AALRR

**From:** Dr. Bram Sercu, Water Resources Supervisor

**Date:** June 16, 2026 (July 8, 2026, meeting)

**Agenda Item:** **5.2 Adopt a Resolution Authorizing and Delegating Authority to the General Manager to Execute State Water Project Purchase Agreements During Calendar Year 2026 up to a Combined Total Expenditure of \$1 Million**  
**Motion**

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### **Staff Recommendation:**

Adopt a Resolution authorizing and delegating authority to the General Manager to execute State Water Project purchase agreements during calendar year 2026 up to a combined total expenditure of \$1 million.

### **Background:**

The District's 2026 Strategic Plan includes the goal of importing 8,000 AF per year of State Water Project (SWP) water on average, to support its strategic objectives for water supply. Since 2017, the District has imported on average 7,892 AF of SWP water per year.

### **Discussion:**

The California Department of Water Resources (DWR) set the SWP Table A allocation for 2026 at 45%. As of June 16, 2026, the District's available SWP supply for 2026 is 4,703 AF. To meet the District's strategic objective of importing 8,000 AF per year on average, the District is pursuing additional purchases of SWP water for Calendar Year (CY) 2026. District staff has initiated discussions with two State Water Contractors (SWCs) regarding the availability and transfer of SWP water during CY 2026.

To expedite the approval process for the District to release any additional transfer water before the end of CY 2026, when conditions allow, District staff is recommending the Board authorize and delegate authority to the General Manager to execute one or more SWP Purchase Agreements during CY 2026, up to a combined total expenditure of \$1 million.

**5.2 Adopt a Resolution Authorizing and Delegating Authority to the General Manager to Execute State Water Project Purchase Agreements During Calendar Year 2026 up to a Combined Total Expenditure of \$1 million  
Motion**

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These purchases are currently estimated to result in a total expenditure of up to \$850,000. Additional purchasing authority up to \$1 million is proposed in the Resolution in case more water is available at the target purchase price and can be beneficially used within the District, or in case the negotiated price exceeds the target price. The SWP per acre-foot purchase cost would be at the discretion of the General Manager, as long as the agreements are executed in CY 2026, and the total expenditure to the District does not exceed \$1 million.

**Fiscal Impact:**

The fiscal year 2026-2027 budget contains sufficient funds to cover the proposed maximum expenditure of \$1 million.

**Attachment:**

Resolution

RESOLUTION NO. 2026-18

**A RESOLUTION AUTHORIZING AND DELEGATING AUTHORITY TO THE UNITED WATER CONSERVATION DISTRICT GENERAL MANAGER TO EXECUTE STATE WATER PROJECT PURCHASE AGREEMENTS DURING CALENDAR YEAR 2026 UP TO A COMBINED TOTAL EXPENDITURE OF \$1 MILLION**

**WHEREAS**, the District's 2026 Strategic Plan includes the goal of importing 8,000 acre-feet per year of State Water Project (SWP) water on average, to support its strategic objectives for water supply; and

**WHEREAS**, to meet the District's Strategic Plan objective stated above, the District is pursuing additional purchases of SWP water for Calendar Year (CY) 2026; and

**WHEREAS**, District staff has initiated discussions with two State Water Contractors (SWCs) regarding the availability and transfer of SWP water during CY 2026; and

**WHEREAS**, to be able to expeditiously release any transfer water before the end of CY 2026, the District believes it is in its best interest to authorize and delegate authority to the General Manager to execute SWP purchase agreements during calendar year 2026 up to a combined total expenditure of \$1 million; and

**NOW, THEREFORE, BE IT RESOLVED** that the Board of Directors of the District hereby authorizes and delegates authority to the General Manager to execute State Water Project Purchase Agreements during Calendar Year 2026 up to a Combined Total Expenditure of \$1 million.

The foregoing Resolution was adopted by the Board of Directors of United Water Conservation District on July 8, 2026, by the following vote:

Ayes:

Noes:

Absent:

ATTEST: \_\_\_\_\_  
Lynn Maulhardt, President

ATTEST: \_\_\_\_\_  
Gordon Kimball, Secretary/Treasurer



# United Water

## CONSERVATION DISTRICT

### STAFF REPORT

**To:** UWCD Board of Directors

**Through:** Mauricio Guardado, General Manager  
Anthony A. Emmert, Assistant General Manager  
Suparna Jain, Legal Counsel, AALRR

**From:** Brian H. Zahn, Chief Financial Officer

**Date:** June 24, 2026 (July 8, 2026, meeting)

**Agenda Item:** 5.3 Resolution No. 2026-08 Adopting the Proposed Conflict-of-Interest Policy and proposed Changes to the Procurement Policy for the District  
Motion

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#### **Recommendation:**

Adopt Resolution No. 2026-08 adopting the proposed Financial Policy entitled “**Conflict-of-Interest Policy**” along with changes to the “**Procurement Policy**” and to incorporate the policies into the United Water Conservation District’s Financial Policies and Procedures Manual.

#### **Background:**

United Water Conservation District (District) maintains a comprehensive Financial Policies and Procedures Manual that establishes governance, internal control, and financial management standards for District operations.

The District periodically updates this manual to ensure compliance with State law, auditing standards, and external funding requirements.

The California Governor’s Officer of Emergency Services (CalOES), as part of its grant and reimbursement eligibility requirements, requires agencies receiving state and federal emergency and infrastructure funding to maintain a formal written Conflict of Interest policy. While the District currently complies with the Political Reform Act and maintains a “Conflict of Interest Code” and Form 700 filing requirements, the Financial Policies Manual does not presently contain a standalone operational policy addressing conflicts in financial decisions, procurement activities, and grant administration.

**Agenda Item: 5.3 Resolution No. 2026-08 Adopting the Proposed Conflict-of-Interest Policy and proposed Changes to the Procurement Policy for the District  
Motion**

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CalOES requires grant recipients to demonstrate documented procedures preventing individuals with financial interests from participating in contracting, purchasing, and funding decisions associated with public funds. Adoption of a formal policy is necessary to maintain eligibility for certain state and federally funded programs.

The proposed Conflict of Interest Policy formalizes existing legal requirements and current District practices into a single operational control document. The policy:

- Prohibits participation in District decisions involving a financial interest
- Requires disclosure and recusal procedures
- Establishes procurement conflict standards for vendors and contractors
- Addresses grant-funded project compliance requirements
- Establishes reporting and enforcement procedures
- Provides training expectations consistent with AB 1234 ethics requirements

The policy incorporates requirements of the California Political Reform Act, Government Code Section 1090, and federal grant compliance standards (2 CFR 200 – Uniform Guidance). Importantly, it also establishes controls required for procurement activities funded by state or federal agencies, which is a specific CalOES audit review item.

The policy does not change existing employee responsibilities or Board obligations. Instead, it documents and standardizes existing expectations and procedures and places them within the District's Financial Policies Manual alongside other internal control policies.

Adoption of the policy strengthens the District's internal control framework, protects the District's eligibility for grants and emergency funding, and reduces legal and audit risk.

Additionally, CalOES, as part of its grant and reimbursement eligibility requirements, requires agencies receiving state and federal emergency and infrastructure funding to ensure contracts contain all federally required provisions per Title 2 CFR, Part 200. The current Policy and Procedures have been updated to include all federally required provisions per Title 2 CFR, Part 200.

**Fiscal Impact:**

There is no direct fiscal impact associated with adoption of the proposed policy. Failure to adopt the policy could jeopardize eligibility for certain state and federal grants and reimbursements administered through CalOES and other agencies.

**Attachments:**

- A – Proposed Conflict-of-Interest Policy
- B – Proposed Procurement Policy
- C – Red-line Procurement Policy
- D – Resolution Adopting Conflict-of-Interest Policy and Policy Changes

# CONFLICT OF INTEREST POLICY

Effective July 08, 2026

## POLICY STATEMENT

United Water Conservation District ("District") is committed to conducting all District business in a manner that maintains the highest level of public trust and confidence. District officials and employees shall avoid any activity, investment, interest, or association that conflicts, or appears to conflict, with the proper discharge of their official duties.

All District officers, employees, and Board Members shall comply with applicable provisions of the California Political Reform Act (Government Code Section 81000 et seq.), Government Code Section 1090, and all other applicable state and federal conflict of interest requirements.

No District officer, employee, or Board Member shall participate in, influence, or attempt to influence any governmental decision in which they have a financial interest.

## POLICY PURPOSE

The purpose of this policy is to:

1. Protect the integrity and credibility of District decision-making;
2. Ensure compliance with State law and CalOES grant requirements;
3. Prevent improper personal benefit from District activities;
4. Establish procedures for disclosure and management of conflicts of interest; and
5. Maintain eligibility for state and federal funding.

This policy applies to all District activities including procurement, contracting, grant administration, financial management, and regulatory decisions.

## DEFINITIONS

### Conflict of Interest

A conflict of interest exists when a District official or employee has a financial or personal interest that could improperly influence, or reasonably appear to influence, the performance of official duties.

**Financial Interest** includes, but is not limited to:

- Investments or ownership interests in a business entity;
- Income, compensation, or gifts;
- Employment or prospective employment;
- Real property interests;
- Interests of immediate family members.

**Immediate Family**

Spouse, domestic partner, dependent children, or any person living in the official's household.

**POLICY REQUIREMENTS**

**A. Prohibited Conduct**

District officers, employees, and Board Members shall not:

1. Make, participate in making, or influence a District decision in which they have a financial interest;
2. Use their official position to secure personal financial gain;
3. Accept compensation from any person or entity doing business with the District;
4. Participate in the selection, award, or administration of a contract if a conflict exists;
5. Direct District business to a business in which they or an immediate family member have a financial interest; or
6. Use confidential information acquired through their position for personal benefit.

**B. Procurement and Grant-Funded Activities**

No District employee, officer, or agent may participate in the procurement, evaluation, selection, award, or administration of a contract supported by state or federal funds if a real or apparent conflict of interest exists.

A conflict exists if the individual, or an immediate family member:

- Has a financial interest in the selected vendor;
- Is employed by the vendor;
- Is negotiating future employment with the vendor; or

- Has a financial relationship with the vendor.

Individuals with such conflicts must be disqualified from the procurement process.

### **C. Gifts**

District officials and employees shall comply with the gift limitations of the Political Reform Act and the District's applicable ethics and reporting requirements.

No individual shall accept gifts that could reasonably be interpreted as influencing a District decision.

## **DISCLOSURE REQUIREMENTS**

### **A. Form 700 Filings**

Designated officials and employees shall file Statements of Economic Interests (Form 700) in accordance with the District's adopted Conflict of Interest Code and the Political Reform Act.

### **B. Duty to Disclose**

Any District official or employee who becomes aware of a potential conflict shall immediately:

1. Disclose the conflict to their supervisor (or General Manager if applicable); and
2. Refrain from participation in the related matter.

Board Members shall disclose the conflict at the public meeting in accordance with applicable law and recuse themselves from discussion and voting.

## **RECUSAL PROCEDURES**

When a conflict exists:

1. The individual shall not participate in discussions, deliberations, recommendations, approvals, or decisions;
2. The individual shall leave the meeting room during consideration of the matter, if required by law; and
3. The minutes shall reflect the recusal.

## **ADMINISTRATION**

The General Manager shall administer this policy and may consult with legal counsel regarding interpretation or application.

Supervisors are responsible for ensuring employees under their direction comply with this policy.

The Finance Department shall ensure grant-funded activities comply with this policy as a condition of funding eligibility.

### REPORTING VIOLATIONS

Suspected violations shall be reported to:

- The General Manager, or
- District Legal Counsel, or
- The Board President (if the allegation involves executive management)

Reports may be made without fear of retaliation. Retaliation for reporting a good-faith concern is prohibited.

### ENFORCEMENT

Violation of this policy may result in:

- Disciplinary action up to and including termination;
- Voiding of contracts;
- Recovery of funds;
- Referral to enforcement agencies.

The District may pursue any civil or criminal remedies permitted by law.

### TRAINING

The District shall provide periodic ethics and conflict of interest training to Board Members and designated employees consistent with AB 1234 and applicable grant requirements.

### REVIEW

This policy shall be reviewed periodically with the District's financial policies and updated as necessary to maintain compliance with State law and funding agency requirements.

These policies have been reviewed by AALRR legal

Signed by: Suparna Jain Date 6/22/2026  
A4BC5CBBED704FD...  
Suparna Jain

# PROCUREMENT POLICY

Effective June 10, 2026

## OVERVIEW

This policy is written to document the policies and procedures that shall be followed when purchasing goods or services. All purchases of materials, supplies, equipment and services required by the District shall be made in accordance with the following, and pursuant to applicable provisions of the Government Code. This policy will be reviewed with new members of the Board of Directors and new District Department Heads/Managers as soon as possible after they assume responsibility. This policy shall also be reviewed as part of the annual budgeting process.

## OBJECTIVES

- Purchase the best product or service at the most favorable price
- Establish authority, responsibility, accountability for purchasing activity
- Provide an environment of fair competition and impartiality in purchasing process
- Set objective decision-making procedures for District staff to follow when procuring materials or services
- Communicate organization goals/policies as they relate to purchasing

## QUOTATIONS FOR GOODS, SERVICES AND EQUIPMENT; PROFESSIONAL SERVICES; PUBLIC WORKS PROJECTS

The District shall invite bid proposals or quotations for goods, services and equipment as required by applicable provisions of California Law. Invitations to bid shall include all information required by law and grant requirements. District personnel shall always use their best judgment in receiving either oral or written quotations. For expenditures over \$50,000, the District shall solicit, if available, two (2) written quotations or bids. Generally, the purchase will be made from the lowest responsible bidder. The District in its sole discretion reserves the right to reject all bids or quotations. In the event bids or quotations are not received or, in the District's sole discretion are unacceptable, the District reserves the right to have the work done by its own forces.

**For Federally funded projects, the following provisions shall be included in the procurement process, solicitation documents, and contracts, as applicable and necessary:**

- Standards of conduct related to conflicts of interest in accordance with **2 CFR 200.318(c)(1)**.
- Compliance with all applicable federal procurement requirements under **2 CFR Part 200**, including without limitation **2 CFR 200.318 through 200.327**, as may be amended, and any additional requirements imposed by the applicable Federal awarding agency or pass-through entity.

- Federally required contract provisions pursuant to 2 CFR 200.327 and Appendix II to 2 CFR Part 200, including, where applicable:
  - a) Administrative, contractual, or legal remedies for breach of contract for contracts above the simplified acquisition threshold;
  - b) Termination for cause and for convenience for contracts in excess of \$10,000;
  - c) Equal Employment Opportunity clause;
  - d) Davis-Bacon Act and Copeland Anti-Kickback Act requirements when required by federal program legislation;
  - e) Contract Work Hours and Safety Standards Act requirements for applicable contracts in excess of \$100,000;
  - f) Rights to Inventions Made Under a Contract or Agreement, where applicable;
  - g) Clean Air Act and Federal Water Pollution Control Act requirements for contracts in excess of \$150,000;
  - h) Debarment and Suspension verification and prohibition on awards to excluded parties;
  - i) Byrd Anti-Lobbying Amendment certification and disclosure requirements for awards exceeding \$100,000;
  - j) Procurement of recovered materials, where applicable;
  - k) Prohibition on certain telecommunications and video surveillance equipment or services, where applicable; and
  - l) Domestic preferences for the purchase, acquisition, or use of goods, products, or materials produced in the United States, to the greatest extent practicable and consistent with law.
- The District shall take the affirmative steps required by 2 CFR 200.321, as applicable, to assure that small businesses, minority businesses, women's business enterprises, and labor surplus area firms are used when possible, and shall require prime contractors to take the same affirmative steps for subcontracts.
- The District shall maintain records sufficient to detail the history of the federally funded procurement, including the procurement method used, the basis for contractor selection, contract price, use of any noncompetitive procurement, required cost or price analysis when applicable, verification of contractor eligibility (SAM.gov), and all certifications and clauses required by the funding source.
- Where grant, cooperative agreement, or pass-through funding conditions impose more restrictive requirements than this policy, the more restrictive federal or pass-through requirements shall control.

## EXCEPTIONS TO COMPETITIVE BIDDING

Competitive bidding may be waived in the case of an emergency or when:

- The items or services to be furnished are in such short supply that there is no competition.
- The specifications or other restrictions limit the number of prospective suppliers.
- The skill or knowledge of a particular individual is sought.
- Consolidating its procurement with that of another agency or entity constituted for governmental purposes; provided that the commodities or contractual services to be procured have been subjected to competitive bidding by said other agency or entity and documentation of such competitive bidding exists.
- Using on-call services as described in the Engineering Projects Administration Policy.

## PROFESSIONAL SERVICES

The District may, in its discretion, but is not required to, utilize a request for proposal process or other formal process for the selection of consultants to provide professional services. Selection of professional services consultants shall be made in the District's sole discretion based on demonstrated competence, professional qualifications and other criteria which the District deems relevant.

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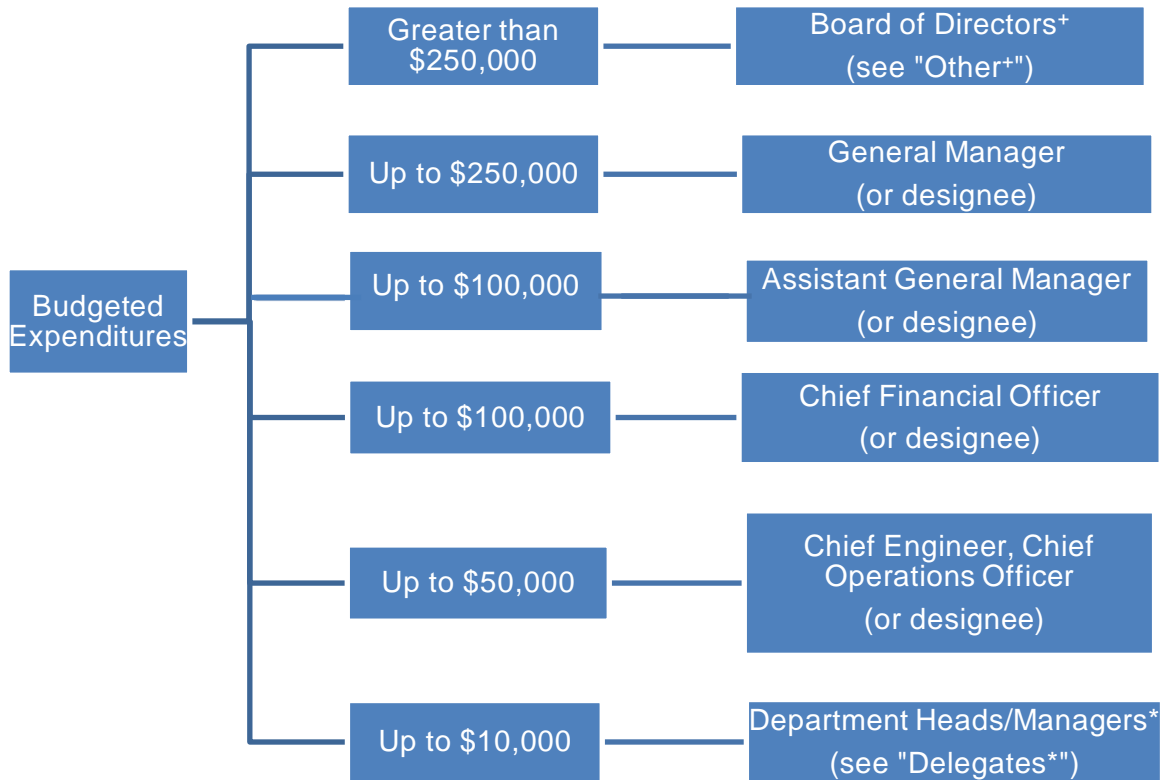
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Authority to approve expenditures and sign contracts shall be determined as shown in the diagrams below. Expenditures shall not be broken down or divided into sub-groups for purposes of avoiding the guidelines.

The General Manager and Chief Financial Officer may designate their approval authority in their absence. Designation must be made in writing and a log of designations of authority will be maintained by the Executive Assistant. The General Manager, Assistant General Manager, and other Department Heads/Managers may delegate approval authority to anyone within their department up to \$5,000. A list of delegates and their approval authority level is maintained in the Finance Department.

Figure 1. Purchase Authority Levels for Budgeted Expenditures



A contract amendment that is a budgeted expenditure and relates to an original contract with an amount below the General Manager’s authority may be executed by the General Manager provided that the total amount of the contract and amendment(s) do not exceed the General Manager’s authority. For example, a contract amendment of \$50,000 to a \$200,000 contract may be executed by the General Manager. However, a contract amendment of \$50,000 to a \$210,000 (total contract value of \$260,000) must be approved by the Board.

A contract amendment that is a budgeted expenditure and relates to an original contract with an amount exceeding the General Manager’s authority, may be executed by the General Manager up to the General Manager’s approval level, not exceeding 50% of the original contract amount. For example, a contract amendment to a \$400,000 contract may be made up to \$200,000. Contract amendments for non-budgeted expenditures are subject to the limits set forth in the Appropriations section of the Budget Amendment Policy.

Exceptions to Purchase Authority Levels

Charges over \$250,000 do not need to be approved by the Board if they are related to payroll, State Water (including supplemental water purchases), insurance, utilities, debt service payments, GMA fees, U.S. Geological Survey charges, or regulatory fees for the Santa Felicia Dam. Charges related to payroll are approved during the payroll process. Charges related to all other items in the above list are approved at the department head level.

Authority levels for non-budgeted expenditures are detailed in the Budget Amendment policy.

## CREDIT CARDS

The General Manager or their designee may acquire credit cards in the name of the District for use by designated District personnel. The District shall maintain a written log of credit cards. Employees assigned credit cards will sign an acknowledgement form (Exhibit A) agreeing to the limitations of the card use as described below.

Credit cards:

- May be used to charge necessary supplies and equipment, authorized travel, food and lodging for the person in possession of the credit card and any other District employee. Credit cards should only be used for the purchase of supplies and equipment when the vendor does not accept other forms of payment (such as an online vendor) or when the vendor does not extend credit to the District.
- May be used for the purchase of gas, oil, supplies, and repairs for District vehicles.
- May be used to purchase meals.
- May be acquired for vendors (i.e. Home Depot.) with approval by the General Manager.
- May not be used for personal benefit or personal use even when the cardholder reimburses the District.
- May not be issued to members of the Board of Directors. They will be reimbursed according to the District's established Reimbursement Policy.
- Must be returned to the district upon termination or resignation prior to receiving their last paycheck.
- Cannot be used to pay for another credit card.

Misuse of the credit card privilege can result in disciplinary action, including termination.

Receipts for all credit card expenditures must be promptly turned into the Finance Division along with appropriate documentation stating the purpose of the expenditure.

Credit card limits are as follows:

General Manager	\$12,500
Assistant General Manager	\$10,000
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Chief HR Officer	\$ 5,000
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Chief Park Ranger	\$ 5,000
Engineering Manager	\$ 2,000
Environmental Services Manager	\$ 2,000
Travel Card	\$25,000
Water Resources Manager	\$ 2,000
Executive Assistant/Clerk of the Board • Administration	\$ 5,000
Park Ranger IV	\$ 2,000

The travel card is to be used for conference/seminar registration, airline, hotel and car rental costs for the Board of Directors, General Manager, employees or other designated individuals of the District. The card may be used by the Administrative Assistant(s) occasionally to purchase supplies when the vendor does not accept other forms of payment (such as an online vendor). This card is kept in the District headquarters vault.

Supervisors approve credit card charges for all staff and the Chief Financial Officer approves the General Manager's credit card charges.

## **PURCHASE ORDERS**

A purchase order will be created and electronically approved for all expenditures in excess of \$7,500 for which a fixed price is known or can be reasonably estimated prior to receiving the invoice. Purchase orders must be approved electronically by appropriate level of management prior to making the actual purchase or commitment of funds. In the case of purchase orders for amounts over the General Manager's approval limits, the Clerk of the Board will electronically approve the purchase order at the Board level after the item has been approved at a Board meeting.

It is the responsibility of each department manager to verify that the funding amount of the requested purchase is available before authorizing the purchase order.

If a purchase order is required by the vendor, one may be created even if the dollar amount of the product or services falls below \$7,500.

After a purchase order has been approved by the appropriate level of management, only department head approval is required for payment of invoices related to those purchase orders. The invoice may exceed the amount of the purchase order by the lower of 10% or \$7,500. Invoices that exceed the purchase order by more than these levels will require the purchase order to be modified and will require reapproval at the appropriate level of management.

If a purchase order has not been fully utilized by the end of the year, the PO Creator may request that the purchase order be rolled over to the next year to accommodate expenses that come in the next fiscal year. The PO creator must notify the Finance Department and the purchase order will be rolled over. If the Finance Department is not notified, the open purchase order will be closed out at year end. Purchase orders can only be rolled over a maximum of 3 consecutive years.

## **PETTY CASH FUND**

A Petty Cash fund of \$300 will be established for the District. Expenditures up to \$20 may be made for postage, freight, permit fees, licenses and similar charges, and employee expenses. In each instance a written receipt for payment is required. The Chief Financial Officer or their designee will be responsible for the Petty Cash Fund in the District headquarters.

A petty cash fund of \$5,000 will be established at the Lake Piru Recreation Area for change from cash sales. Each gatehouse staff person will have a change bank of \$100 for point-of-sale cash transactions. Change banks will be reconciled at the end of each shift and signed off by the ranger in charge of supervising gatehouse staff. The Chief Park Ranger is responsible for the petty cash at the Lake Piru Recreation Area.

## PREVAILING WAGES

State Law requires that contractors pay their workers "prevailing wages" when a project is a "public work". The meaning of "public works" is defined in the California Labor Code Section 1720-1743. Therefore, the district will affirmatively state in all "public works" contracts over \$1,000 that contractors are required to pay their workers "prevailing wages".

## GRANT COMPLIANCE

In addition to the requirements set forth above for federally funded procurements, grant-required language shall be incorporated into bid documents, professional services agreements, equipment supply contracts, construction contracts, and subcontracts, as applicable.

## REQUIREMENTS FOR PROFESSIONAL SERVICES

The District's standardized agreement for professional services, made available on the District's shared network, should be utilized. Any changes to the standard agreement need to be approved by the General Manager, and if necessary, legal counsel. In cases where the standardized agreement is not used because the contractor has a standard agreement that the District has deemed acceptable any professional services agreement must specify the scope of work, timing of work/term of the contract, indemnification, and hourly rates for the consultants engaged in the work. A request for any change order to the signed agreement must be submitted in writing.

These policies have been reviewed by AALRR legal

Signed by: Suparna Jain Date 6/22/2026  
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Suparna Jain



# PROCUREMENT POLICY

Effective July 8, 2026

## OVERVIEW

This policy is written to document the policies and procedures that shall be followed when purchasing goods or services. All purchases of materials, supplies, equipment and services required by the District shall be made in accordance with the following, and pursuant to applicable provisions of the Government Code. This policy will be reviewed with new members of the Board of Directors and new District Department Heads/Managers as soon as possible after they assume responsibility. This policy shall also be reviewed as part of the annual budgeting process.

## OBJECTIVES

- Purchase the best product or service at the most favorable price
- Establish authority, responsibility, accountability for purchasing activity
- Provide an environment of fair competition and impartiality in purchasing process
- Set objective decision-making procedures for District staff to follow when procuring materials or services
- Communicate organization goals/policies as they relate to purchasing

## QUOTATIONS FOR GOODS, SERVICES AND EQUIPMENT; PROFESSIONAL SERVICES; PUBLIC WORKS PROJECTS

The District shall invite bid proposals or quotations for goods, services and equipment as required by applicable provisions of California Law. Invitations to bid shall include all information required by law and grant requirements. District personnel shall always use their best judgment in receiving either oral or written quotations. For expenditures over \$50,000, the District shall solicit, if available, two (2) written quotations or bids. Generally, the purchase will be made from the lowest responsible bidder. The District in its sole discretion, reserves the right to reject all bids or quotations. In the event bids or quotations are not received or, in the District's sole discretion are unacceptable, the District reserves the right to have the work done by its own forces.

**For Federally funded projects, the following provisions shall be included in the procurement process, solicitation documents, and contracts, as applicable and necessary:**

- Standards of conduct related to conflicts of interest in accordance with **2 CFR 200.318(c)(1)** (see page 98 of policies).
- Compliance with all applicable federal procurement requirements under **2 CFR Part 200**, including without limitation **2 CFR 200.318 through 200.327**, as may be amended, and any additional requirements imposed by the applicable Federal awarding agency or pass-through entity.

- Federally required contract provisions pursuant to 2 CFR 200.327 and Appendix II to 2 CFR Part 200, including, where applicable:
  - a) Administrative, contractual, or legal remedies for breach of contract for contracts above the simplified acquisition threshold;
  - b) Termination for cause and for convenience for contracts in excess of \$10,000;
  - c) Equal Employment Opportunity clause;
  - d) Davis-Bacon Act and Copeland Anti-Kickback Act requirements when required by federal program legislation;
  - e) Contract Work Hours and Safety Standards Act requirements for applicable contracts in excess of \$100,000;
  - f) Rights to Inventions Made Under a Contract or Agreement, where applicable;
  - g) Clean Air Act and Federal Water Pollution Control Act requirements for contracts in excess of \$150,000;
  - h) Debarment and Suspension verification and prohibition on awards to excluded parties;
  - i) Byrd Anti-Lobbying Amendment certification and disclosure requirements for awards exceeding \$100,000;
  - j) Procurement of recovered materials, where applicable;
  - k) Prohibition on certain telecommunications and video surveillance equipment or services, where applicable; and
  - l) Domestic preferences for the purchase, acquisition, or use of goods, products, or materials produced in the United States, to the greatest extent practicable and consistent with law.
- The District shall take the affirmative steps required by 2 CFR 200.321, as applicable, to assure that small businesses, minority businesses, women's business enterprises, and labor surplus area firms are used when possible, and shall require prime contractors to take the same affirmative steps for subcontracts.
- The District shall maintain records sufficient to detail the history of the federally funded procurement, including the procurement method used, the basis for contractor selection, contract price, use of any noncompetitive procurement, required cost or price analysis when applicable, **verification of contractor eligibility (SAM.gov)**, and all certifications and clauses required by the funding source.
- Where grant, cooperative agreement, or pass-through funding conditions impose more restrictive requirements than this policy, the more restrictive federal or pass-through requirements shall control.



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## **PURCHASING AUTHORITY**

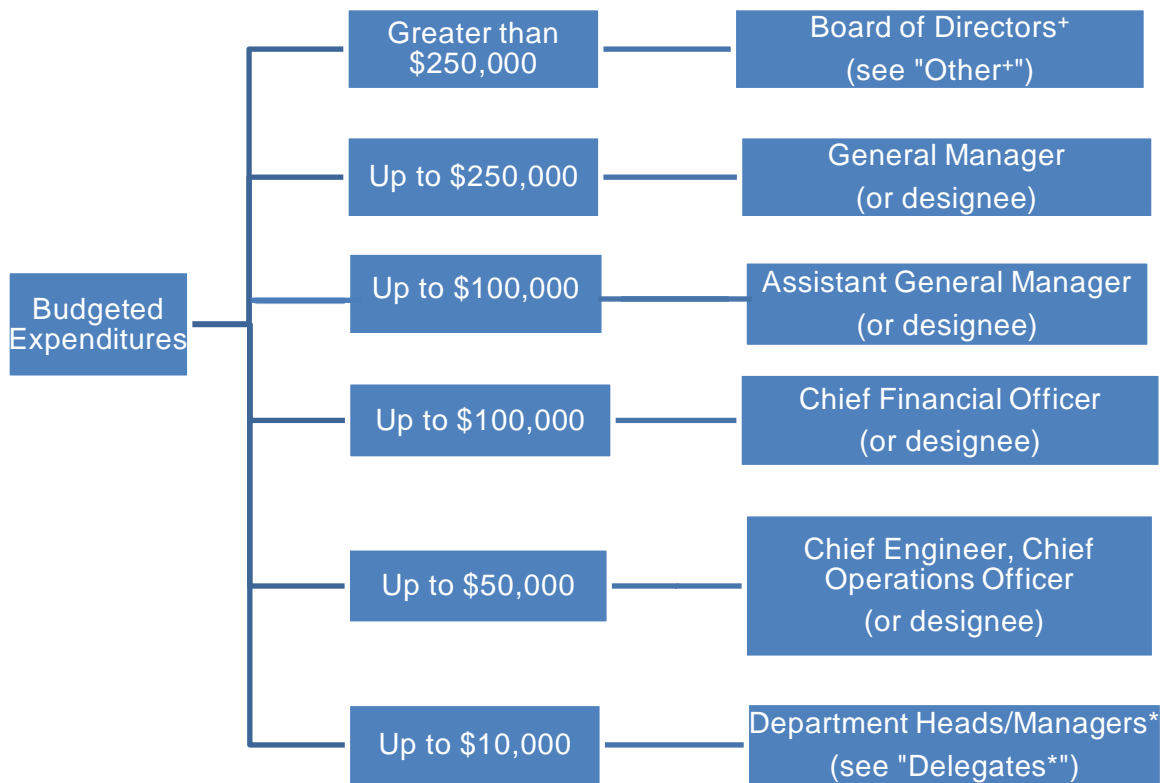
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**Public Outreach Manager****\$ 2,500**

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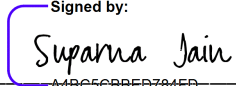
### GRANT COMPLIANCE

Grant-required language will be incorporated in bid documents, professional services agreements, equipment supply contracts and construction contracts as needed. District contracts will provide language required to be in all subcontracts and disclosure of an audit requirement.

### REQUIREMENTS FOR PROFESSIONAL SERVICES

The District's standardized agreement for professional services, made available on the District's shared network, should be utilized. Any changes to the standard agreement need to be approved by the General Manager, and if necessary, legal counsel. In cases where the standardized agreement is not used because the contractor has a standard agreement that the District has deemed acceptable any professional services agreement must specify the scope of work, timing of work/term of the contract, indemnification, and hourly rates for the consultants engaged in the work. A request for any change order to the signed agreement must be submitted in writing.

These policies have been reviewed by AALRR legal

Signed by:  
  
A48G5CBBED784FD... Date 6/25/2026  
Suparna Jain



RESOLUTION NO. 2026-08

**A RESOLUTION OF THE BOARD OF DIRECTORS  
OF THE UNITED WATER CONSERVATION DISTRICT  
ADOPTING A CONFLICT-OF-INTEREST POLICY AND APPROVING  
PROCUREMENT POLICY UPDATES FOR FEDERAL AWARD COMPLIANCE**

**WHEREAS**, the United Water Conservation District (“District”) maintains Financial Policies and Procedures to establish internal controls, governance standards, procurement standards, and financial management practices; and

**WHEREAS**, public agencies are required to conduct business in a manner that protects the public trust and prevents personal financial interests from influencing governmental decisions; and

**WHEREAS**, the California Political Reform Act (Government Code Section 81000 et seq.) and Government Code Section 1090 prohibit public officials and employees from participating in decisions in which they have a financial interest; and

**WHEREAS**, the California Governor’s Office of Emergency Services (CalOES) and federal grant regulations require agencies receiving state or federal funds to maintain written procedures addressing conflicts of interest in procurement and financial decisions; and

**WHEREAS**, Title 2 of the Code of Federal Regulations, Part 200, establishes Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, including procurement standards applicable to recipients and subrecipients of federal financial assistance; and

**WHEREAS**, 2 CFR Section 200.327 requires recipient and subrecipient contracts to contain the applicable contract provisions described in Appendix II to Part 200; and

**WHEREAS**, the Board of Directors desires to update the District’s Procurement Policy to include all federally required procurement standards, contract provisions, certifications, and compliance requirements applicable to procurements funded in whole or in part with federal awards; and

**WHEREAS**, the Board of Directors desires to formalize and document the District’s conflict of interest procedures and procurement compliance requirements and incorporate them into the District’s Financial Policies and Procedures Manual;

NOW, THEREFORE, **BE IT RESOLVED** by the Board of Directors of the United Water Conservation District as follows:

1. The Conflict-of-Interest Policy attached hereto as Exhibit “A” is hereby adopted.

2. The District's Procurement Policy attached hereto as Exhibit "B" is hereby updated and approved to require compliance with all applicable federal procurement standards under Title 2, Code of Federal Regulations, Part 200, including 2 CFR Sections 200.317 through 200.327, when District procurements are funded in whole or in part with federal awards.
3. The Procurement Policy shall include the applicable federal contract provisions required by 2 CFR Section 200.327 and Appendix II to Part 200, including, as applicable, provisions addressing remedies, termination for cause and convenience, Equal Employment Opportunity, Davis-Bacon Act, Contract Work Hours and Safety Standards Act, rights to inventions, Clean Air Act and Federal Water Pollution Control Act, debarment and suspension, Byrd Anti-Lobbying Amendment certification, procurement of recovered materials, domestic preferences, access to records, audit rights, and any additional federal award-specific requirements imposed by the federal awarding agency or pass-through entity.
4. The Conflict-of-Interest Policy and Procurement Policy updates shall be incorporated into the District's Financial Policies and Procedures Manual.
5. District officers, employees, Board Members, contractors, consultants, and vendors shall comply with the applicable policy requirements in addition to all applicable State and federal conflict of interest, procurement, grant, and contracting laws.
6. The General Manager, or designee, is authorized to implement and administer the policies and to make non-substantive administrative updates as necessary to maintain compliance with State law, federal law, grant requirements, and funding agency requirements.
7. This Resolution shall take effect immediately upon adoption.

**PASSED AND ADOPTED** this 8th day of July 2026 by the following vote:

Ayes:  
Noes:  
Abstain:  
Absent:

[signature page to follow]

ATTEST

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Lynn E. Maulhardt, President of the Board

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Gordon Kimball, Secretary of the Board



## STAFF REPORT

**To:** UWCD Board of Directors

**Through:** Mauricio Guardado, General Manager

**From:** Tara Acosta, Executive Assistant/Clerk of the Board

**Date:** June 29, 2026 (July 8, 2026, meeting)

**Agenda Item:** 5.4 **Consider Approval of Board Letter in Support of State Funding for the Santa Felicia Dam Safety Improvement Project**  
**Motion**

---

### **Recommendation:**

Approve the Board letter addressed to Senate President pro Tempore Monique Limón supporting state funding for the Santa Felicia Dam Safety Improvement Project and authorize the Board of Directors to sign the letter.

### **Discussion:**

The attached Board letter requests state funding support for the Santa Felicia Dam Safety Improvement Project, a critical infrastructure effort necessary to meet updated seismic safety standards and protect downstream communities. The requested funding represents the initial phase of a broader multi-year funding strategy that includes local, state, and federal participation. Approval of this letter demonstrates the Board's unified support for securing state funding and advancing this priority project.

ATTACHMENT: A – UWCD Support Letter



Board of Directors  
 Lynn E. Maulhardt, President  
 Catherine P. Keeling, Vice President  
 Gordon Kimball, Secretary/Treasurer  
 Keith Ford  
 Mohammed A. Hasan  
 Steve Huber  
 Rachel Jones

General Manager  
 Mauricio Guardado

Legal Counsel  
 David D. Boyer

June 19, 2026

**The Honorable Monique Limón**

Senate President pro Tempore  
 California State Senate, District 21  
 300 E. Esplanade Drive, Suite 430  
 Oxnard, CA 93036

**RE: United Water Board Endorsement of the Santa Felicia Dam Safety Improvement Project**

Dear Senate President pro Tempore Limón,

We, as the full Board of Directors of United Water Conservation District, want to put our name behind a request that matters greatly to the families, farms, and communities of Ventura County: state funding to move forward with critical safety work at Santa Felicia Dam.

Specifically, we are requesting \$19,151,961 in state funding for the coming fiscal year, the first installment of a five-year, \$109 million plan to complete this work.

Few pieces of infrastructure carry as much weight for our region. Santa Felicia Dam and the reservoir it holds supply a substantial share of the county's water, reaching homes and farms from Piru to Port Hueneme, Santa Paula to Oxnard, and the naval installation at Point Mugu. It also does quiet but vital work underground: by storing water during wet periods and releasing it strategically, it replenishes aquifers that are under real strain and helps keep ocean water from creeping into our drinking water supplies. This function has placed our District among the most efficient groundwater recharge operations anywhere in California.

The California Department of Water Resources' Division of Safety of Dams has classified Santa Felicia Dam as an extremely high hazard structure, and the Federal Energy Regulatory Commission has given it a high hazard designation, both reflecting how many people live downstream. New seismic standards now require us to rebuild the dam's internal outlet system so it can withstand a major earthquake, a system that runs through the dam's core and has grown less dependable as sediment accumulates. Engineering and permitting work is complete. We are positioned to begin construction the moment funding is in place.

United Water has put forward a layered approach to cover the full \$109 million cost over five years, beginning with \$19,151,961 requested for the coming fiscal year, potentially through Proposition 4 funding. Ventura County voters are already being asked to help shoulder this burden through a local



bond measure on the ballot this November. At the same time, FEMA, USDA, the Department of the Interior, and the U.S. EPA have each signaled willingness to contribute federal dollars, but only once California commits its own share. Your support in securing that initial state commitment would set the rest of this funding plan in motion.

A failure at this dam would put lives, homes, farmland, and water systems at risk well outside our service boundaries, a burden no local ratepayer base could shoulder on its own. That is precisely why our Board views this as a shared undertaking, one where the State has a meaningful role to play alongside our community.

We deeply appreciate everything you have already done for water infrastructure in our district. Thank you for standing with us on this.

With appreciation,

**The United Water Conservation District Board of Directors**

Lynn E. Maulhardt, President

Keith Ford

Catherine P. Keeling, Vice President

Mohammed A. Hasan

Gordon Kimball, Secretary/Treasurer

Steve Huber

Rachel Jones



## STAFF REPORT

**To:** UWCD Board of Directors

**Through:** Mauricio Guardado, General Manager  
Dr. Maryam Bral, Assistant General Manager  
Robert Richardson, Engineering Manager

**From:** Adrian Quiroz, Senior Engineer  
Kaili Taniguchi, Senior Engineer

**Date:** June 24, 2026 (July 8, 2026, meeting)

**Agenda Item:** **5.5 Authorize Amendment No. 2 to the Professional Consulting Services Agreement with GEI Consultants, Inc. for the Bid Document Preparation and Bid Phase Support Services for the Santa Felicia Dam Outlet Works Improvement Project (CIP 8002 Motion**

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### **Staff Recommendation:**

Authorize the General Manager to execute Amendment No. 2 to the Professional Consulting Services Agreement (PCSA) with GEI Consultants, Inc. (GEI) in the amount of \$1,655,390 for the Bid Document Preparation and Bid Phase Support Services for the Santa Felicia Dam Outlet Works Improvement Project (CIP 8002).

### **Background:**

On July 9, 2025, UWCD executed a PCSA with GEI to support bid document preparation and bid phase support services for the Santa Felicia Dam Outlet Works Improvement Project (Project).

Since the PCSA was executed, the Project schedule has been significantly extended, delaying contractor procurement from September 2025 to March 2027, primarily due to the need to secure funding to support construction, as well as ongoing delays associated with completion of the National Environmental Policy Act (NEPA) review process by the Federal Energy Regulatory Commission (FERC) and issuance of the required FERC license amendment. This extension of required continued project management, coordination, and administrative support has resulted in a longer duration than originally anticipated for GEI.

In addition, the level of effort associated with bid preparation, technical support, and regulatory compliance has increased due to additional and expanding project requirements. These efforts included preparation of supplemental technical analyses and memoranda that addressed DSOD concerns regarding the stability and structural design

**Agenda Item: 5.5 Authorize Amendment No. 2 to the Professional Consulting Services Agreement with GEI Consultants, Inc. for the Bid Document Preparation and Bid Phase Support Services for the Santa Felicia Dam Outlet Works Improvement Project (CIP 8002)**  
**Motion**

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of the sloping intake structure. The analysis ultimately satisfied DSOD's comments and avoided a potentially significant redesign and associated construction cost increases. Additional requirements also included updates to hydraulic and geotechnical documentation in responses to FERC Board of Consultants (BOC) comments, the addition of an in-person BOC meeting, continued development of a Quality Control and Inspection Program (QCIP) and a Soils and Groundwater Management Plan (SGMP). During this extended time period, GEI has also provided miscellaneous technical support for regulatory compliance, grant applications and preparation of an updated Project schedule.

On May 11, 2026, UWCD executed Amendment No. 1 to the PCSA for a fee of \$119,820. This amendment was implemented as an interim measure to maintain project progress while a larger, more comprehensive amendment (Amendment No.2.) was under development for Board consideration in July 2026. A

**Discussion:**

Amendment No. 2 provides for the continued engineering, technical support, and geotechnical field exploration services necessary to complete bid-ready construction documents and support the Project through the extended bid document preparation phase. This amendment provides for continued support through the anticipated completion of the bid phase in July 2028, at which time a construction contract is expected to be presented to the Board for consideration.

As described above, while the extension of the Project schedule has increased the duration of project management, coordination, and administrative services, the additional technical effort is also attributable to implementing strategies to reduce UWCD risk during construction. This includes an additional geotechnical exploration program to improve the geotechnical baseline and reduce uncertainty regarding subsurface conditions in addition to addressing the evolving project requirements described above. Additionally, because the existing outlet works system must remain operational until at least 2036, the scope of work also includes allowances for a feasibility study and design services to evaluate extending the useful life of the existing outlet works system. The scope of work also includes an allowance for the assessment of potential reservoir storage capacity recovery alternatives.

The proposed amendment will increase the total contract amount to a not-to-exceed value of \$3,070,424 and extend the contract term through September 30, 2028. A summary of the contract totals, including all amendments, is presented in the following table:

**Agenda Item: 5.5 Authorize Amendment No. 2 to the Professional Consulting Services Agreement with GEI Consultants, Inc. for the Bid Document Preparation and Bid Phase Support Services for the Santa Felicia Dam Outlet Works Improvement Project (CIP 8002)**  
**Motion**

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<b>Contract and Amendments</b>	<b>Contract Amount</b>	<b>Date Executed</b>
Original Contract	\$1,295,214	July 9, 2025
Amendment No. 1	\$119,820	May 11, 2026
Amendment No. 2	\$1,655,390	July 8, 2026 (anticipated)
<b>Total Contract Amount</b>	<b>\$3,070,424</b>	September 30, 2028 (Completion)

Staff recommend that the Board authorize the General Manager to execute the proposed Amendment No. 2 to the PCSA with GEI, which is attached herein as Attachment A, and further details the associated scope of work and schedule of fees.

**Mission Goal:**

Meets Mission-Related Goal B, System Reliability and Goal C, Regulatory and Environmental Compliance.

**Fiscal Impact:**

There are sufficient funds in the FY 2026/2027 budget (Account No. 051-400-81080-8002-815) to cover the proposed fee of \$1,655,390 associated with Amendment No. 2.

**Attachment:**

Attachment A – Amendment No. 2 to the Professional Consulting Services Agreement with GEI for Bid Document Preparation and Bid Phase Support Services for the Santa Felicia Dam Outlet Works Improvement Project

**AMENDMENT No. 2  
TO THE PROFESSIONAL CONSULTING SERVICES AGREEMENT**

The Professional Consulting Services Agreement (hereinafter referred to as "Agreement") made effective July 9, 2025, by and between United Water Conservation District (hereinafter "United"), and GEI Consultants, Inc. (hereinafter referred to a "Consultant"), for the purpose of providing engineering consultation services provided in connection with **Bid Document Preparation and Bid Phase Support Services for the Santa Felicia Dam Outlet Works Improvement Project** ("Project"), amended by Amendment No.1 on May 11, 2026, is here by amended as follows:

**Agreement**

On July 9, 2025, United entered into an agreement with Consultant. to obtain professional engineering consultations services provided in connection with the Project.

**Scope of Work**

This Amendment (Amendment No. 2) dated \_\_\_\_\_, 2026, hereby provides for additional engineering and bid document preparation services as set forth in Attachment "A". Consultant shall perform such services in addition to the scope of services as set forth in the original Agreement and Amendment No.1.

**Contract Term**

This amendment provides for an extended contract term through September 30, 2028.

**Compensation**

The not to exceed cost for the additional work described above is \$1,655,390. The total amended contract amount is \$3,070,424. The conditions of the original Agreement dated July 9, 2025, shall remain enforce except as amended herein.

United Water  
Conservation District

GEI Consultants, Inc.

\_\_\_\_\_  
Mauricio Guardado  
General Manager

*Thomas O. Keller*  
\_\_\_\_\_  
Thomas O. Keller  
Vice President

**AMENDMENT No. 2  
TO THE PROFESSIONAL SERVICE AGREEMENT  
Attachment A –  
Scope of Work and Fee Schedule**

**AMENDMENT NO. 2 TO AGREEMENT FOR  
PROFESSIONAL CONSULTING SERVICES FOR BID  
DOCUMENT PREPARATION AND BID PHASE SUPPORT  
SERVICES FOR THE SANTA FELICIA DAM OUTLET  
WORKS IMPROVEMENT PROJECT**

CONSULTANT shall provide additional professional consultation services for the bid document preparation and bid phase of the **Santa Felicia Dam Outlet Works Improvement Project** (Project) in accordance with the following scope of work, schedule, and fee estimate.

**SCOPE OF WORK**

The scope of work consists of Base Services, Optional Services, and Contingency Services. The Base Services are divided into the following four tasks:

- Task 1 – Advertise for Bid Specifications
- Task 2 – Advertise for Bid Plan Drawings
- Task 3 – Finalize Design Documentation
- Task 4 – Groundwater Infiltration Data Explorations and Well Installations
- Task 5 – Evaluation of Existing Outlet Works System Interim Improvements
- Task 6 – Evaluation of Reservoir Storage Capacity Restoration
- Task 7 – Additional Technical Support

Performance of Optional and Contingency Services shall only be performed if approved in writing by UWCD. Similarly, performance of subtasks categorized as allowances will only be initiated upon written approval issued by UWCD. The scope of work for Base Services, Optional Services, and Contingency Services is presented below.

**BASE SERVICES**

**Task 1 – Advertise for Bid Specifications**

This task consists of the following work items related to finalization of specifications for advertisement of bids for construction of the Project, including modifications/additions to the specifications requested by the Board of Consultants (BOC):

- Participate in meetings with UWCD and other UWCD consultants on updating of Division 00 specifications (Procurement and Contracting Requirements) and Division 01 Specifications (General Requirements). The scope includes participation by three GEI staff at 12 virtual meetings of one-hour duration each.
- Update Division 00 specifications. The scope includes updating specifications based on discussions with UWCD, and integration of best value selection process, project labor agreement (if used), liquidated damages, incentives, and permit conditions into the Construction Contract Documents. The task budget assumes that the specification updates will be primarily developed by UWCD and that GEI will review, discuss, and provide comments on the updates.

- Update Division 01 specifications. The scope includes updating specifications based on discussions with UWCD, addition of Section 01 78 36 (Warranty), and updates to Section 01 11 00 (Summary of Work), Section 01 20 00 (Measurement and Payment), Section 01 51 38 (Temporary Water Diversion and Control), and Section 01 75 00 (Facility Start Up).
- Update Division 02 through Division 48 specifications (Technical Specifications). The scope includes updates to Section 31 23 16 (Excavation and Foundation Treatment), Section 31 23 17 (Blasting), Section 31 71 10 (Tunnel Excavation), Section 31 71 30 (Permeation Grouting), and final QA/QC of specifications for consistent nomenclature, cross referencing between specification sections, and formatting.
- Modify specifications based on input from UWCD. The scope includes miscellaneous modifications to specifications and additions to specifications based on additional input received from UWCD. An allowance budget of 34 hours is provided for this task.
- Compile specification package for BOC Meeting No.13, tentatively scheduled for February 2027, including appendices to the specifications.
- Compile specification package for advertisement for bids, including appendices to the specifications.

### **Task 2 – Advertise for Bid Plan Drawings**

This task consists of the following work items related to finalization of plan drawings for advertisement of bids for construction of the Project:

- Incorporate locations of groundwater infiltration tests and groundwater well installations based on Task 4, as well as groundwater infiltration constraints.
- Incorporate comments by UWCD, FERC, DSOD, and UWCD consultants on March 2026 set of plan drawings.
- Miscellaneous modifications to drawings. The scope includes incorporation of improved grading of downstream control facility (DCF) pad for drainage, improvements to left abutment drainage ditch details, and final QA/QC of drawings. An allowance budget of 40 hours is provided for updating drawings for other items, if needed, such as modifying UWCD property line boundaries, additional access for maintenance of facilities, adding potential additional staging areas at the Lake Piru Recreation Area, and addition of Rancho Temescal (SFD Lower Access) road improvement drawings (if developed as part of a separate contract).
- Compile plan drawing package for advertisement for BOC Meeting No.13, tentatively scheduled for February 2027, including reference drawings.
- Compile plan drawing package for advertisement for bids, including reference drawings.

### **Task 3 – Finalize Design Documentation**

This task consists of the following work items related to finalization of design documentation for the Project:

- Finalize Geotechnical Data Report (GDR)
- Finalize Basis of Design Report
- Finalize Design Report

6/23/2026

- 2 -

- Finalize Constructability Evaluation (Allowance)
- Finalize Reservoir Operating Plan for Construction Report
- Update Operations and Maintenance Manual (Allowance)
- Technical Memorandum (TM) on Justification for Six Intakes (Allowance)

Allowance budgets are provided for finalization of the constructability evaluation report, updating the O&M Manual, and TM on Justification for Six Intakes.

#### **Task 4 – Groundwater Infiltration Data Explorations and Well Installations**

A subsurface exploration program will be performed to support development of Construction Contract Documents for the Project. Information on the ability of the alluvial soils on the downstream side of the dam to infiltrate nuisance water from construction activities will be obtained. Groundwater sampling wells be installed at two locations along the proposed tunnel alignment to allow samples of groundwater within bedrock to be obtained for water quality testing.

The scope of the subsurface exploration program is described in a draft *2026 Drilling Program Plan (DPP)* that will be submitted by UWCD to FERC and DSOD for review and approval. For budgeting purposes, we have assumed that the field exploration program will consist of the following:

##### Infiltration Testing of Alluvium in Downstream Valley

- Installation and development of two groundwater monitoring wells, each about 20 feet deep, installed in boreholes advanced using a Sonic drilling rig.
- Installation of six well permeameters, each about 10 feet deep, installed in boreholes advanced using a Sonic drilling rig. Permeability tests at each well will be performed and documented in general accordance with USBR 7300-89 “*Procedure for Performing Field Permeability Testing by the Well Permeameter Method.*”
- Installation of two test pits, each about 3 to 4 feet deep. Infiltration tests will be performed in each test pit to obtain data on infiltration rates. Following completion of the infiltration tests, each test pit will be excavated deeper to obtain information on the character of alluvial materials. Test pits will be backfilled with material removed from the test pits.
- Laboratory grain size testing of selected samples of alluvium obtained from the borings and test pits.

##### Groundwater Wells Along Tunnel Alignment

- Installation and development of an approximately 130-foot-deep groundwater monitoring and sampling well in the general vicinity of previous Boring B406 at the site.
- Installation and development of an approximately 70-foot-deep groundwater monitoring and sampling well in the general vicinity of previous Borings B202 and B203 at the site.

This task consists of the following work items:

- DPP Finalization. The DPP will be finalized to address comments received from FERC and DSOD on the Draft DPP.
- Site Reconnaissance and Development of Detailed Testing Procedures. GEI will make one visit to the dam site to identify subsurface exploration locations and discuss the logistics of field work with UWCD staff. Detailed procedures will be developed for performance of borings, installation of wells, and performance of infiltration tests.
- Subsurface Exploration Program. The scope of the exploration program is described above. GEI will coordinate with UWCD staff during the course of the field exploration program.
- TM on Findings of Infiltration Testing. A TM will be prepared to interpret the results of infiltration testing, including an assessment of the viability of a shallow basin in alluvium to be used for infiltration of nuisance water from construction activities.
- Geotechnical Data Report (GDR). The subsurface exploration program will be documented in a draft GDR for review by UWCD. A final GDR will be prepared to address one round of UWCD comments. The GDR will become part of the Construction Contract Documents.

Assumptions:

1. UWCD will perform any environmental studies required for the field work and obtain any required environmental permits.
2. UWCD will pay for any application fees to DSOD, if required by DSOD.
3. UWCD will assist with the field work by performing the following:
  - a. Performing localized grading of the left abutment access road to create level pads for a Sonic drilling rig to set up over two boring locations, and removal of the pads following completion of the well installations in the boreholes.
  - b. Renting a water truck for use in infiltration testing and providing an operator of the truck for the duration of the testing. Water for infiltration testing would be obtained from the nearby recreation area.
  - c. Excavation of two shallow test pits for infiltration testing using a backhoe or similar equipment, extending the depth of the test pits following testing, and backfilling of the test pits.
  - d. Providing one staff member to assist with logistics during infiltration testing.
  - e. Engaging a surveyor to determine the coordinates and elevations of the ground surface at exploration locations.
4. Soil and rock samples obtained from the borings and test pits will be stored in an existing Conex bin located at the site, or other on-site location designated by UWCD.
5. UWCD will engage another firm to obtain water samples from the groundwater wells and to perform water quality testing of the water samples.
6. UWCD staff will monitor water levels in the groundwater wells over time.

**Task 5 – Evaluation of Existing Outlet Works System Interim Improvements**

Based on the current proposed project schedule, prepared in March 2026, the existing outlet works must remain operational until at least 2036. However, the sediment level in the Lake Piru Reservoir is near the invert of the existing intake tower. UWCD is considering alternatives for prolonging the useful life of the existing system including potentially extending the height of the existing intake tower as a risk reduction measure in the event that large amounts of sediment

enter the reservoir prior to abandonment of the existing outlet works system. This task consists of performing an evaluation of existing outlet works interim improvements, including extending the height of the tower. UWCD would then decide if moving into the design phase and development of construction bid documents is warranted.

This task consists of the following work items:

- Feasibility Study
- 50% Design Plans and Specifications (Allowance)
- 100% Design Plans and Specifications (Allowance)
- Preparation of Bid Documents (Allowance)

The feasibility study will consist of the following:

- Review of existing information regarding the existing tower.
- Identification of alternatives for extending the height of the tower and selection of a preferred alternative for analysis.
- Structural analysis of existing tower and extended tower using a SAP 2000 finite element model.
- Estimation of probability of failure of existing tower and extended tower under earthquake loading.
- Development of conceptual design of extended tower.
- Development of Level 4 opinion of probable construction cost for extending tower.
- Preparation of draft Feasibility Study Report to document the study for UWCD review, and finalization of the report based on one round of comments by UWCD.

Development of design plans and specifications and preparation of bid documents will not proceed unless authorized in writing by UWCD based on the results of the feasibility study. Allowance budgets are provided for the design phase and preparation of bid documents. The 50% design plans and specifications would be submitted to UWCD for review, and for UWCD submittal to FERC and DSOD for regulatory review. Comments received from reviewing entities would be incorporated into the 100% design submittal. Likewise, comments received on the 100% design submittal would be incorporated into the bid documents.

#### Assumptions

1. The tower will be potentially extended approximately 6 to 8 feet to allow for the buildup of additional sediment around the tower.
2. The design assumes the existing intake tower structure concrete is in acceptable condition and no modifications are needed to the existing structure.
3. UWCD will engage a diver to inspect the existing condition of the tower to support the 50% design.
4. UWCD's standard front-end specifications will be used for the project.
5. One set of consolidated comments will be provided by UWCD, FERC, and DSOD during any review cycles.

## **Task 6 – Evaluation of Reservoir Storage Capacity Restoration**

A simplified analysis for the removal of sediment that has accumulated in the upstream portion of Lake Piru Reservoir was performed by GEI in 2022, as documented in a Draft TM entitled *Santa Felicia Dam Sediment Removal Study*. The sediment removal study included analyses to estimate a potential amount of sediment that could be removed above Elevation 970 feet, characterization of the existing sediment in the reservoir, development of an approach for excavation of sediment and hauling it to disposal locations, and development an opinion of probable construction cost.

The reservoir will be lowered to below El 950 feet as part of the Outlet Works Improvement Project construction. In addition, UWCD may be acquiring additional land parcels adjacent to the reservoir that could be considered for sediment disposal locations. The purpose of this Task 6 is to update the 2022 Draft TM to consider additional sediment that could be removed below Elevation 970 feet and the potential additional sediment disposal locations.

Potential methods for stabilization of sediment placed on slopes in disposal areas will be identified, including consultation with specialty vendors to assess alternative means and methods appropriate to site conditions (e.g., dewatering, pumping, cementitious treatment, and other ground improvement techniques).

This task consists of the following work items:

- Develop assumptions for character of sediment below El 970. This would be based on a paper study only.
- Update the previous sediment removal analysis and opinion of probable construction costs.
- Update the 2022 Draft TM to include the additional analyses and cost opinions. An updated Draft TM will be submitted to UWCD for review, and finalized based on one round of UWCD review comments.

This task does not include site visits or subsurface explorations to obtain information on the character of sediments in the reservoir.

## **Task 7 – Additional Technical Support**

### Management, Contract Administration, and Coordination Meetings

This task includes management of the GEI team, contract administration, project controls, progress reporting, and coordination with UWCD, regulatory agencies, and other UWCD consultants. GEI's project manager will coordinate with UWCD throughout the duration of the work, with assistance as needed from task leads. This task includes the following activities:

- Management and supervision of the GEI design team.
- Coordination meetings of the GEI design team.
- Management, coordination, and evaluation of subconsultant services.
- Management of the project scope, schedule, and budget.
- Progress report included with submittal of monthly invoices.

Coordination and communications with UWCD includes one-hour bi-weekly progress meetings (virtual) to discuss Project issues and progress.

GEI will support UWCD in updating the Santa Felicia Dam Safety Improvement Project (SFDSIP) overall schedule for submittal to FERC.

GEI will participate in coordination meetings (virtual) with regulatory agencies and other UWCD consultants regarding relevant designs performed by others. This includes coordination with Southern California Edison on electrical/hydropower issues (allowance budget), and coordination with other consultants on the design of a downstream release channel to connect the new concrete-lined outlet channel of the outlet works to lower Piru Creek.

GEI will assist UWCD in communications with FERC and DSOD to address issues related to process and functioning of the BOC and schedule of review submittals. We have assumed that these communications will mainly be via phone and email.

#### Support for CEQA Addendum

UWCD plans to issue an addendum to the California Environmental Quality Act (CEQA) document prior to advertisement for bids. GEI will provide support to UWCD in preparation of this addendum. This support will include providing updated text and figures of the Project design. The level of effort for this task was assumed to be up to 62 hours.

#### Other Technical Support

This task consists of the following work items:

- Soil and Groundwater Management Plan (SGMP) Support. This includes review of updated versions of the SGMP prepared by others, and providing recommended modifications to the SGMP to avoid conflicts/contradictions with the plans and specifications.
- Construction Observation Plan/Quality Control and Inspection Program (QCIP) Support. This includes review and comment on the QCIP prepared by others, including review of QA and QC testing table.
- Response to UWCD and B&V Comments on Plans & Specifications. This includes completing responses to comments received on the plans and specifications.
- Technical Support for Grant Funding. This includes supporting UWCD in completing applications for grant funding, including support for obtaining waivers to the Build America, Buy America Act (BABAA), if needed. An allowance budget is provided for this task.

#### Board of Consultants Meeting No. 13

The BOC provided comments on the Project design documents during BOC Meeting No. 11 held on March 25-26, 2026. GEI will provide responses to BOC comments contained in their April 7, 2026 letter report. BOC Meeting No. 13 is planned to be held in February 2027 to address the remaining BOC comments and GEI responses. This meeting will be held in-person at UWCD's

office in Oxnard, CA over a two-day period and will be attended in-person by two senior GEI staff, and virtually by other GEI supporting staff.

GEI will perform the following in support of BOC Meeting No. 13: assist UWCD with development of a meeting agenda, compile an electronic packet of information for review by meeting attendees, develop a PowerPoint presentation to summarize responses to BOC comments, and attend the BOC meeting to make presentations and answer questions. UWCD will handle scheduling of the meeting with the BOC and communications with the BOC, FERC, and DSOD relative to the meeting time.

GEI will update the BOC comment tracking form following BOC Meeting No. 13. GEI will also review and provide comments, as needed, on the BOC Meeting No. 13 Final Report.

#### Incorporation of Final Comments into Plans and Specifications

This task consists of addressing comments received from UWCD, FERC, DSOD, and BOC on the plans and specifications included in the BOC Meeting No. 13 packet. An allowance budget is provided for this task.

#### **OPTIONAL SERVICES**

As an optional service, GEI will prepare for and participate in a workshop to present key elements of the Project design to UWCD management/Board. For budgeting purposes, we have assumed that the workshop will occur over a one-day duration, will be held at UWCD's office, and be attended by two GEI staff.

#### **CONTINGENCY SERVICES**

There is a potential that comments made by regulators on the Project design documents submitted to them for review in March 2026 (as part of BOC Meeting No. 11) and in February 2027 (as part of BOC Meeting No. 13) will result in the need to modify the design of the Project. It is possible that no design modifications will be required. However, an allowance budget of \$75,000 is provided to make design modifications, if needed and approved by UWCD.

## SCHEDULE

The expiration date of the Agreement is changed from September 30, 2026 to September 30, 2028.

CONSULTANT shall provide additional professional consultation services for the Santa Felicia Dam Outlet Works Improvement Project in accordance with the schedule below.

Item	Approximate Date
Begin Subsurface Exploration Program (Task 4)*	Fall 2026
Reservoir Storage Capacity Restoration Draft Technical Memorandum (Task 6)	11/20/2026
Draft Report on Feasibility Study for Interim Improvements to Existing Outlet Works System (Task 5)	12/4/2026
Geotechnical Data Report on Subsurface Exploration Program (Task 4)	1/22/2027
Final Design Report, Basis of Design Report, and Geotechnical Baseline Report (Task 3)	1/29/2027
BOC Meeting No. Package	2/5/2027
BOC Meeting No. 13	February 2027
Advertise for Bid Package	July 2027

\* Within two months of Drilling Program Plan approval by FERC; Fall 2026 is anticipated date.

**Fee Estimate Detail**

United Water Conservation District  
 Outlet Works Improvement Project  
 Bid Document Preparation and Bid Phase Support Services - Amendment 2  
 GEI Consultants, Inc.  
 6/23/2026

Task	GEI Labor Hours <sup>1)</sup>										GEI Total Labor Hours	GEI Costs				GEI Cost	Subconsultant Costs	Total Fee (2025 GEI Labor Rates)	Subconsultant Costs					
	Engineer/Geologist/Scientist Professional Grade									CADD Drafter/ Designer		Techn./ Admin.	Labor	Direct Expenses	Service Charge 15%				GEI Total	Frisch Engineering, Inc.	Cascade Drilling	Geotech Lab Testing	Subs Total	
	8	7	6	5	4	3	2	1																
<b>BASE SERVICES</b>																								
<b>Task 1 - Advertise for Bid Specifications (Divisions 00, 01, and 03)</b>	136	244	0	62	0	0	0	0	0	0	28	470	\$143,514	\$0	\$750	\$144,264	\$144,264	\$5,000	\$149,264	\$5,000	\$0	\$0	\$5,000	
1.1 Bi-weekly meetings with UWCD on Div 00 and 01 Specs (7/9/26-12/31/26)	16	32										48	\$15,776		\$0	\$15,776	\$15,776	\$0	\$15,776					\$0
1.2 Updates to Div 00 Specifications	24	48									4	76	\$24,192		\$0	\$24,192	\$24,192	\$0	\$24,192					\$0
1.3 Updates to Div 01 Specifications	40	80		24							4	148	\$45,608		\$0	\$45,608	\$45,608	\$0	\$45,608					\$0
1.4 Updates to Technical Specifications	40	60		24							8	132	\$39,816		\$750	\$40,566	\$40,566	\$5,000	\$45,566	\$5,000				\$5,000
1.5 Miscellaneous Modifications and Additions to Specification Sections (Allowance)	8	16		6							4	34	\$9,826		\$0	\$9,826	\$9,826	\$0	\$9,826					\$0
1.6 Final Compilation of Specification Package and Appendices	8	8		8							8	32	\$8,296		\$0	\$8,296	\$8,296	\$0	\$8,296					\$0
<b>Task 2 - Advertise for Bid Plan Drawings</b>	36	72	0	24	0	0	0	0	0	152	0	284	\$68,040	\$0	\$450	\$68,490	\$68,490	\$3,000	\$71,490	\$3,000	\$0	\$0	\$3,000	
2.1 Incorporate New Groundwater Infiltration Information and Constraints	4	8		4						16		32	\$7,716		\$0	\$7,716	\$7,716	\$0	\$7,716					\$0
2.2 Incorporate Comments by UWCD, FERC, DSOD, & BV on 3/6/26 Submittal	20	40		8						40		108	\$28,680		\$450	\$29,130	\$29,130	\$3,000	\$32,130	\$3,000				\$3,000
2.3 Miscellaneous Modifications and Additions to Drawings (Includes 40 Hours Allowance)	4	16		4						80		104	\$21,572		\$0	\$21,572	\$21,572	\$0	\$21,572					\$0
2.4 Final Compilation of Drawings and Reference Drawings	8	8		8						16		40	\$10,072		\$0	\$10,072	\$10,072	\$0	\$10,072					\$0
<b>Task 3 - Outlet Works Improvements Design Documentation</b>	148	172	0	40	0	0	0	0	0	12	40	412	\$123,548	\$0	\$600	\$124,148	\$124,148	\$4,000	\$128,148	\$4,000	\$0	\$0	\$4,000	
3.1 Finalize Geotechnical Baseline Report (Construction Contract Document)	24			16						8	8	56	\$14,728		\$0	\$14,728	\$14,728	\$0	\$14,728					\$0
3.2 Finalize Basis of Design Report	16	16		8						4	4	44	\$13,128		\$0	\$13,128	\$13,128	\$0	\$13,128					\$0
3.3 Finalize Design Report	24	24		12						8	8	68	\$19,956		\$0	\$19,956	\$19,956	\$0	\$19,956					\$0
3.4 Finalize Constructability Evaluation Report (Allowance)	16	60		4						8	8	88	\$26,620		\$0	\$26,620	\$26,620	\$0	\$26,620					\$0
3.5 Finalize Reservoir Operating Plan for Construction Report	40	8								4	52	120	\$17,216		\$0	\$17,216	\$17,216	\$0	\$17,216					\$0
3.6 Update Operations & Maintenance Manual (Allowance)	4	60								4	68	104	\$20,904		\$600	\$21,504	\$21,504	\$4,000	\$25,504	\$4,000				\$4,000
3.7 Technical Memorandum on Justification for Six Intakes (Allowance)	24	4								4	4	36	\$10,996		\$0	\$10,996	\$10,996	\$0	\$10,996					\$0
<b>Task 4 - Groundwater Infiltration Data Explorations &amp; Well Installations</b>	144	92	0	416	0	0	0	0	0	26	16	694	\$184,522	\$22,000	\$25,200	\$231,722	\$231,722	\$168,000	\$399,722	\$0	\$160,000	\$8,000	\$168,000	
4.1 Update Drilling Program Plan based on FERC comments	4			12						2	2	20	\$4,854		\$0	\$4,854	\$4,854	\$0	\$4,854					\$0
4.2 Site Reconnaissance, Development of Detailed Testing Procedures, Sub Engagement	60	16		40						4	2	122	\$36,668	\$2,000	\$0	\$38,668	\$38,668	\$0	\$38,668					\$0
4.3 Performance of Field Explorations	40	24		240								304	\$78,144	\$20,000	\$25,200	\$123,344	\$123,344	\$168,000	\$291,344	\$160,000	\$8,000	\$168,000	\$168,000	
4.4 Technical Memorandum on Infiltration Basin Viability, Draft & Final	16	40		24						4	4	88	\$25,180		\$0	\$25,180	\$25,180	\$0	\$25,180					\$0
4.5 Geotechnical Data Report for Inclusion in Construction Contract Documents	24	12		100						16	8	160	\$39,676		\$0	\$39,676	\$39,676	\$0	\$39,676					\$0
<b>Task 5 - Evaluation of Existing Outlet Works System Interim Improvements</b>	140	160	0	264	0	384	0	0	0	240	52	1,240	\$279,472	\$0	\$0	\$279,472	\$279,472	\$0	\$279,472	\$0	\$0	\$0	\$0	
5.1 Feasibility Study - Analyses and Technical Memorandum Draft and Final	60	80		120		120				40	12	432	\$104,624		\$0	\$104,624	\$104,624	\$0	\$104,624					\$0
5.2 50% Design Plans & Specifications (Allowance)	40	40		80		160				80	16	416	\$90,192		\$0	\$90,192	\$90,192	\$0	\$90,192					\$0
5.3 100% Design Plans & Specifications (Allowance)	24	24		40		80				80	16	264	\$55,912		\$0	\$55,912	\$55,912	\$0	\$55,912					\$0
5.4 Finalize Bid Documents (Allowance)	16	16		24		24				40	8	128	\$28,744		\$0	\$28,744	\$28,744	\$0	\$28,744					\$0
<b>Task 6 - Evaluation of Reservoir Storage Capacity Restoration</b>	28	72	0	0	192	0	0	0	0	16	8	316	\$74,760	\$0	\$0	\$74,760	\$74,760	\$0	\$74,760	\$0	\$0	\$0	\$0	
6.1 Develop Assumptions for Material Characteristics (~EI 935 to ~EI 970), Paper Study	4	8		12								24	\$6,332		\$0	\$6,332	\$6,332	\$0	\$6,332					\$0
6.2 Sediment Removal Analysis, Sediment Below ~EI 970 w/ Lowered Reservoir	16	40		140								196	\$46,164		\$0	\$46,164	\$46,164	\$0	\$46,164					\$0
6.3 Technical Memorandum, Draft and Final	8	24		40						16	8	96	\$22,264		\$0	\$22,264	\$22,264	\$0	\$22,264					\$0
<b>Task 7 - Additional Technical Support</b>	602	568	8	112	0	0	0	0	0	52	86	1,428	\$441,608	\$2,000	\$2,250	\$445,858	\$445,858	\$15,000	\$460,858	\$15,000	\$0	\$0	\$15,000	
7.1 Project Management (24 Months, 7/9/2026 - 7/12/2028)	280	160									24	464	\$152,848		\$0	\$152,848	\$152,848	\$0	\$152,848					\$0
7.2 Bi-weekly Progress Meetings (24 Months, 7/9/2026 - 7/12/2028)	80	80									8	168	\$54,656		\$0	\$54,656	\$54,656	\$0	\$54,656					\$0
7.3 Coordination with SCE on Electrical/Hydropower (Allowance)	2	8										10	\$3,236		\$2,250	\$5,486	\$5,486	\$15,000	\$20,486	\$15,000				\$15,000
7.4 FERC/DSOD Coordination Support	24	24									4	52	\$16,608		\$0	\$16,608	\$16,608	\$0	\$16,608					\$0
7.5 Support for CEQA Addendum	24	24								12	2	62	\$18,468		\$0	\$18,468	\$18,468	\$0	\$18,468					\$0
7.6 SGMP Coordination, Meetings, and Document Review/Editing	24	24									2	50	\$16,344		\$0	\$16,344	\$16,344	\$0	\$16,344					\$0
7.7 OCIP Coordination, Meetings, and Document Review	8	16									2	26	\$8,152		\$0	\$8,152	\$8,152	\$0	\$8,152					\$0
7.8 Respond to BV Comments on Plans, Specs, Reports	40	40		40							8	128	\$37,256		\$0	\$37,256	\$37,256	\$0	\$37,256					\$0
7.9 Technical Support for Grant Funding and Obtaining BABAA Waivers (Allowance)	8	80		24							4	116	\$34,280		\$0	\$34,280	\$34,280	\$0	\$34,280					\$0
7.10 BOC Meeting No. 13 and BOC Comment Tracking Form	72	72		8							16	176	\$54,368	\$2,000	\$0	\$56,368	\$56,368	\$0	\$56,368					\$0
7.11 Incorporate Final UWCD,FERC/DSOD Comments into Plans/Specs (Allowance)	40	40		40							40	160	\$45,392		\$0	\$45,392	\$45,392	\$0	\$45,392					\$0
<b>TOTAL</b>	1,234	1,380	8	918	192	384	-	-	498	230	4,844	\$1,315,464	\$24,000	\$29,250	\$1,368,714	\$1,368,714	\$195,000	\$1,563,714	\$27,000	\$160,000	\$8,000	\$195,000		
<b>TOTAL BASE SERVICES</b>																	<b>\$1,563,714</b>							





## STAFF REPORT

**To:** UWCD Board of Directors

**Through:** Mauricio Guardado, General Manager  
Dr. Maryam Bral, Assistant General Manager  
Robert Richardson, Engineering Manager

**From:** Adrian Quiroz, Senior Engineer  
Kaili Taniguchi, Senior Engineer

**Date:** June 23, 2026 (July 8, 2026, meeting)

**Agenda Item:** **5.6 Authorize Amendment No. 3 to the Professional Consulting Services Agreement with Black and Veatch Corporation for Construction Management and Inspection Services for the Santa Felicia Dam Outlet Works (CIP 8002)**  
**Motion**

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### **Staff Recommendation:**

Authorize the General Manager to execute Amendment No. 3 to the executed Professional Consulting Services Agreement with Black and Veatch (BV) Corporation (BV) in the not to exceed amount of \$840,000. Under this amendment, BV will provide construction management, inspection, and preconstruction services, including support for the preparation and review of the construction contract documents for the Santa Felicia Dam Safety Improvement Project – Outlet Works Improvement Project (“Project”) (CIP 8002).

Fiscal Year (FY) 2026/2027 scope of work will include review and support for the preparation of the construction contract documents, continued grant support, and preconstruction services.

The scope of work for future FYs contract amendments, currently estimated to be in the amount not to exceed \$10,481,676, will continue to support pre-construction tasks and include construction management and inspection services performed during construction for the Project. Groundbreaking for construction is expected to begin in April 2029.

### **Background:**

The existing outlet works system needs to be replaced due to concerns related to seismic stability of the intake tower and water conveyance conduit through the dam and the ongoing accumulation of sediment in the reservoir that could potentially impact operation of the outlet works in the near future. The existing outlet works will be relocated to the east (left) abutment and replaced with a new outlet works facility which will consist of an intake control facility, including a sloping intake located in the reservoir, two water conveyance conduits in a new tunnel through a downstream

**Agenda Item: 5.6 Authorize Amendment No. 3 to the Professional Consulting Services Agreement with Black and Veatch Corporation for Construction Management and Inspection Services for the Santa Felicia Dam Safety Outlet Works Improvement Project – Outlet Works (CIP 8002)**  
**Motion**

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control facility (DCF), and a hydropower facility consisting of a small cross-flow turbine located within the DCF. The design of the Project has advanced to final design, which was completed and presented to the Board of Consultants (BOC), Federal Regulatory Energy Commission (FERC), and the California Department of Water Resources Division of Safety of Dams (DSOD) in May 2025. The bid phase is scheduled to start in March 2027. Groundbreaking for construction of the new outlet works is scheduled to begin in April 2029.

**Discussion:**

On June 21, 2023, the District entered into an Agreement for Professional Consulting Services with BV. This Agreement covers multiple years of work and was structured to provide an Amendment to the Agreement each FY, only for the work projected to be completed within that FY.

<b>Agreement</b>	<b>FY</b>	<b>Amendment Amount</b>
Original Agreement	2023/2024	\$1,498,623
Amendment No. 1	2024/2025	\$1,780,888
Amendment No. 2	2025/2026	\$0
<b>Amendment No. 3</b>	<b>2026/2027</b>	<b>\$840,000</b>
<b>Total:</b>		\$4,119,551
<b>Amount Remaining:</b>		\$10,481,676

BV's scope of work for Amendment No. 3 includes the following key services: preparation of preconstruction plans and programs, review and support for the preparation of the construction contract documents, bid/award process support, including contractors prequalification and best value selection, support with an approach to partnering, support for a Project Labor Agreement, grant support, and the preparation and support for multiple preconstruction plans and programs. BV's scope of work for Amendment No. 3 includes budget transfers from tasks that will not be utilized during FY 2026/27, tasks where the scope of work has been reduced, and the supplemental services task. These budget transfers reduce the cost of the overall amendment for FY 2026/27.

BV's Amendment No. 3 also includes revisions to the General Conditions, Contract Costs and Field Personnel sections of the Professional Services Agreement. These revisions include (1) a maximum hourly rate escalation of 3.7% from year to year, (2) clarify that no markup applies to reimbursable expenses, and (3) cap subconsultant markup, based on each subconsultant's scope of work.

**Agenda Item: 5.6 Authorize Amendment No. 3 to the Professional Consulting Services Agreement with Black and Veatch Corporation for Construction Management and Inspection Services for the Santa Felicia Dam Safety Outlet Works Improvement Project – Outlet Works (CIP 8002)**  
**Motion**

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Staff recommend authorizing and approving Amendment No. 3 to the contract with BV to continue preconstruction services for the Project.

**Mission Goal:**

Meets Mission-Related Goal B, System Reliability – Ensure that the District’s existing and planned water supply, conveyance, and recharge systems meet regional needs, including emergency response.

**Fiscal Impact:**

Sufficient funds are available in FY 2026/27 budget (CIP 8002, Account 8002-850) to cover Amendment No. 3 amount of \$840,000.

Pending FERC approval to proceed with construction, Staff will request Board approval of any additional amendments to the Contract and allocation of additional funds in the subsequent fiscal year budgets to cover ongoing activities currently estimated at \$10,481,676. Additionally, the Contract contains terms and conditions indicating future fiscal year contract approvals are contingent upon approval of future years’ funding and Board approval of the construction contract.

**Attachment:**

Attachment A – UWCD BV Amendment No. 3 – SFD SIP Outlet Works Improvement Project for FY 2026/2027

**AMENDMENT No. 3  
TO THE PROFESSIONAL SERVICE AGREEMENT**

The Professional Service Agreement (hereinafter referred to as "Agreement") made effective June 21, 2023 by and between United Water Conservation District (hereinafter "United"), and Black and Veatch Corporation (hereinafter referred to a "Consultant"), for the purpose of providing construction management and inspection services, and preconstruction services in connection with Santa Felicia Dam Safety Improvement Project – Outlet Works Improvement Project (CIP 8002), amended by Amendment No. 1 on July 11, 2024, and Amendment No. 2 on July 15, 2025 is here by amended as follows:

**Agreement**

On June 21, 2023, United Water Conservation District entered into an agreement with Black and Veatch Corporation to obtain construction management and inspection services, and preconstruction services provided in connection with Santa Felicia Dam Safety Improvement Project – Outlet Works Improvement Project (CIP 8002).

This Agreement was executed with the understanding that Amendment will be executed each Fiscal Year to complete the full scope of work. This Contract Amendment contains terms and conditions indicating future Fiscal Year contract approvals being contingent upon approval of future years funding and Board approval.

**Scope of Work**

This amendment dated July \_\_, 2026 provides for additional services consisting of construction management and inspection services including preconstruction services. The scope of work is listed in more detail in the attached proposal.

**Contract Term**

This amendment provides for an extended contract term through June 30, 2027.

**Compensation**

The not to exceed cost for the additional work described above is \$840,000. The total amended contract amount is \$4,119,511. The conditions of the original Agreement dated June 21, 2023, shall remain enforce except as amended herein.

United Water  
Conservation District

Black and Veatch Corporation

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Mauricio Guardado  
General Manager

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Matthew L. Thomas  
Associate Vice President

**AMENDMENT No. 1  
TO THE PROFESSIONAL SERVICE AGREEMENT**

Attachment A –

United Water Conservation District's  
Construction Management and Inspection Services Related to the  
Santa Felicia Dam Safety Improvement Project – Outlet Works Improvement Project

**Contract Amendment #3 to EXHIBIT "A" <sup>1</sup> For Fiscal Year 2026/2027**

(July 1, 2026 through June 30, 2027)

**CONSTRUCTION MANAGEMENT SERVICES – SCOPE AND COSTS BY TASK**

The scope of services set forth in this Amendment 3 to Exhibit A, which is attached to the Agreement shall be described as the "Basic Services" to be performed during the Period of Performance. The Construction Manager shall provide all the services necessary to complete the Project including the services detailed below.

DEFINITIONS:

Period of Performance: From United Water Conservation District's (District's) Notice to Proceed for the approved Fiscal year 2026/27, which is planned to start on July 1, 2026 through the effective end of the same Fiscal Year, which ends on June 30, 2027. This duration in conjunction with the approved project milestones in Exhibit C are the basis for defining the scope of services and estimated fees in this Amendment 3 to Exhibit A. As such, changes to the project milestones in Amendment 3 to Exhibit C will affect the timing and therefore the level of services to be performed under this scope of services.

Project Phase: All tasks listed below support the project's Preconstruction Phase.

ANNUAL AMENDMENTS: For this Agreement, the services requested in the RFQ/P are being provided in annual funding authorizations that align with the District's fiscal year. In many instances, this results in estimating funds for a 12-month period that if unspent, will carry over into the subsequent amendment covering the next fiscal year even though the funds were originally authorized in the prior fiscal year. A benefit of this process is that it enables the scope of services to adapt to Project-driven changes and therefore gives the District better control over the services being provided.

SUMMARY ESTIMATE OF CONSTRUCTION MANAGERS' HOURS, COSTS, AND SCHEDULE:

The level of effort and associated costs listed in Table A-1 are based on the estimated level of effort for this 12-month Period of Performance *minus* the estimated carry over.

Based on the project schedule (refer to Amendment 1 to Exhibit C), the following table summarizes the services that will be provided during this period of performance.

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<sup>1</sup> This amended Exhibit A augments prior approved versions. It does not supersede these prior amendments to Exhibit A (i.e., the sum of all approved amended exhibits represents the changes in scope and cost since contract award through the most current Period of performance). In addition, the estimated scope and costs that are presented in this Exhibit A are based on the current schedule, which is provided in the amended Exhibit C.

United Water Conservation District's  
Construction Management and Inspection Services Related to the  
Santa Felicia Dam Safety Improvement Project – Outlet Works Improvement Project

**Table A-1: Task Level Estimated Hours, Costs, and Schedule** <sup>1</sup>

Sub Task	Package Description w/ (Relevant Notes)		Amendment #3						Available FY 2026/27
			Current Authorized	Percent Complete	Proposed Budget Transfers	Hours	Cost	Revised Contract Amount	
01	Project Management	2	\$ 746,233	71%	\$ 278,780	260	\$ 64,000	\$ 1,089,013	\$ 558,789
02.0	Pre-Constr.	2	\$ 600,412	0%	\$	300	\$ 73,800	\$ 674,212	\$ 73,800
02.1	Owner's Eng Reviews	2	\$ 280,178	93%	\$ 35,000	40	\$ 11,000	\$ 326,178	\$ 66,187
02.2	FERC PFMA's		\$ 180,398	100%				\$ 180,398	
02.4	Contract Documents				\$	520	\$ 131,200	\$ 131,200	\$ 131,200
02.5	Prequal & Bid/Award	2	\$ 403,177	63%	\$ 120,000	130	\$ 32,100	\$ 555,277	\$ 301,691
02.6	Cost Est. & Contingency	2	\$ 75,492		\$ (35,000)			\$ 41,177	\$ 41,177
02.70	Constr. Management Plan				\$ 71,000	610	\$ 152,600	\$ 223,600	\$ 223,600
02.71	Risk Management Plan	2	\$ 146,353	30%				\$ 146,353	\$ 102,100
03	Constr. Coord	2a	\$ 206,780	0%	\$ (206,780)				
04	FERC Constr. QCIP	2	\$ 94,809	63%		140	\$ 34,700	\$ 129,509	\$ 69,842
05	Constr. Admin.	2	\$ 91,577	0%	\$ (80,000)	120	\$ 29,100	\$ 52,254	\$ 52,254
06	Safety Management	2	\$ 22,911	0%		100	\$ 26,200	\$ 49,111	\$ 49,111
09	Claims Management	2	\$ 32,494	0%		70	\$ 16,800	\$ 49,294	\$ 49,294
10	Environ. Support	2	\$ 100,362	96%		930	\$ 233,500	\$ 333,862	\$ 277,793
12	Grant/Loan Support	2	\$ 59,906	113%	\$ 8,000	140	\$ 35,000	\$ 102,906	\$ 35,418
13	Supplemental Services		\$ 177,444	0%	\$ (170,000)			\$ 14,888	\$ 14,888
90	Sub Markup		\$ 60,985	20%	\$ (21,000)			\$ 43,172	\$ 31,270
<b>Totals</b>			\$ 3,279,511	46%	\$ -	3,360	\$ 840,000	\$ 4,119,511	\$ 2,124,362

United Water Conservation District's  
Construction Management and Inspection Services Related to the  
Santa Felicia Dam Safety Improvement Project – Outlet Works Improvement Project

Table A-1 Notes:

1. Table only lists those tasks where services are planned to be performed during this period of performance. For Tasks 02.2, 03, 07, 08, and 11, no services are currently planned.
2. Denotes tasks where previously authorized budget is planned to carry over from Amendment #2 into Amendment #3
- 2a. Denotes a previously approved budget with no planned services to be provided under Amendment #3, so this budget will carry over to Amendment #4.
- B. Gray shaded tasks denote that no services are planned during Amendment 3's Period of Performance.

United Water Conservation District's  
Construction Management and Inspection Services Related to the  
Santa Felicia Dam Safety Improvement Project – Outlet Works Improvement Project

TASK DESCRIPTIONS:

The following lists the services to be provided during the period of performance (refer to Exhibit C). The associated hours and resulting estimated costs rely upon judgement and experience to estimate the level of services needed and the level of completeness of the key documents that were relied upon to prepare this Amendment 3 to Exhibit A and current market conditions.

**1.0 PROJECT MANAGEMENT**

The following subtask identifiers are used for administrative purposes with services invoiced at the task level.

Status: Consultant will continue to support the District with the management and coordination of this project (subtasks 1.1 through 1.7).

In addition, the Consultant has been providing technical advice and support related to the District's use of both prequalification and best value selection processes, as well as potential updates to the standard contract documents to utilize current practices aimed at improving the management of the construction contract (subtask 1.8).

Amendment #3 Scope: Continuation of services.

Amendment #3 Schedule: Start: 07/01/2026 Finish: 06/30/2027  
(Applies to all subtasks unless otherwise noted)

1.1 Project Management and Coordination Support to District's Project Manager.

Amendment #3 Scope: No change from prior amendment.

Amendment #3 Deliverables: Same as provided during the prior amendment.

Primary Assumptions:

- a. The level of effort is based on 26 biweekly coordination meetings with monthly status reports that use the same processes and procedures as currently being used. For formal meetings associated with other tasks, the level of effort is included as part of those tasks, scope and budget.
- b. The level of effort is equivalent to the level of effort, as occurred during the end of the prior amendment, with increased effort occurring commensurate with the contractor procurement process (refer to Task 2.5).
- c. With the formal start of the construction contractor procurement, related support will now be performed as part of Task 02.

United Water Conservation District's  
Construction Management and Inspection Services Related to the  
Santa Felicia Dam Safety Improvement Project – Outlet Works Improvement Project

1.2 Prepare a Construction Management Work Plan (CMWP) for the Construction Phase.

Amendment #3 Schedule: Start: 01/01/2027 Finish: 06/30/2027

Amendment #3 Scope: Consultant will work with the District to prepare an updated, and more detailed, work plan to provide the requested construction management services. This CMWP will be developed at the classification level with estimates of the number and types (e.g., inspectors) for the estimated duration their services are needed. To be more cost-efficient, an integral part of this process includes working with the District, to make sure these planned resources are leveled to provide continuity across the multiple years of construction. Ideally, having the same personnel return the following season reduces each season's training and ramp up time and allows continuity of relationships between the various teams – ours, the District's, the Engineer's, and the Contractor's.

Amendment #3 Deliverables:

- a. Consultant will prepare a draft and then final CMWP to be used to update the District's Capital Improvement Program's cost projections and as an input to the FY 2027/2028 budget.

Primary Assumptions:

- a. The Engineer's latest construction schedule will be used as the basis to estimate the level of effort needed to provide construction management services.
- b. Once the contractor's proposed schedule is available, the CMWP will be updated.

1.3 Facilitate Meetings with the District and Participation at FERC BOC Meetings

Amendment #3 Scope: Consultant will provide the following services:

- a. FERC BOC #12 (Virtual): District will provide the BOC's report, related to FERC's request that the District expeditiously complete a Spillway's Hydrologic Hazard Assessment, for Consultant, specifically, Jim Watson, to provide feedback as it relates to the next steps so the District can provide their response to FERC.
- b. FERC BOC #13 (In-person): Prepare for, participate in, and support the District in the following planned FERC BOC meetings Outlet Works' Final DSOD & FERC Reviews, which is currently planned to occur over one day in February 2027.

Consultant's Role: Be prepared to present updates on the following topic areas that have been assigned to Consultant:

1. Updated Draft QCIP (refer to Task 4).

United Water Conservation District's  
Construction Management and Inspection Services Related to the  
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2. Updated Draft SGMP (Refer to Task 10).
3. Bid/Award process (refer to Task 2.5).
4. Other topics as requested by the District

In addition, participate in responding to questions raised by either the FERC, their BOC, or DSOD. Participation will include Jim Watson and Steven Delledara

Amendment #3 Deliverables:

- a. FERC BOC #12: Consultant will prepare a short memorandum summarizing the reviewer's comments to the BOC's report and suggested response strategies for the District's consideration.
- b. FERC BOC #13: Consultant will prepare presentation materials, present these materials, and prepare draft responses to the BOC's comments related to the topic areas Consultant has been assigned.

Primary Assumptions:

- a. For the topics listed above, the effort to prepare presentation materials and for the technical leads to participate, (1) these costs are included under the applicable task and (2) their participation will be virtual, but, upon request, they can be available to participate in person.
- b. For the SGMP, The Engineer is responsible for presenting their drawings and specifications that bidders and then the successful contractor will use to price, schedule, and fulfill the requirements. However, Consultant's level of effort includes coordinating with the Engineer to have a complimentary and cohesive presentation package.
- c. If requested to provide additional support than planned (e.g., FERC BOC #13, item 4), if additional budget is needed, the Consultant will work with the District to transfer under-utilized budget in other tasks.

1.4 Maintain Construction Management Action and Decisions Logs

Amendment #3 Scope: For each of the formal biweekly coordination meetings (refer to Task 1.1), Consultant will continue to document action items that will be included with the summary or record of each meeting with status tracked until each item has been resolved. A separate log of key decisions is also being maintained.

Amendment #3 Deliverables:

- a. Consultant will document status of all open action items as attached to each meeting's record.
- b. Consultant will maintain a separate key decisions log for those decisions related to construction management. This includes decisions affecting the planned construction start.

United Water Conservation District's  
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Primary Assumptions:

- a. The District is maintaining a master log of key decisions related to the outlet works' other non-construction management related (e.g., engineering, environmental, funding).

1.5 Reserved (Prepare Construction Manager's Monthly Construction Progress Reports)

Amendment #3 Scope: None. The construction phase does not start during this amendment's period of performance.

1.6 Support Coordination and Communication with External Agencies

Amendment #3 Scope: No services are planned during Amendment #3's period of performance.

Amendment #3 Deliverables:

- a. Upon request, Consultant will prepare materials to facilitate the meeting.

Primary Assumptions:

- a. The level of effort for this task does not include support to coordination with FERC (refer to Task 1.3).
- b. The District will lead all external agency communications. And Consultant will not initiate contact unless prior District approval has been given.
- c. During the preconstruction phase, all meetings will be conducted virtually. However, should in-person meetings be required, travel to/from the District will require the District's preapproval.
- d. When requested to participate, the Consultant will work with the District to identify available budget.

1.7 Support Public Outreach & Neighborhood Communications

Amendment #3 Scope: Consultant will attend one, in person, meeting that will likely be with the Piru Neighborhood Council.

Amendment #3 Deliverables:

- a. Upon request, Consultant will prepare materials to facilitate this meeting.

Primary Assumptions:

- a. The District will lead all external communications. Consultant will not initiate contact unless prior District approval has been given.
- b. Scheduling of this meeting will endeavor to coincide with another planned trip, such as for the FERC BOC #13 (refer to Task 1.3).

United Water Conservation District’s  
Construction Management and Inspection Services Related to the  
Santa Felicia Dam Safety Improvement Project – Outlet Works Improvement Project

1.8 General Project and Construction Management Support:

Status: Refer to Task 1.0

Amendment #3 Scope:

1. Consultant will continue to provide timely responses to District’s requests on an as requested basis.
2. If requested, Consultant will support the District’s efforts to develop a response to FERC’s recent request that a Comprehensive Assessment be completed prior to construction of the Outlet Works.

Amendment #3 Deliverables: Consultant will prepare short letter reports, white papers, summarizing Consultant’s opinions and, where applicable, identification of potential opportunities and/or constraints for the District’s consideration.

Primary Assumptions: As requested, these services will be provided within the estimated total amount listed in Table A-1, unless the District authorizes a budget transfer from within existing authorized tasks.

**2.0 PRECONSTRUCTION PHASE TECHNICAL SERVICES**

Status: Consultant will continue to support the District with preconstruction phase services. FERC and DSOD concurred with delayed construction schedule and start at BOC Meeting #11 (2026 Mar 24) with the current translation of requested services by task from the RFQ/P shown in Table A-3

Amendment #3 Schedule: Start: 07/01/2026 Finish: 06/30/2027  
(Unless otherwise noted in each subtask)

Amendment #3 Scope: Services provided under this task consist of two primary groups A: Constructability Review and B: General and Miscellaneous Support.

**Table A-2: Task 2 Preconstruction Phase Services – Translation Table <sup>1</sup>:**

<b>Task List from Table A-1 w/ Description</b>	<b>RFQ/P Section 3 Task List (2.1 – 2.9)</b>	<b>RFQ/P Section 7 Task List (2.1 – 2.9) <sup>2</sup></b>
02 Pre-Construction Support:	2.1 Constructability Review	4. Constructability Review
▪ Constructability Reviews	2.6 Review TCEAP	
▪ Review TCEAP		
▪ Support other preconst. activities		

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<b>Task List from Table A-1 w/ Description</b>	<b>RFQ/P Section 3 Task List (2.1 – 2.9)</b>	<b>RFQ/P Section 7 Task List (2.1 – 2.9) <sup>2</sup></b>
02.1 Owner's Engineer Review <sup>4</sup>	Not listed	2.3 Review District's Requirements & design documents
02.2 PFMA for Design & Constr. <sup>4</sup>	2.7 Design PFMA	Not listed
02.3 Not Used		
02.4 Constr. Contract Document Review <sup>3</sup>	2.4 Contract Document & Infrastructure Review	2.5 Contract Document Support
02.5 Bid/Award Support	2.5 Bid Process Management 2.8 Pre-Constr. Coord.	6 Pre-Qualification 7. Bidding Phase
02.6 Cost Estimate	2.1 Constructability review	2.8 Provide a Constr. Cost Estimate in model format 3 Constr. Costs & Value Engineering
02.7 Construction Management Plan (CMP) <sup>5</sup>	2.3 Communications Management Plan	2.2 Communications Plan 2.9 Draft Construction Management Plan
02.71 Contr. Risk Management Plan	2.2 Construction Risk Management Plan	Not listed

**Table Notes:**

- 1 This table shows how all the RFQ/P's requirements are addressed for Task 2 given the RFQ/P included subtasks in both Section 3 (Scope of services) and Section 7 (Draft Standard Agreement's draft Exhibit A). To integrate both sections, some of the task identification numbers listed in the RFQ/P's Section 3 were changed with amendment #2 and minor changes with this, amendment #3.
- 2 RFQ/P Section 7 also included tasks that are included in other Sections of the RFQ/P, but these are not listed. Only those subtasks that were in addition to Section 3 are listed.

**2.0 Group A: Complete the Constructability Review**

Status: Under the original contract, Consultant completed a constructability review of the Engineer's 90% level of plans, technical specifications, and Constructability Evaluation Report. Under Amendment #2, Consultant completed,

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a front-end specification constructability review of the Engineer's 100% level of design.

Specific activities to be performed under this task include the following:

1. Site Development Package Review:

Amendment #3 Schedule: Start: 07/01/2026 Finish: 02/28/2027

Amendment #3 Scope: Consultant will perform a constructability review of the Engineer's Site Development Package to confirm it addresses constructability considerations that were raised during Consultant's review of the 90% level of design.

Amendment #3 Deliverable: Consultant will provide comments using its Excel-based Quality Review Form (QRF) that will then be followed by a backcheck where responses are evaluated to verify the comment has been satisfactorily addressed.

Primary Assumption: To achieve the schedule, the drawings and specifications will be available at the start date.

2. Focused Draft Bid Set Review:

Amendment #3 Schedule: Start: 07/01/2026 Finish: 02/28/2027

Amendment #3 Scope: Consultant will perform a focused constructability review of the Engineer's "Draft Bid Set", which includes additional details in response to (a) Consultant's prior review and (b) comments provided by the FERC, their BOC, and DSOD. This review will focus on what has changed to the drawings since the 90% level of design and any new technical specification sections. The front-end specifications will be reviewed under Task 2.4.

Amendment #3 Deliverable: Consultant will provide comments using its Excel-based QRF that will then be followed by a backcheck where responses are evaluated to verify the comment has been satisfactorily addressed.

Primary Assumptions:

- a. To achieve the schedule, the updated documents related to the "Draft Bid Set" version as well as environmental documents will be available at the start date.
- b. The review of both the latest environmental documents and, if available, any permits will only consist of key chapters related to mitigation.
- c. For reports, drawings, and technical specifications that have been previously reviewed and will not be part of any subsequent review, the Engineer has satisfactorily addressed Consultant's prior comments as well as comments provided by the District, the FERC, their BOC, and DSOD.

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3. Completeness of the Constructability Review:

Amendment #3 Schedule:      Start: 07/01/2026                      Finish: 02/28/2027

Amendment #3 Scope: Consultant will work with the District to clarify the constructability report's minimum requirements list to then demonstrate how these topics have been evaluated as part of the constructability review (refer to Task 2.1 item e from the RFQ/P's Section 3). For example, to address the environmental permitting requirements, that have been included, will require a review of key sections of the District's EIR, potentially FERC's EA, and available permits (or at least the applications).

Amendment #3 Deliverable: Consultant will work with the District to prepare a summary table demonstrating how the minimum requirements for the constructability review have been met.

Primary Assumption: For the Consultant to address all of the required topics, the Engineer's scope of services must also have included their need to address these topics as they prepared their designs. If not, the District or Engineer will furnish the missing information for Consultant's review or agree to amend the requirement.

2.0 Group B: Provide General and Miscellaneous Construction Management Support

The following subtask identifiers are used for administrative purposes with services invoiced at the task level.

Status: Similar to Task 1.8, Consultant has been and continues to provide technical support and advice related to District's requests, that primarily relate to the contractor procurement processes (refer to the status associated with Tasks 2.4 and 2.5).

Amendment #3 Schedule:                      Start: 07/01/2026                      Finish: 06/30/2027

Subtasks include the following:

1. Continuation of Services: Consultant will continue to support in the topic areas that are currently in progress. However, starting with Amendment #3, most of these services will now be performed under separate subtasks to improve the overall management and transparency. These include:

- a. Construction market awareness/early communications: Refer to Task 2.5.1
- b. Prequalification support: Refer to Task 2.5.2
- c. Front-end specification support: Refer to Task 2.4
- d. Cost estimate and contingency support: Refer to Task 2.6

2. TCEAP Support:

Amendment #3 Scope: Consultant will prepare a draft FERC-Compliant Temporary Construction Emergency Action Plan (TCEAP) for inclusion into the

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contract documents as an appendix. The TCEAP will use FERC's guidelines and lessons learned from relevant projects to develop a working draft for District's feedback to then provide an updated working draft for FERC to review. Once FERC's comments have been incorporated, an initial draft TCEAP will be prepared.

Amendment #3 Deliverables: Consultant will prepare an initial draft TCEAP.

Primary Assumptions:

- a. A subsequent contract amendment is needed to prepare an updated version of the TCEAP the selected contractor will then use to advance the TCEAP for FERC's approval prior to construction.
- b. Certain information, such as the list of participating first responders will be identified, but the current name, phone number, and other information will be deferred to a subsequent revision.

3. PLA Support:

Amendment #3 Scope: Pending the District's decision, if a Project Labor Agreement (PLA) becomes part of this project's construction, Consultant will provide technical, non-legal, advice in support of the District's preparation of a PLA.

Amendment #3 Deliverables: Consultant will prepare a short letter report summarizing potential opportunities and/or constraints associated with drafts of the PLA.

Primary Assumptions:

- a. Consultant will review up to three different drafts.
- b. Consultant will provide technical advice based on Consultant's experience with developing and then implementing PLAs for other owners. As such, the Consultant will not author any sections of the PLA.

4. General Construction Management Support:

Amendment #3 Scope: Consultant will continue to provide timely responses to District's requests on an as requested basis.

Amendment #3 Deliverables: Consultant will prepare short letter reports summarizing Consultant's opinions and, where applicable, identification of potential opportunities and/or constraints for the District's consideration.

Primary Assumptions: As requested, Consultant will provide these services within the estimated total amount listed in Table A-1, unless the District authorizes either a budget transfer from within existing authorized tasks.

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2.1 Owner's Engineer Reviews

Status: Consultant completed, under Amendment #1, an owner's engineer review of the Engineer's 90% level of design that included various engineering/basis of design reports, drawings and technical specifications. Also, completed, under Amendment #2, a focused review of the Engineer's 100% level of design, by focusing on the revised drawings and new specification sections that included both technical and front-end sections.

Amendment #3 Schedule: Start: 07/01/2026 Finish: 02/28/2027

Amendment #3 Scope:

1. Consultant will use the comments developed as part of reviewing the 100% level of design combined with a review the tracked changes version of the Engineer's Draft Bid-Set to backcheck prior comments and potentially identify any additional comments for the District's consideration.
2. Consultant will work with the District and Engineer to resolve open comments related to the Draft Bid Set level of design.
3. Consultant will review the new drawings and technical specification sections that have been created since Consultant reviewed the 100% level of design.

Amendment #3 Deliverables:

- a. Focused Draft Bid Set Reviews: Consultant will provide comments in its Excel-based QRF that will then be followed by a backcheck where responses are evaluated to verify the comment has been satisfactorily addressed.

Primary Assumptions:

- a. As part of this focused review, only the drawings and technical specifications will be reviewed, since prior comments to Engineer's design memorandums are assumed to have been previously completed with no substantive changes having occurred since the 100% level of design.
- b. To efficiently review the Draft Bid-Set level of design, the District will provide a tracked changes version of the Engineer's drawings and technical specifications from their 100% level of design.
- c. Review of the front-end specifications will be performed as part of Task 2.4.
- d. For Engineer's reports, drawings, and technical specifications that have been previously reviewed, these will not be part of any subsequent review, since the Engineer has satisfactorily addressed Consultant's prior comments as well as comments provided by the District, the FERC, their BOC, and DSOD.
- e. Environmental and permit documents will not be part of this review, in part, because they will be a part of the constructability review (refer to task 2.0).

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- f. Site Development Package will not be part of the owner's engineer review since it primarily addresses constructability comments to the Engineer's 90% level of design.

2.2 Lead FERC's Potential Failure Modes Analysis (PFMA) Process

Status: Consultant completed the facilitation and management of FERC's Design Phase PFMA process. FERC's Construction Phase PFMA process can't start until after award of the construction contractor, which is beyond Amendment #3's period of performance.

Amendment #3 Scope: No services are planned during Amendment #3's period of performance.

2.4 Support the Preparation of Construction Contract Documents

The contract documents must be clear as they relate to the requirements, which include, among other things, the allocation of risk between the owner and contractor. Given the size and complexity of this construction, this contract also needs to be robust and utilize current best practices, to the extent these align with District's policies and procedures and will provide value to the District.

Note: Services related to supporting the District's contract bid/Award process are included under Task 2.5.

Status: Consultant has responded to District's requests related to current contracting methods and processes under Task 2.0 These included (1) identification of potential sections the District may want to update and (2) participation in monthly front-end specification workshops.

Amendment #3 Schedule:                      Start: 07/01/2026                      Finish: 06/30/2027

Specific activities to be performed under this task include the following:

a. Continue to Support the Specification Workshop Process:

Amendment #3 Scope: Consultant will continue to participate in District-led Specification Workshops, which started on January 7. This includes completing assigned action items and providing status to the District.

Amendment #3 Deliverables: Consultant will provide the status

1. For assigned action items, Consultant will provide status at meetings or via short emails.
2. When information needs to be created, Consultant will prepare a short memorandum.

Primary Assumptions:

1. Monthly meetings where Consultant will prepare for, participate in, and resolve assigned action items.

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2. Consultant's level of effort includes two representatives participating in each meeting and, as applicable, coordination with Consultant's other topic matter experts.
  3. Should the District request support in managing this process, Consultant can provide additional resources, but this will require either a budget transfer from within existing authorized tasks.
- b. Support Changes to the Construction Contract:

Amendment #3 Schedule:      Start: 07/01/2026                      Finish: 06/30/2027

Amendment #3 Scope: As requested, Consultant will support the preparation of updates to the Front-end specifications in key sections. The focused constructability review (refer to Task 2.0) identified several potential topic areas that have been provided, as part of the specification workshop process, that may (1) require either updates to existing sections (e.g., order of precedence, liquidated damages, access and use of private property), (2) creation of new sections (e.g., inclusion of bid escrow documents, contractor reporting and compliance with federal funding terms and conditions such as Buy America, Buy American (BABA) Act, any contractor responsibilities associated with reservoir operations during construction), and/or (3) support the bid evaluation process (e.g., approach to both construction groundwater and environmental compliance).

Amendment #3 Deliverables:

1. For primary topic areas or specification sections, that will require significant updates and that will be assigned to Consultant, short memorandums will be prepared; initially as a draft for District's comments followed by an updated version deemed to be complete.
2. When requested for a specific topic, Consultant will prepare a short "white paper" (or similar) for the District's use.

Primary Assumptions:

1. Support to specification sections related to either the prequalification and/or use of best value selection, which are new processes for the District, Consultant's services will be provided as part of Task 2.5.2.
2. To incorporate federal and state funding requirements related to topics such as BABA and reporting the contractor will have to comply with and therefore need to be disclosed in the specifications, the District will provide these from the sponsoring State and/or federal agency.
3. Up to six primary topic areas or specification sections, that will require either significant updates or preparation of a one-page "white paper", will be assigned to Consultant to provide such updates.

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4. The final contract document's Division 00 and 01 (aka front ends) will be reviewed, in its entirety, by District's legal counsel prior to releasing them as an integral part of the Notice Inviting Bids (NIB).

2.5 Support the Construction Bid/Award Process

The following subtask identifiers are used for administrative purposes with services invoiced at the task level.

Status: Prior to the District's decision to delay the construction start, Consultant responded to District's requests related to current contracting methods and processes under Task 2.0. These included:

- a. Input into the use of a prequalification process and use best value selection as an alternative to awarding this contract to the lowest responsive and responsible bidder.
- b. Supporting updates to the District's procurement policy.
- c. Early development of technical requirements for the planned Application for Prequalification (or similar).
- d. Early planning for an Industry Day to improve contractor's awareness of the pending contract.

For Amendment #3, Consultant will continue to provide these services in accordance with the following three steps.

2.5.1 Support the District with Expanding the Number of Interested Bidders

Amendment #3 Schedule:                      Start: 07/01/2026                      Finish: 02/28/2027

Amendment #3 Scope: Consultant will support the District in communicating with construction companies about this pending contract. This includes (a) providing the District with potential topics of interest to the contractor's questions to ask and (b) informal communications that will include directing them to the District's project-specific website for additional information and how to request an informal meeting.

Amendment #3 Deliverables:

- a. Meeting Topics: Input will be provided through a combination of using the biweekly meetings with the District, that are documented, and via emails.
- b. Communications: Consultant will maintain a simple log summarizing the name of the construction company, their potential interest as being a prime, joint venture, or subcontractor, the date of each communication, and any key questions the company asked. As significant updates have occurred, this log will be provided to the District.

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Primary Assumptions:

- a. At present, no trips to meet with any construction company is included.
- b. The completion date is contingent upon the District's decision to start the formal prequalification process in 2027 March. Should this decision be delayed, additional communications with contractors may occur.
- c. Should the level of communications with contractors be greater than estimated, Consultant will work with the District to determine if a budget transfer from within existing authorized tasks should be approved.

2.5.2 Support the District with Prequalifying the Most Competent Construction Contractor Teams

Amendment #3 Schedule:                      Start: 07/01/2026                      Finish: 06/30/2027

Amendment #3 Scope: Consultant will support the District with the formal prequalification – not shortlisting – process. This includes:

- a. Application: The Consultant will support the preparation of the Application for Prequalification (or similar), which is a new process for the District so it will include using examples, previously provided to the District, tailoring them to meet the District's and then the Outlet Works' needs. This two-step process is intended to allow the District to have a template that can be used for other contracts and then have the document that will be used for the Outlet Works.
- b. Evaluation: The Consultant will support the District in (1) developing the minimum threshold criteria that will be used to evaluate applications received, (2) preparing draft technical requirements that will be included in the Application for Prequalification's instructions, (3) developing the required forms each applicant must fill out and return for evaluation, and (4) developing the evaluation instructions/guides and an Excel-based evaluation scoring spreadsheet.
- c. Process: The Consultant will support the District with the planning and implementation of the prequalification process. This includes helping to facilitate an "Industry Day" that will occur prior to release of the Notice Inviting Applications for Prequalification (or similar).

The "Industry Day" will provide an overview that augment the information on the District's website and include time for participants to be onsite. One day is planned for contractors who have explicitly stated their intent to be the prime (or part of a joint venture's managing partnership) and a separate day for contractors who have explicitly stated their intent to be a subcontractor or vendor. The list of attendees for each Industry Day will be made available to all and may be posted on the District's website if District elects not to use BidNet.

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- d. Responding to Applicant Questions: The Consultant will support the District in responding to applicants' requests for information (RFIs) as they pertain to the areas where Consultant provided technical input to the Prequalification Application.
- e. Evaluation: The Consultant will support the District's evaluation of applications received. This includes technical reviews of each application as they pertain to prior representative construction experience and any requested approaches to certain construction aspects (e.g., risks).

Amendment #3 Deliverables:

- a. Application & Evaluation: Consultant will provide input through a combination of direct input to the working draft that is being maintained on the District's SharePoint site, discussions at meetings with responses to action items that are documented, and email responses to District's questions or requests.
- b. Process: Consultant will provide a post-meeting summary of the events in a short memorandum.
- c. Responding to Applicant Questions: Consultant will provide draft responses to the District to include in their master tracking log. Responses will be provided either directly into the log (assuming it is available on the District's SharePoint) or via email.
- d. Evaluation: Consultant will use District-prepared forms.

Primary Assumptions:

- a. General: Since the bid/award process will utilize best value selection, at least the District's executive team and potentially the District's Board will need to approve the best value selection process (refer to Task 2.5.3) as a condition to start release of the Notice Inviting Applications for Prequalification.
- b. Application: The Application for Prequalification (or similar) will be reviewed, in its entirety, by District's legal counsel prior to releasing them as an integral part of the Notice Inviting Applications for Prequalification.
- c. Application: District's Engineer will provide the technical requirements that will be incorporated into the Applications for Prequalification.
- d. Process: Based on the current schedule, the list of prequalified construction contracting isn't planned to be completed until after Amendment #3's period of performance. However, to allow schedule flexibility, the level of effort for Consultant's services is based on the completion of this process. Should the schedule remain as is or needs to be extended, unspent budget will carry over into the next fiscal year.

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- e. Process: During this step, no one-on-one confidential bidder meetings will be held. However, these meetings will be part of the best value selection (refer to Task 2.5.3).
- f. Process: District will use their existing procurement processes to advertise and manage the Applications for Prequalification process. Consultant will only provide management advice and recommendations. This may include the use of BidNet and, if not, the development of an Excel-based spreadsheet to track participation and responses to applicable questions.
- g. Process: The two "Industry Days" will be scheduled back-to-back to minimize travel expenses.
- h. Evaluation: The threshold for prequalification will be a combination of pass/fail and meeting minimum requirements.
- i. Evaluation: The District will perform completeness reviews and evaluate applications for compliance with District's requirements that include, among other aspects, the legal and financial responses as well as how each applicant has endeavored to demonstrate compliance with the Division of Industrial Relations' model questions. Consultant will only review and provide opinions within the Consultants' technical area of responsibilities and will not be a "scoring" member of the District's evaluation committee.

2.5.3 Support the District in Selecting the Best Value Construction Contractor

Amendment #3 Schedule:                      Start: 07/01/2026                      Finish: 06/30/2027

Amendment #3 Scope: Approval of the best value selection process will likely be required prior to releasing the Notice Inviting Applications for Prequalification (or similar) (refer to Task 2.5.2). This will require the Consultant to provide the following services during this period of performance.

Consultant will work with the District by drawing upon the prior, working draft, white paper on best value selection and procurement plan to develop the Proposed Best Value Selection Process (or similar). The results will also become a key input to the planned Evaluation Manual used to identify which contractor's combination of technical and price proposals provides the apparent best value to the District.

This criteria and process will start with the District's Notice Inviting Bids to only those prequalified construction contractor teams through the evaluation of each bidder's technical and price proposal to identify which bidder has the highest score to begin negotiations to award this contract.

Amendment #3 Deliverables: Consultant will develop the Proposed Best Value Selection Process with significant input from the District. In preparing the Proposed Best Value Selection Process, significant input will be obtained from

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both the District's executives/senior management and legal counsel so that all their comments can be addressed.

Primary Assumptions:

- a. Preparation of the Proposed Best Value Selection Process will not require more than four drafts before being approved for use: (1) an initial working draft, (2) an updated working draft that flag potential policy and legal matters, a staggered review starting with (3) the District's legal counsel followed by (4) their backcheck to confirm their comments have been satisfactorily addressed and then (5) review by the District's executives/management followed by (6) their backcheck to confirm their comments have been satisfactorily addressed, before releasing (7) a complete draft that will be issued for final discussion and approval, and (8) approved and released for use.
- b. Since the prior white paper and procurement plans have been previously reviewed by at least the District's legal counsel, addressing their primary concerns will not exceed four topic areas. And, similarly, addressing the District's executives/management concerns will not exceed two topic areas
- c. Information gained from the prequalification process (refer to Task 2.5.2), may lead to updates to both the Proposed Best Value Selection Process and the final bid/contract documents. This consideration will be addressed in developing the scope for Amendment #4.
- d. Technical and price proposals will be submitted at the same time (aka bid opening) so that an announcement of the bidder's ranking will come weeks later after all submittal requirements have been satisfactorily evaluated.
- e. A specified number of one-on-one confidential bidder meetings will be included.

2.6 Cost Estimate and Contingency Support

Status: The Engineer's OPCC that was based on the 90% level of design, was only reviewed for completeness.

Amendment #3 Schedule:                      Start: 07/01/2026                      Finish: 06/30/2027

Amendment #3 Scope:

- a. OPCC Review: Consultant will perform a quality assurance review the latest Opinion of Probable Construction Costs (OPCC) "Draft Bid Set" to identify parts of the estimate where the estimated costs may be over or under the expected cost for the scope of work and where current market conditions make it difficult to estimate (e.g., impact of tariffs, fuel escalation, BABA).
- b. Contingency: Based on this review, Consultant will work with the District to develop an estimated amount of contingency to (1) include as part of the District Board's approval of the total contract price (i.e., should this total be

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exceeded, the District Board's approval is required) and (2) use as part of the District's capital improvement program's (CIP's) budgeting process.

Amendment #3 Deliverables:

- a. OPCC Review: Consultant will provide comments using its Excel-based QRF that will then be followed by a backcheck where responses are evaluated to verify the comment has been satisfactorily addressed.
- b. Contingency: Consultant will prepare a short letter report summarizing the basis for recommending a contingency amount for both the total contract amount and for use with the District's CIP process.

Primary Assumptions:

- a. The latest OPCC is for the "Draft Bid Set" or no earlier than for the "Final Design" for the OPCC to include an estimate the costs associated with some of the Outlet Works front end specifications (e.g., reservoir operations, impact of federal funding requirements that require compliance with BABA, inclusion of liquidated damages).
- b. Consultant's review of the Engineer's OPCC does not constitute an independent cost estimate (ICE) that is sometimes used to improve certainty in what the expected bid prices are likely to be.
- c. The level of effort does not include the use of risks to estimate contingency.

2.7 Construction Management Plan

Amendment #3 Schedule:                      Start: 07/01/2026                      Finish: 06/30/2027

Amendment #3 Scope: Consultant will prepare an initial draft Construction Management Plan (CMP) that will serve as the roadmap for how the construction management will be performed. The CMP will draw up on the best practices from both the Project Management Institute (PMI) and the Construction Management Association of America (CMAA) that will be tailored to the specific needs for construction of the Outlet Works.

Key areas of this plan include the team's organizational structure, onsite staffing and home office support functions, and safety requirements; communications and reporting requirements; external stakeholder engagement; management and administration of the contractor's contract with the District; management of scope, schedule, costs and budgeting; quality assurance requirements that are not limited to compliance with FERC's QCIP, risk management and control and handling of information and documents which will utilize Procore's construction software.

Amendment #3 Deliverables:

- a. Prior to preparing the CMP, Consultant will submit a proposed outline to obtain the District's input.

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- b. The preparation will include iterations starting with an initial working draft followed by an updated working draft where District's comments will be addressed before issuing an initial draft. Updated drafts will be prepared as more specifics become available (e.g., contractor's schedule) and will utilize this same process.
- c. Attachments to the CMP will include the latest drafts of the following:
  - 1. Initial JHA and HASP (refer to Task 6)
  - 2. Construction schedule
  - 3. Communications Plan
  - 4. FERC-complaint QCIP
  - 5. Quality assurance related to contractor's compliance with environmental and safety, respectively
  - 6. Risk management plan

Primary Assumptions:

- a. The Engineer's latest project and construction schedules will be provided prior to completing the initial working draft.
- b. Prior to the start of construction, subsequent updates will include additional information, primarily as attachments to provide more details (e.g. a Construction Administration Plan, Construction Reporting Plan) while keeping the plan as concise as possible for usability considerations. These updates will be budgeted separately and are not included as part of Amendment #3.
- c. During construction, and on a semi-annual basis, and as part of a continuous improvement process, Consultant will work with the District to determine which sections and attachments need to be updated to better align with the project's requirements. These updates will be budgeted separately and are not included as part of Amendment #3.

2.7.1 Prepare A Construction Phase Risk Management Plan

Amendment #3 Schedule:                      Start: 07/01/2026                      Finish: 02/28/2027

Amendment #3 Scope: Consultant will develop a Construction Phase Risk Management Plan that focuses on the more likely events that have the potential to significantly affect the project's cost, schedule, or impact to District's operations during construction.

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<sup>3</sup> EPA will require certified payroll.

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The Consultant will also include a risk register, where each identified risk will be briefly defined, its likelihood and potential consequences scored to rank the risks, and a risk owner assigned based on who is in the best position to manage the risk. For some this may be the contractor. In addition, a second pass will be performed to identify potential mitigation measures to allow each risk to be re-scored based on the expected benefit the mitigations could provide. From this, the initial risk vs as mitigated risks will be compared to aid in identifying potential risks that may require actions be taken either ahead of the bid or be required by the contractor to take once awarded the contract.

Key inputs include:

- a. The latest version of the Engineer's Constructability Evaluation Report.
- b. The results from the Consultant-led FERC Design Modification PFMA (refer to Task 2.2).

Development of the risk register will utilize a virtual workshop format with representatives from the District and members of the Consultant's team who have previously reviewed the Outlet Works.

Prior to the workshop, (1) materials will be distributed ahead of time with the risk register prepopulated with the applicable risks previously identified and (2) Consultant will work with the District to develop the scoring criteria related to schedule and cost.

The workshop will then confirm the relevance of the identified risks, identify other potential risks. Then, each risk will be scored, potential mitigation measures identified to then re-score the risk.

The results will be compiled in a short technical memorandum that includes the risk register as an attachment, risks summarized graphically in two "heat maps" - one for the existing risk and the second for the risks if mitigated, and identification of the top risks the District may consider acting upon.

Amendment #3 Deliverables: Consultant will prepare the Construction Phase Risk Management Plan as a draft for the Team's review. Based on the comments received, it will be updated and issued for use.

Primary Assumptions:

- a. At the District's discretion, the Engineer's representatives can participate.
- b. The Consultant will exercise their judgement to reduce the number of risks identified in the source documents to limit the risk register to ideally less than three dozen. While this filtering may introduce a bias, starting off with too many risks, requires more effort on the team.

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**3.0 CONSTRUCTION COORDINATION, DOCUMENTATION AND QC**

Status: No services are planned during Amendment #3's period of performance.

**4.0 FERC-COMPLIANT CONSTRUCTION QUALITY CONTROL INSPECTION PROGRAM (QCIP)**

Status: FERC, their Independent BOCs, and the Engineer have reviewed the initial draft.

Amendment #3 Schedule:                      Start: 07/01/2026                      Finish: 02/28/2027

Amendment #3 Scope:

- a. QCIP: Consultant will prepare an updated QCIP that addresses FERC's comments and incorporates additional relevant information from the Engineer's "Draft Bid Set" level of design. The primary update will be to include updated and more detailed forms and checklists that are planned to be used during construction.
- b. PowerPoint: Consultant will prepare presentation materials for FERC BOC #13, present these materials, and prepare draft responses to the BOC's comments.
- c. Submittal Checklist: Once the Engineer's master submittals list has been issued for use, it will be expanded upon to identify an initial list of submittals FERC and DSOD want to review, respectively.

Amendment #3 Deliverable:

- a. QCIP: For FERC BOC #13, An updated draft QCIP. In advance, an updated draft will be provided for the District's review so that District's comments can be incorporated beforehand. For the FERC BOC #13, two versions will be provided – one with track changes and one with all changes accepted (i.e., a clean version).
- b. QCIP: An Excel-based spreadsheet that lists the types of inspection and material testing with separate columns:
  1. Specifying the quality control requirements from the specifications and what should be in the contractor's Quality Control Plan.
  2. Listing the proposed quality assurance inspections and testing.
  3. Identifying those that also require either the Engineer, FERC, or DSOD to either be present for or where they plan to perform their own testing.
- c. PowerPoint: For FERC BOC #13, a brief PowerPoint that provides a refresher from the prior meeting and summarizing what's changed in the version provided to them.
- d. Submittal Checklist: An Excel-based list the District will provide FERC and DSOD to obtain their input. Their respective responses will be incorporated Once the Engineer's master submittals list has issued for use, it will be

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expanded upon to identify an initial list of submittals FERC and DSOD want to review, respectively.

Primary Assumptions:

- a. QCIP: Engineer's specifications include sufficient details to develop details related to the frequency of quality assurance inspections and testing that augment the contractor's quality control inspection and testing frequencies.
- b. QCIP: Resumes will not be required since the construction contract isn't planned to be awarded until the fall of 2028.
- c. Submittal Checklist: Additional updates will be needed as the submittals list becomes more refined – especially as it relates to the later stage submittals. These updates will be included in subsequent amendments.

## **5.0 CONSTRUCTION ADMINISTRATION AND CHANGE MANAGEMENT**

The following subtask identifiers are used for administrative purposes with services invoiced at the task level.

### 5.5 Submittal Process:

Status: No services are planned during Amendment #3's period of performance.

### 5.90 Contractor Invoices and Payment Recommendations

### 5.91 Certified Payroll Process:

Status: Given the WIFIA<sup>3</sup> and potentially other sources of funding may be included, for compliance with (a) California's and federal labor laws, (b) the potential use of the Teamsters as a transportation labor pool, and (c) compliance with a PLA, the District is considering if the Consultant's proposed use of a Certified Payroll Specialist will provide value. This concept was originally proposed as part of Task 13: Supplemental Services as a means to also document compliance with CA's Division of Industrial Relations (DIR) requirements to have a plan prepared for their approval.

Amendment #3 Schedule:            Start <sup>A</sup>: 07/01/2026                            Finish: 06/30/2027

Note A: District will issue a separate Notice to Proceed (NTP) prior to Consultant starting to provide these services.

Amendment #3 Scope: Consultant will support the District in the evaluation of the value to include a Certified Payroll Specialist. If the District elects to pursue this

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<sup>3</sup> EPA will require certified payroll.

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approach, the Consultant proposes to retain the services of a company that specializes in this type of services.

Amendment #3 Deliverable:

- a. Prepare a short White Paper summarizing the pros and cons associated with using a certified payroll specialist to verify the contractor, their subcontractors and vendors are compliant with Californias wage benefit laws.
- b. Work with the District to interview up to three firms that specialize in providing certified payroll compliance. Should the District then elect to proceed with this approach, the Consultant will retain the company the District feels will provide the better value.

Primary Assumptions:

- a. Development of a DIR-compliant program would be part of Amendment #4 (i.e. in parallel with the Bid/Award process).
- b. Since this will require the contractor's awareness, the requirements must be included in the front end specifications, which are planned to be completed for FERC BOC #13 (i.e., 2027 Feb). The costs to prepare these requirements for inclusion into the construction contract are currently not included. Should the District decide to include this process, the Consultant will work with the District to either transfer budget from other tasks.

## 6.0 SAFETY MANAGEMENT

The following subtask identifiers are used for administrative purposes with services invoiced at the task level.

Status: The prior amendment only included a limited scope, which was put on hold when the project schedule changed.

Amendment #3 Schedule:                      Start: 07/01/2026                      Finish: 06/30/2027

Amendment #3 Scope:

- a. Contract Specifications: A key input to the bid documents is to confirm the Special Provision (SP-08) and the technical specifications related to excavation and tunneling are compliant with current Cal/OSHA Title 8 regulations and the requirements associated with an expected gassy classification for the tunnel have been properly integrated. This will include a review of the draft, consolidated bid documents and, if requested, respond to safety-related questions.

The scope includes a focused review of Special Provision 08 and applicable Division 01 specifications to confirm they adequately address the safety hazards that are unique to the Outlet Works. While additional areas will be identified for inclusion, the initial list of focus areas include

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1. Tunneling in gassy conditions; fall protection; working adjacent to the reservoir, which includes the potential use of watercraft; working adjacent to heavy earthmoving equipment and cranes; working adjacent to energized equipment, and confined space.
  2. The required training and documentation of certifications (e.g., Society of Professional Rope Access Technicians (SPRAT) for the ICF, DIR's Gas Tester for the tunneling and Blaster for excavations)
- b. Industry Day: As part of the preparations for Industry Day, which includes onsite participation, Consultant's processes and procedures require the development of a Health and Safety Plan (HASP) and a task-specific Jobsite Hazard Assessment (JHA). These must be internally approved beforehand. And they can be used as the basis for making sure the participants are aware of the potential hazards and, prior to the event, the minimum safety requirements they must comply with to participate.

This review will confirm the construction management services can be performed in compliance with Consultant's internal safety procedures and processes, which, in certain areas, exceed the minimum requirements of CalOSHA. This may require inputs on the specifications that the contractor must accommodate Consultants' safety requirements that allow our personnel to safely performing their duties.

In addition, these results will aid in both the planning for subsequent site meetings as part of the prequalification process and early planning for the start of construction.

Amendment #3 Deliverables:

- a. Contract Specifications: Consultant will review Engineer's "Draft Bid Set" specifications:
  1. Contract Specifications: Consultant will provide comments using its Excel-based QRF that will then be followed by a backcheck where responses are evaluated to verify the comment has been satisfactorily addressed.
- b. Industry Day: A draft and then final JHA and HASP will be prepared. The draft will be provided to the District for comment before issuing the final for use.

Primary Assumptions:

- a. The current specifications are generally in conformance with CalOSHA such that no major deficiencies or omissions will be identified. Otherwise, additional effort will be needed to close these out.

**7.0 RESERVED (TRAINING, TESTING, START-UP, AND COMMISSIONING)**

Status: No services are planned during Amendment #3's period of performance.

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**8.0 RESERVED (CONSTRUCTION INSPECTION AND REPORTING)**

Status: No services are planned during Amendment #3's period of performance.

**9.0 PARTNERING, CLAIMS AND DISPUTES MANAGEMENT**

The following subtask identifiers are used for administrative purposes with services invoiced at the task level.

9.01 Preconstruction Phase Support:

Status: The prior amendment only included a limited scope that was subsequently put on hold and has been carried over to be performed under this period of performance.

Amendment #3 Schedule:                      Start: 07/01/2026                      Finish: 06/30/2027

Amendment #3 Scope: Consultant will review the Engineer's "Draft Bid Set" front-end specifications and select drawings that provide an overview of the construction as well as the draft Construction Risk Management Plan to identify potential areas where the contractor may elect to use to initiate a change order that could potentially escalate into a claim.

Amendment #3 Deliverable: A short letter report or memorandum summarizing the findings of this review. An initial draft will be prepared for District's review before a final evaluation is submitted.

Primary Assumptions:

- a. These findings may result in the District deciding that changes are needed to select sections of the contract document to further mitigate the potential for such change orders or claims. Should the District decide that such changes are warranted and request the Consultant's support, Consultant will work with the District to either transfer budget from other tasks.

9.02 Incorporate a Professionally Facilitated Project Partnering Process:

Status: The District is considering if the Consultant's proposed use of a professionally facilitated project partnering (PFPP) process will provide value. This concept was originally proposed as part of Task 13: Supplemental Services.

Amendment #3 Schedule:                      Start <sup>A</sup>: 07/01/2026                      Finish: 02/28/2027

Note A: District will issue a separate Notice to Proceed (NTP) prior to Consultant starting to provide these services.

Amendment #3 Scope: Consultant will work with the District to determine if the use of a formalized professionally facilitated project partnering (PFPP) process during construction should be implemented as a means to resolve potential changes in a timely and cost-effective manner. If the District elects to pursue this approach, the Consultant proposes to retain the services of a company that specializes in this type of services.

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Amendment #3 Deliverable:

- a. Prepare a short White Paper summarizing the pros and cons associated with using Facilitated partnering during construction.
- b. Work with the District to interview up to three firms that specialize in providing PFPP. Should the District then elect to proceed with this approach, the Consultant will retain the company the District feels will provide the better value.

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Primary Assumptions:

- b. Since this will require the contractor's participation, the requirements must be included in the front end specifications, which are planned to be completed for FERC BOC #13 (i.e., 2027 Feb). The costs to prepare these requirements for inclusion into the construction contract are currently not included. Should the District decide to include this process, the Consultant will work with the District to either transfer budget from other tasks.

**10.0 ENVIRONMENTAL COMPLIANCE SERVICES AND COORDINATION**

The following subtask identifiers are used for administrative purposes with services invoiced at the task level.

10.1 Continue to Provide General and Misc. Environmental Support:

Status: On an as requested basis, Consultant participated in coordination meetings between the Project Team and the Environmental Services Division.

Amendment #3 Schedule:                      Start: 07/01/2026                      Finish: 06/30/2027

Amendment #3 Scope: Consultant will continue to provide timely responses to District's requests on an as requested basis.

Amendment #3 Deliverables: Consultant will prepare short letter reports or emails summarizing Consultant's opinions and, where applicable, identification of potential opportunities and/or constraints for the District's consideration.

Primary Assumptions: As requested, these services will be provided within the estimated total amount listed in Table A-1, unless the District authorizes a budget transfer from within existing authorized tasks.

10.2 Support Contractual Requirements for Environmental Compliance:

Status: Specification Section 01 57 19 appears to be based on both permits and environmental compliance documents (i.e., for CEQA, the District's certified EIR and for NEPA, the FERC's certified EA) being part of the contract documents even when the intent is for the contractor to only use the requirements in the Mitigation Monitoring and Reporting Plan (MMRP). For the permits, this is appropriate. However, when it comes to including the EIR and EA as contract documents, these contain more information than is relevant to the construction and oftentimes contain information that can be mis interpreted as conflicting with the contract documents to then become the basis for a change order or claim. To address this risk, some owners only include these as reference documents and specifically incorporate only those commitments that must apply to the contractor into the contract documents.

Amendment #3 Schedule:                      Start: 07/01/2026                      Finish: 06/30/2027

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Amendment #3 Scope: Should the District agree to make the EIR and EA reference documents, the Consultant will work with the District's Project Team and Environmental Services Division to transfer only those commitments that affect the contractor into the contract documents as an attachment to section 01 57 19. In addition, for permits the District will have accepted prior to releasing the Notice Inviting Bids (NIB), these should also be assigned an attachment number and for those permits that will not be finalized by this time the status and what the bidders should use as the basis for preparing their bid need to be clarified and these can either become attachments or get inserted into the applicable specification section.

Amendment #3 Deliverables:

1. CEQA and NEPA: An attachment to the contract that specifically lists the CEQA and NEPA requirements that affect the contractor and will serve as an input to their basis for pricing and then performing the work necessary for environmental compliance.

An Excel-based spreadsheet will be prepared that contains an inventory of all the commitments and compliance requirements along with where each has been addressed in the contract documents. Should requirements change (e.g., District's planned CEQA addendum), this spreadsheet will be updated.

2. Permits: Assigning attachment numbers to those permits that will become part of the contract documents and, for those permits that won't be complete, a summary of the process to obtain each permit, some of which require the contractor's input, and what will be used as the basis for both any technical proposal and price proposal. And, to the extent possible, a template will be developed to streamline this process.

Primary Assumptions:

1. CEQA and NEPA: No more than three updates are needed – an initial draft, an updated draft, and then a complete version for incorporation into the contract.
2. Permits: No more than six permits will not be obtained by the NIB and will require instructions be added to the specifications.

- 10.3 Prepare a Joint Hazardous Materials Management and Contaminated Soil/ Groundwater Contingency Plan (SGMP).

Status: FERC, their Independent BOCs, and the Engineer have reviewed the initial draft SGMP that will be part of the waste discharge permit application. In support, the Engineer is developing plans to collect additional geotechnical data and prepare additional drawings and specifications.

Amendment #3 Schedule:                      Start: 07/01/2026                      Finish: 06/30/2027

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Amendment #3 Scope:

- a. Data Collection: Consultant will support the District and the Engineer to obtain additional geotechnical data that will provide data that will be provided to the bidders to:
  - 1. Develop their approach to obtain permits to construct and maintain a temporary infiltration basin to properly dispose of treated groundwater collected from the tunnel and open excavations.
  - 2. Develop their approach to groundwater treatment based on the installation of two monitoring wells along the tunnel's alignment. The results will be the baseline data the LA Water Boards will use in developing permit conditions.
- b. SGMP: Consultant will continue to advance the preparation of the SGMP based on both comments received from the initial draft and new information that will be obtained. These services are currently being provided by Rincon Environmental.
- d. Consultant will prepare presentation materials for FERC BOC #13, present these materials, and prepare draft responses to the BOC's comments.

Amendment #3 Deliverables:

- a. Data Collection: Consultant will use its Excel-based QRF to provide comments on the Engineer's proposed additions to the contract documents. This is expected to include an additional drawing, updates to the existing specification 01 51 38, and updates to both the GBR and GDR.
- b. For FERC BOC #13, An updated draft QCIP. In advance, an updated draft will be provided for the District's review so that District's comments can be incorporated beforehand. For the FERC BOC #13, two versions will be provided – one with track changes and one with all changes accepted (i.e., a clean version).
- c. For FERC BOC #13, a brief PowerPoint that provides a refresher from the prior meeting and summarize what's changed in the version provided to them.
- d. For the Contract Documents: Consultant will provide an updated SGMP that will likely become an attachment to the contract. This version will address comments received at FERC BOC #13.

Primary Assumptions:

- a. FERC will approve the Engineer's DPP in a timely manner to provide draft documents for review prior to the Engineer compiling a "Final Bid Set" for FERC BOC #12 (2027 Feb).

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10.4 Monitor Wells To Develop Baseline Water Quality Conditions:

Status: To better manage the risk associated with naturally occurring hydrocarbons that will affect the handling, treatment, and disposal, of construction generated groundwater, the District has decided to install two monitoring wells along the tunnel alignment and two shallow, monitoring wells at the proposed infiltration basin. The data from these wells will be used by the Water Boards to establish baseline conditions to then develop permit compliance requirements.

Amendment #3 Schedule: Start <sup>A</sup>: 09/01/2026 Finish: 06/30/2027

Note A: District will issue a separate Notice to Proceed (NTP) prior to Consultant starting to provide these services.

Amendment #3 Scope:

Consultant will utilize the services of its subconsultant, Rincon Environmental, to perform all monitoring and reporting. After each well has been developed, water samples will be obtained and laboratory tests performed for the same types of constituents previously test for when Rincon provided similar services directly to the District. After the test results for each set of samples are available, a report will be prepared summarizing the results.

Amendment #3 Deliverables:

- a. Quarterly water sampling of the four monitoring wells with laboratory testing.
- b. For each quarterly set of samples, analysis of the results will be incorporated into a short report. The first report will serve as a template to be used to report subsequent results.

Primary Assumptions:

- a. Quarterly sampling includes up to three discrete trips to obtain samples from each of the four monitoring wells.
- b. Prior to sampling, Consultant will coordinate each trip with the District's representative.
- c. As part of GEI's scope of services to install the monitoring wells, they will also develop each well in accordance with the Water Boards' standards.
- d. During monitoring, some groundwater is generated. These volumes will be collected and put into drums to be stored at a District designated location. District shall be responsible for disposal, which if determined to meet regulatory standards could be allowed to infiltrate. But, if not compliant, they would need to be properly disposed of, likely at an offsite location.
- e. Should the District request either (1) the Consultant develop each well, (2) dispose of groundwater generated and/or (3) the monitoring requirements change (e.g., frequency), Consultant will work with the District to determine if a budget transfer from within existing authorized tasks should be approved.

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- f. Monitoring will continue beyond amendment #3's period of performance. These costs will be included in the subsequent year's contract amendment process.

**11 RESERVED (ACCEPTANCE AND CLOSE-OUT)**

Status: No services are planned during Amendment #3's period of performance.

**12 GRANT & LOAN SUPPORT**

Status: Consultant provided technical support to (a) the CalOES Hazard Mitigation Grant Program (HMGP) application and (b) FEMA's Building Resilient Infrastructure and Communities (BRIC) grant program. And the District is actively pursuing other grants.

Amendment #3 Schedule:                      Start: 07/01/2026                      Finish: 06/30/2027

Amendment #3 Scope: Consultant will continue to provide technical support to the District's efforts to leverage existing funding by a combination of applying for either State and/or federal grants, seeking lower interest financing, and/or seeking participation from other stakeholders.

Amendment #3 Deliverable:

- a. For each grant application and as requested, Consultant will perform the technical analysis, prepare technical inputs, and provide other support to the District, which may include participating in communications with the grant sponsor's administrative staff to clarify requirements and to respond to their questions.

Primary Assumptions:

- a. If requested to provide additional support than planned and if additional budget is needed, the Consultant will work with the District to determine if a budget transfer from within existing authorized tasks should be approved.

**13 RESERVED (SUPPLEMENTAL SERVICES)**

Status: No changes in scope were identified during the prior amendment.

**14 REMOVED**

Status: No longer applicable to this Agreement.

**15 REMOVED**

Status: No longer applicable to this Agreement.

**AMENDMENT No. 1**  
**TO THE PROFESSIONAL SERVICE AGREEMENT**  
Attachment A1 –

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**Contract Amendment #3<sup>1</sup> to EXHIBIT "A1" For Fiscal Year 2026/2027**

(July 1, 2026 through June 30, 2027)

**CONSTRUCTION MANAGEMENT SERVICES – BUDGET TRANSFERS**

In conjunction with Contract Amendment #3, which aligns the Construction Manager's services with the new schedule<sup>2</sup>, to best utilize the current authorized contract amount, Table A1-1 summarizes the budget transfers with the primary changes summarized as follows with the planned scope of services, over this period of performance, described in Exhibit A:

1. Transfers To Equivalent Types of Services

Task 03: Construction Coordination will remain idle during contract amendment #3's period of performance. Instead of carrying over the approved budget to a subsequent contract amendment, most of this budget is being transferred into Task 01: Project Management, which includes coordination activities.

Task 05: Construction Administration will remain idle during contract amendment #3's period of performance. Instead of carrying over the approved budget to a subsequent contract amendment, this budget is being transferred into Task 02.70: Construction Management Plan that includes how the Consultant will manage and administer the construction contract.

2. Transfers Due To Expanded Services (i.e., From Task 13: Supplemental Services)

When the District's Board approved updates to the Procurement Policy on 2025 April 09, it allowed the outlet works construction contract to be able to utilize both a prequalification process and selection based on best value selection. This resulted in an expansion of the scope of services for Task 2.5: Bid/Award Support and to augment the budget into Task 02.70: Construction Management Plan.

In addition, with the current schedule the use of subconsultants remains low, so some of this budget will be transferred to augment Task 10: Environmental Support and Task 02: Preconstruction Services.

3. Transfers within Task 02: Preconstruction Services

A combination of setting up new task numbers and aligning the budgets within Task 02. This includes allocating budget to Task 02.40: Contract Documents that focuses on the front-end, non-technical, specifications, Task 02.70: Construction Management Plan.

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<sup>1</sup> This document augments prior amendments to this exhibit (i.e., the sum of all amendments to date represents how the schedule has evolved since award through the most current Period of performance). See Exhibit A for detailed scope and estimated costs.

<sup>2</sup> The dates provided are based on the schedule presented at BOC #11, dated 2026 March 25.

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In addition, with reducing the scope of services for Task 02.6" Cost Estimating,  
budget is transferred to Task 02.10: Owner's Engineer Reviews.

**Table A1-1: Task Level Budget Transfers <sup>1</sup>**

Sub Task	Package Description	Proposed Budget Transfers	Source of Transfer
01	Project Mgmt	\$ 278,780	From Task 03
02.0	Pre-Constr.	\$	
02.1	Owner's Eng Reviews	\$ 35,000	From Task 02.6
02.4	Contract Documents	\$	
02.5	Prequal & Bid/Award	\$ 120,000	From Task 13
02.6	Cost Est. & Contingency	\$ (35,000)	To Task 02.1
02.70	Constr. Mgmt Plan	\$ 71,000	From Task 05 (\$50,000) & Task 90
02.71	Risk Mgmt Plan	\$	
03	Constr. Coord	\$ (206,780)	\$8,000 to Task 12 & remainder to Task 01
04	FERC Constr QCIP	\$	
05	Constr. Admin.	\$ (80,000)	To Task 02.70
06	Safety Mgmt	\$	
09	Claims Management	\$	
10	Environ. Support	\$	
12	Grant/Loan Support	\$ 8,000	From Task 03
13	Supplemental Svcs	\$ (170,000)	To Tasks 02.5 and 02.7
90	Sub Markup	\$ (21,000)	To Tasks 02
<b>Totals</b>		<b>\$ 0</b>	

**AMENDMENT No. 1**  
**TO THE PROFESSIONAL SERVICE AGREEMENT**  
Attachment B –

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**Contract Amendment #3<sup>1</sup> to EXHIBIT "B" For Fiscal Year 2026/2027**

(July 01, 2026 through June 30, 2027)

**GENERAL CONDITIONS, CONTRACT COSTS, & FIELD PERSONNEL**

1. GENERAL DESCRIPTION & CRITERIA

These construction management services will be performed using annual task orders that align with the District's Fiscal Year, which starts July 1 and effectively ends June 30 of the following calendar year. This duration combined with the most current project milestones in Exhibit C will be the basis for developing the scope of services in Exhibit A and associated fee in this Exhibit B that must be approved by the District through an amendment to this Agreement before these amended exhibits become effective.

1.1 The Construction Manager shall provide all General Conditions for the Project that are not already in place. General Conditions for the Project are defined as those generic support activities which must be in place to support all construction management aspects of the Project. The costs and schedules for all General Conditions are detailed and set forth in Exhibit A (Services) and C (Schedule).

1.2 General Conditions are not a profit center and are intended to be cost recovery only.

1.3 OTHER COSTS

1.31 Escalation:

Hourly invoice rates shall be adjusted annually to coincide with the start of the District's fiscal year (i.e., July 1) and remain effective for the Period of Performance (refer to Exhibit A). This shall apply to Consultant's personnel working from either their designated home office or field office.

A. Preconstruction Phase Services:

From contract award through the District's fiscal year that Consultant's personnel begin to mobilize into field offices, the annual escalation shall not exceed 3.7%.

B. Construction Phase Services:

Starting with the first complete fiscal year that construction starts, Consultant and District will negotiate a revised escalation rate that shall remain in effect

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<sup>1</sup> This version of Exhibit B is applicable to the Period of Performance as defined in Amendment #3's Exhibit A (Scope and Costs). Prior versions of Exhibit B only apply to the applicable Period of Performance.

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through closeout of Consultant's contract. However, should events occur that are beyond the Consultant's direct control where construction is no longer continuous, Consultant and District will negotiate a revised escalation rate starting with the resumption of construction through closeout of Consultant's contract.

1.32 Reimbursable Expenses:

Reimbursable expenses, by Consultant or subconsultants, shall be invoiced at the actual cost without any markup.

The exception is the costs to maintain Procore (or similar software package) and annual seat licenses so the District also has access, shall be invoiced at the actual cost plus no more than a 7% markup.

1.33 Subconsultant Markup:

Subconsultant invoices shall not be marked up more than the percentages listed in the following table:

Company	Markup	Primary Services
Earth Systems	8%	Material Testing (QA for QCIP)
GEI	10%	Augment BV Resources for Inspection &/or contractor's compliance with their contract
Rincon	15%	Environmental/hazardous materials compliance
TBD	5%	Certified Payroll Specialist, Professionally Facilitated Project Facilitator.
TBD	TBD	Other, currently unplanned subconsultant required to complete all the required services.

2. ESTIMATED COSTS: Preconstruction Phase

2.1 The agreed upon Not-to-Exceed amount for all current General Conditions costs, exclusive of all Construction Management fees as set forth in Article 4 of the Agreement, shall be four million one hundred and nineteen thousand five hundred and eleven dollars (\$ 4,119,511). This includes (a) seven thousand four hundred and forty four dollars (\$ 7,444) in Task 13 that requires prior District approval before this contingency amount can be used to provide services under this Agreement and (b) separate Notices to Proceed for subtasks 5.91: Certified Payroll Specialist, 9.02: Professionally Facilitated Partnering Facilitator, and Task 10.4: Monitoring of new wells.

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The summary of current and prior authorizations are summarized as follows:

Amend. Number	Period of Schedule Performance	Est. Date Authorized	Amendment Amount
Original Agree- ment	From Notice to Proceed thru June 30, 2024 (Preconstruction Services).	June 14, 2023	\$ 1,498,623.
1	From July 01, 2024 through June 30, 2025 to extended preconstruction services.	July 01, 2024	\$ 1,780,888.
2	From July 01, 2025 through June 30, 2026 to extend preconstruction services.	July 1, 2025	\$ 0.
3	From July 01, 2026 through June 30, 2027 to extend preconstruction services.	July 1, 2026	\$ 840,000
<b>Total Agreement's Not To Exceed Amount:</b>			<b>\$ 4,119,511.</b>

Table Notes:

1. For details regarding each amendment, refer to the applicable Exhibit A for scope and costs and Exhibit C for schedule at the time each amendment was approved.

3. GENERAL CONDITIONS PAYMENT

3.1 All General Conditions items and services shall be billed at their actual cost, and the Construction Manager shall take all reasonable steps necessary to obtain the most competitive prices available for these items. If Construction Manager desires to be reimbursed for any other General Conditions costs not specifically set forth in Attachment "1", prior to the commencement of the Construction Phase, Construction Manager shall submit a list of these General Condition items to the District for the District's approval. The cost of any additional items shall not be reimbursable unless advance written authorization is provided by the District to Construction Manager to obtain such items.

3.2 Construction Manager shall invoice any all General Conditions costs monthly during the duration of the construction work. All General Condition costs must be supported by an invoice, receipt, an employee time sheet, or other documentation acceptable to the District.

United Water Conservation District's  
Construction Management and Inspection Services Related to the  
Santa Felicia Dam Safety Improvement Project – Outlet Works Improvement Project

3.3 The District shall make payments for General Conditions, if any, to the Construction Manager within thirty (30) days of the date specified in Contract Article 4.1.1.1.

4. EARLY COMPLETION

4.1 If the Project is completed earlier than as set forth in the terms of this Agreement, then all General Conditions costs set forth in Attachment "1" shall cease within thirty (30) days after the date the Project is completed.

**AMENDMENT No. 1  
TO THE PROFESSIONAL SERVICE AGREEMENT**

Attachment C –

United Water Conservation District's  
Construction Management and Inspection Services Related to the  
Santa Felicia Dam Safety Improvement Project – Outlet Works Improvement Project

**Contract Amendment #3<sup>1</sup> to EXHIBIT "C" For Fiscal Year 2026/2027**

(July 1, 2026 through June 30, 2027)

**CONSTRUCTION MANAGEMENT SERVICES – SCHEDULE**

1. PROJECT & SERVICES MILESTONES:

Status: The current Project's schedule, which is being maintained by the Engineer, is currently being updated to support the start of dam safety construction on or before July 2028. Based on this milestone, key milestones related to providing construction management services are listed below.

Construction Management services will be performed based on the following set of schedule milestones, unless further amended by the District<sup>2</sup>:

1.1 Support two FERC Board of Consultant's Meetings:

- |  |                |
|--|----------------|
| a. BOC #12 (Spillway's Hydrologic Hazard Assessment) | September 2026 |
| b. BOC #13 (Outlet Works' Final DSOD & FERC Reviews) | February 2027  |

1.2 Procurement Support (Continuation of services)

- |  |                         |
|--|-------------------------|
| a. District Board approve prequalification process<br>& BVS scoring rubric and process | February 2027 (Assumed) |
| b. Release Request for Qualifications  | ~ Mid-March 2027        |

NOTE: The following milestones are informational since they are beyond the approved Period of Performance for Contract Amendment #3.

- |  |                    |
|--|--------------------|
| c. Notice of Prequalified Contractors                            | ~ Mid-August 2027  |
| 1.3 Release Bid Package to Prequalified Contractors              | ~ Mid-August 2027  |
| 1.4 Issue Construction Contract's Notice of Award                | ~Late August, 2028 |
| 1.5 Mobilization & Site Preparations (non-dam safety activities) | Mar/April 2029     |
| 1.6 Start dam safety construction                                | December 2029 *    |

\* This date depends on reservoir drawdown, which is weather dependent.

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<sup>1</sup> This document augments prior amendments to this exhibit (i.e., the sum of all amendments to date represents how the schedule has evolved since award through the most current Period of performance). See Exhibit A for detailed scope and estimated costs.

<sup>2</sup> The dates provided are based on the schedule presented at BOC #11, dated 2026 March 25.

United Water Conservation District's  
Construction Management and Inspection Services Related to the  
Santa Felicia Dam Safety Improvement Project – Outlet Works Improvement Project

1.7 Construction is substantially complete (i.e. Project Completion) July 2032

1.8 Construction Contract and CM Agreement 02077 Closeout (i.e. Agreement's Completion) December 31, 2032

2. MILESTONES & CONSTRUCTION MANAGEMENT SERVICES:

2.1 The annual scope of services in Exhibit A must use the applicable Period of Performance as the basis for defining the services to support the District in achieving the applicable milestones listed above.

2.2 Changes to the above Project Milestones require a reassessment of the scope of services in Exhibit A and the commensurate estimate of Fees in Exhibit B.

3. TIME IS OF THE ESSENCE:

3.1 Services under this Agreement must commence upon the date the District provides the Consultant with a Notice to Proceed.

3.2 Approvals by regulatory agencies and agreements with other stakeholders may not occur in a timely manner and the Consultant has no authority to influence their respective decision-making processes or the consequences of their delayed decision(s).



## STAFF REPORT

**To:** UWCD Board of Directors

**Through:** Mauricio Guardado, General Manager  
Dr. Maryam Bral, Assistant General Manager

**From:** Robert Richardson, Engineering Manager  
Nathan Summerville, Senior Engineer  
Kylie Kephart, Associate Engineer

**Date:** June 24, 2026 (July 8, 2026, meeting)

**Agenda Item:** **5.7 Change Order No. 1 to the Purchase Order – Contractor Work Agreement with Ghazal Construction, Inc. for the Repair of the Lake Piru Recreation Area Lower Olive Grove Restroom Motion**

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### **Staff Recommendation:**

Authorize the General Manager to execute Change Order No. 1 to the Purchase Order – Contractor Work Agreement with Ghazal Construction, Inc. (“Ghazal”) in the amount of \$79,600 for the additional work due to unforeseen site conditions during the repair of the Lake Piru Recreation Area (LPRA) Lower Olive Grove Restroom (LOG Restroom).

### **Background:**

The LPRA Improvement Project (CIP 8055) aims to increase visitation to LPRA by enhancing the visitor experience through a variety of campground and recreational amenity improvements. As part of the broader vision for improvement, there are specific improvements planned for many of the existing restroom facilities throughout LPRA. These facilities include the Lower Olive Grove Restroom, the Oak Lane Restroom, the Marina Restroom, and the Upper Olive Grove Restroom.

The LOG Restroom is one of two major restroom facilities serving the Olive Grove Campgrounds, the largest campground in the LPRA. The LOG Restroom has twelve lavatories, nine water closets, eight showers, three urinals, and one outdoor services sink. In recent years, there have been significant maintenance efforts to keep the facility functional, with major backups occurring in the showers during peak use and wall-mounted toilet fixtures separating from the walls. An investigation of the drains was performed and indicated that the existing cast-iron drain waste and vent system was around 80% blocked in many critical areas, and that the toilets required complete replacement.

In 2024, the LOG Restroom was initially evaluated with the intent to perform targeted repairs to restore functionality. However, due to the condition and age of the facility, full

**Agenda Item: 5.7 Change Order No. 1 to the Purchase Order – Contractor Work Agreement with Ghazal Construction, Inc. for the Repair of the Lake Piru Recreation Area Lower Olive Grove Restroom**  
**Motion**

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replacement of the restroom was also considered. Stantec, who has been assisting with the larger improvement plan, was then engaged to develop plans and a cost estimate for a new facility. Working with three different companies that specialize in public restrooms, Stantec developed a cost of \$1.7 million for demolishing and rebuilding the LOG Restroom at approximately the same overall size.

Given the high cost of full replacement, a focused repair project was developed to restore the facility’s function. Using the available records from the original construction in 1971 and by investigating the facility as it stands today, the staff developed a project definition and requirements package to obtain competitive proposals from qualified contractors. Four (4) bids were received during the bid process, and Ghazal was selected to perform the repair work. A Purchase Order - Contractor Work Agreement - was executed by the General Manager on March 17, 2026, in the amount of \$234,080. A permit for the plumbing repair was obtained from Ventura County Building and Safety on April 6, 2026, and work began on April 11, 2026. The project was completed on June 5, 2026.

**Discussion:**

The LOG Restroom was constructed in 1971 with minimal records available. As a result, several unforeseen site conditions were encountered throughout construction. In accordance with the procurement policy, any Change Order amount that results in the contract amount exceeding the General Manager’s authority (\$15,920 in this case) requires authorization by the Board of Directors. At United’s request and to avoid schedule delays, the contractor completed the project, including completing the additional work (which was still subject to negotiation) at risk.

Five separate items were encountered during the course of the project, beginning with additional precision concrete removal during the initial demolition phase, electrical work to repair corroded conduits encountered in the slab, required work on the potable water system, additional concrete preparation, and some overtime (OT) premiums to accelerate the schedule and finish on time. United staff also worked with the contractor to identify cost-saving opportunities to offset a portion of the extra costs. These items are summarized in the table below.

<b>Item No.</b>	<b>Description</b>	<b>Item Cost</b>
1	Concrete Removal	\$28,000
2	Electrical Work (new value-added scope)	\$8,500
3	Work on potable water system (new value-added scope)	\$20,700
4	Additional concrete preparation (unforeseen site conditions)	\$16,000
5	OT authorization to maintain schedule	\$7,400
6	Credit related to reduced scope and value engineering	(\$1,000)
<b>Total</b>		<b>\$79,600</b>

**Agenda Item: 5.7 Change Order No. 1 to the Purchase Order – Contractor Work Agreement with Ghazal Construction, Inc. for the Repair of the Lake Piru Recreation Area Lower Olive Grove Restroom**  
**Motion**

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During excavation, Ghazal encountered the concrete slab at depths of one or more feet compared to the four inches of concrete slab shown in the record documents. This extra work required precision concrete removal with hand tools such as air hammers, given the tight confines and need to preserve the existing facility. This work is included in Item 1 of the table above.

Electrical repairs were also required after fully corroded conduits were discovered during slab excavation. Ghazal completed the necessary repairs to restore the affected electrical components, including repairing short circuits. This work is included as Item 2. Additional electrical work was completed by qualified United staff to limit the scope of electrical work and reduce the overall cost. This work primarily included a new circuit run for the existing vending machine.

Additional plumbing modifications were also required within the utility chase. This work included full replacement and relocation of the two-inch copper water lines necessary to complete the restroom repairs. In the existing configuration, the waterline was adjacent to and below the waste lines serving the toilet and therefore at risk for cross-contamination and in the way of the in-scope repair work. This work is included in Item 3 of the table above.

Following the removal of the existing floor tiles, a strongly bonded undocumented surface coating was discovered on the concrete surface. The residue had to be removed so the new floor could properly adhere to the existing slab. The existing flooring service required industrial grinding equipment and multiple days of extra work. This work is included in Item 4 of the table above.

In addition to addressing unforeseen site conditions, Ghazal worked overtime at United's request to expedite completion of the repairs and reopen the restroom for the busy recreation season. The agreement allowed 55 days to complete construction, which equated to a completion date of June 7, 2026. However, 15 days of additional work were needed to complete the project. Rather than extend the contract, United asked Ghazal to accelerate the schedule as much as possible to support the busy recreation season that kicked off on Memorial Day Weekend. The overtime premiums are covered in Item 5.

To offset the cost of unforeseen work, the contractor and United worked together to find cost efficiencies and offsets. This included some simplifications to the plumbing system and slab repair, resulting in a small credit. This is shown as Item 6 on the table above.

**Mission Goal:**

The project meets mission-related Goal B (System Reliability).

**Fiscal Impact:**

The change amount of \$79,600 is available in the Fiscal Year 2025-26 budget for CIP 8055 (\$2,109,000 available) under 051-400-81060-8055-835.

**Agenda Item: 5.7 Change Order No. 1 to the Purchase Order – Contractor Work Agreement with Ghazal Construction, Inc. for the Repair of the Lake Piru Recreation Area Lower Olive Grove Restroom  
Motion**

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**Attachment:**

Attachment A – Change Order No. 1



## **CHANGE ORDER NO.1**

Date: June 24, 2026

Project: Lake Piru Recreation Area Lower Olive Grove Restroom Repair Project

C.I.P. No.: 8055

Spec. No.: 26-02

### **DESCRIPTION:**

Change Order No. 1 to the Purchase Order – Contractor Work Agreement with Ghazal Construction, Inc. (Ghazal) for the Repair of the Lake Piru Recreation Area (LPRA) Lower Olive Grove (LOG) Restroom dated March 17, 2026. Change Order No. 1 includes compensation for additional work due to unforeseen site conditions during the repairs. This Change Order does not require changes to the Contract Documents or the Contract Period.

### **REASON FOR CHANGES:**

#### **1. Additional Concrete Removal**

During excavation, Ghazal encountered concrete slab depths of one or more feet compared to the four inches of concrete slab shown in the record documents. This extra work required precision removal with hand tools such as air hammers given the tight confines and need to preserve the existing facility.

#### **2. Electrical Repairs**

Electrical repairs were required after fully corroded conduits were discovered during slab excavation. Ghazal completed the necessary repairs to restore the affected electrical components, including repairing shorted circuits.

#### **3. Repairing Waterlines**

Replacing existing copper waterlines in the utility chase and repairing was also required. This work included full replacement and relocation of the two-inch copper water lines necessary to complete the restroom repairs. In the existing configuration, the waterline was below the waste lines serving the toilet and therefore at risk for cross-contamination. The existing lines were also in the way of the in-scope repair work.

#### **4. Additional Concrete Preparation**

Following removal of the existing floor tile, a durable (difficult to remove) undocumented surface coating was discovered on the concrete surface. The residue had to be



removed so the new floor could properly adhere to the existing slab. The existing flooring service required industrial grinding equipment and multiple days of extra work.

**5. Overtime Authorization to Maintain Schedule**

Ghazal performed overtime work at United’s request to expedite completion of the repairs and reopen the restroom for the busy recreation season. The agreement allowed 55 days to complete construction, which equated to a completion date of June 5, 2026. However, around 15 days of additional work was encountered. Rather than extend the contract, United asked Ghazal to accelerate the schedule as much as possible to support the busy recreation season that kicked off on Memorial Day Weekend.

**6. Credit**

Some changes to the work resulted in a small credit. This included some simplifications to the plumbing system and slab repair detail.

**CHANGE SUMMARY**

Item No.	Description	Item Cost
1	Concrete removal	\$28,000
2	Electrical repairs	\$8,500
3	Repairing waterlines	\$20,700
4	Additional concrete preparation	\$16,000
5	Overtime authorization to maintain schedule	\$7,400
6	Credit	(\$1,000)
<b>Total</b>		<b>\$79,600</b>

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Original Contract Amount:	\$	234,080
Total Change by Previous Change Orders:	\$	0
Contract Amount Prior to this Change Order:	\$	234,080
Contract Amount to be Increased by this Change Order:	\$	79,600
Adjusted Contract Amount including this Change Order:	\$	313,680

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Original Contract Period:	55 Calendar Days
Original Contract Completion Date:	June 7, 2026
Original Construction Start Date:	April 13, 2026
Delays acknowledged to date due to Inclement Weather:	0 Calendar Days
Contract Period Increased by Extra Work:	15 Calendar Days
Contract Period Reduced by Overtime Work:	-15 Calendar Days
Adjusted Contract Period including this Change Order:	55 Calendar Days
Final Contract Completion Date including this Change Order:	June 7, 2026



**ACCEPTED:** \_\_\_\_\_  
Ghazal Construction, Inc. Title Date

**ACCEPTED:** \_\_\_\_\_  
Mauricio Guardado, General Manager Date

*MB*

**DISTRIBUTION**

cc:

- Midhat Ghazal, Owner (Ghazal)
- Maryam Bral, Assistant General Manager (UWCD)
- Robert Richardson, Engineering Manager (UWCD)
- Nathan Summerville, Senior Engineer (UWCD)
- Kylie Kephart, Associate Engineer (UWCD)



**STAFF REPORT**

**To:** UWCD Board of Directors

**Through:** Mauricio Guardado, General Manager  
Anthony A. Emmert, Assistant General Manager

**From:** Brian H. Zahn, Chief Financial Officer  
Sara Guzman, Finance Supervisor

**Date:** June 22, 2026 (July 8, 2026, meeting)

**Agenda Item:** 5.8 Consider Approval to Write-Off an Uncollectible Balance of Nava Enterprises  
Motion

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**Recommendation:**

Approve a write-off of uncollectable balances associated with Nava Enterprises totaling \$30,476.92 following District financial policy.

**Discussion:**

Nava Enterprises, represented by Miguel Nava, entered a Board-approved payment plan in 2022 to resolve an outstanding balance of \$109,469.58 associated with Wells No. 04N19W31N03S, 03N20W10D02S, and 02N21W17M03S. Payments were made in accordance with the agreement until 2024, when the business ceased operations.

As of today, the remaining unpaid balance across the three accounts is \$30,476.92. Despite staff's continued efforts to contact Mr. Nava, he cannot be located and no further payments have been received.

Staff recommend that the Board approve the write-off of the remaining balances, as Nava Enterprises is no longer in business and collection efforts have been unsuccessful due to the inability to locate Mr. Nava.

Well No.	Balance
02N21W17M03S	\$ 26,931.51
03N20W10D02S	\$ 3,545.41
04N19W31N03S	\$ -
<b>Total</b>	<b>\$ 30,476.92</b>

**Agenda Item: 5.8 Consider Approval to Write-Off an Uncollectible Balance  
of Nava Enterprises  
Motion**

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**Fiscal Impact:**

Forfeiture of \$30,476.92 of General Water Conservation Funds which were not previously anticipated or included in the adopted budget.

**Attachments:**

- A – Request for Write-off
- B – Payment Plan Agreement
- C – Statement of Accounts

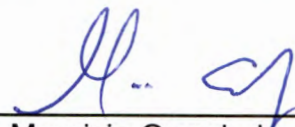



## REQUEST FOR WRITE-OFF

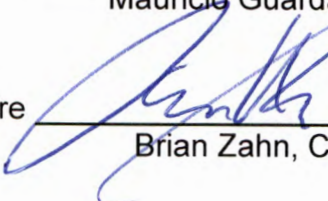
Approval is sought to write off Nava Enterprise's groundwater accounts with balances totaling \$30,476.92, in accordance with District financial policy. All required General Collection Procedures have been completed, but the outstanding balances remain uncollected. As these accounts meet established criteria for write-off, closing them will ensure compliance with policy.

Total Write-Off Amount: \$ 30,476.92

Approved  Denied

Signature   
Mauricio Guardado, General Manager

Date 6/17/26 

Signature   
Brian Zahn, Chief Financial Officer

Date 6/16/24

**AGREEMENT FOR PAYMENT OF DELINQUENT GROUNDWATER CHARGES**

This **AGREEMENT FOR PAYMENT OF DELINQUENT GROUNDWATER CHARGES** (“Agreement”) is made effective as of the last signature date hereof (the “Effective Date”) by and between United Water Conservation District (“District”), and Nava Enterprise, Inc. (“Nava”). The District and Nava are, collectively, sometimes referred to herein as the “Parties” and, individually, as a “Party.”

**RECITALS**

- A. The District is a Water Conservation District organized under the California Water Conservation District Law of 1931 (Cal. Water Code § 74000 *et seq.*) The District’s principal place of business is located at 1701 N. Lombard Street, Suite 200, Oxnard, CA 93030.
- B. The District provides groundwater management and conservation services to all well operators of groundwater wells within the District’s jurisdictional boundaries.
- C. Pursuant to California Water Code section 75590 *et seq.*, the District levies groundwater extraction charges upon well operators within the District’s jurisdiction.
- D. Well operators are required to send the District a semi-annual groundwater production statement on or before January 31st and on or before July 31st of each year. (Cal. Water Code § 75611.) The groundwater production statements self-report the total production in acre-feet of water for the preceding six-month period, provide a general description or number locating each well, and provide the method or basis of the computation of such water production.
- E. Well operators are also required to pay the District groundwater extraction charges at the time the operator submits its groundwater production statements. (Cal. Water Code § 75613.) In the event of a delinquency regarding groundwater extraction charges, the District is authorized to assess a 10% penalty and charge interest at the rate of 1% each month on the delinquent amount of the groundwater charge. (Cal. Water Code §§ 75615, 75616.)
- F. Nava is the operator of three groundwater wells located within the District’s jurisdictional boundaries. These three wells are registered with the District as Well #04N19W31N03S (“Well N03S”), Well #03N20W10D02S (“Well D02S”), and Well #02N21W17M03S (“Well M03S,” and collectively with Well N03S and Well D02S, the “Wells”).
- G. Nava represents, and the District is informed and understands, that Nava ended the use of Well M03S on or around June 30, 2017. Well N03S and Well D02S remain operable.
- H. Nava is delinquent in the payment of groundwater extraction charges, penalties, and interest on all of the Wells in the amount of \$109,469.58 (“Delinquencies”).
- I. Pursuant to Water Code section 75637, subdivision (b), the District’s Board of Directors (“Board”) is authorized to hold a public hearing regarding potentially ordering a delinquent

well operator to cease extraction of groundwater from well until all delinquent groundwater extraction fees and charges are paid.

- J. On April 13, 2022, the District's Board held combined public hearings regarding Nava and the Delinquencies on the Wells. The Board also approved Resolution No. 2022-09, which orders Nava to cease pumping groundwater from the Wells until all Delinquencies are paid.
- K. The Parties have now negotiated a repayment plan that will satisfy all of Nava's Delinquencies. The Parties have agreed to enter into this Agreement to set forth the terms and conditions of the repayment of the Delinquencies.

NOW, THEREFORE, in consideration of the promises, covenants, provisions, payments and mutual agreements set forth in this Agreement and other good and valuable consideration, the receipt, adequacy, and sufficiency of which the Parties expressly acknowledge, the Parties hereby agree and covenant as follows:

- 1. The recitals set forth above are hereby incorporated in and made a part of this Agreement.
- 2. District's Obligations:
  - a) The District hereby agrees to refrain from executing Resolution No. 2022-09, which orders Nava to cease pumping groundwater from the Wells. Notwithstanding anything to the contrary, however, Nava hereby agrees that the District shall have the unqualified right to execute Resolution No. 2022-09 upon Nava's default under this Agreement, Nava's breach of this Agreement, and/or Nava's failure to comply with any of the terms and/or conditions of this Agreement. Nava expressly agrees that, under the foregoing circumstances, Resolution No. 2022-09 shall immediately become effective and capable of execution without the need for the District and/or Board to notice and hold another public hearing per Water Code section 75637.
- 3. Nava's Obligations:
  - a) Nava acknowledges its obligation to repay the Delinquencies to the District. Nava shall be responsible for repaying its Delinquencies, subject to the terms of this Agreement.
  - b) Nava shall deposit with the District an initial payment of **\$15,000** on May 1, 2022, which shall be credited against the Delinquencies.
  - c) Yearly Sums Owed:
    - 1. The Parties agree that during the first year of this Agreement ("Year 1"), Nava shall repay the District **\$30,000** and **\$7,596.68** in penalties.

2. The Parties agree that during the second year of this Agreement (“Year 2”), Nava shall repay the District **\$30,000** and **\$7,596.68** in penalties.
3. The Parties agree that during the third year of this Agreement (“Year 3”), Nava shall repay the District **\$19,276.22**.

d) Repayment Plan:

1. Nava shall be responsible for the timely submission of a groundwater production statement and the payment of groundwater extraction fees for the first installment of 2022 (01/2022 to 06/2022). This payment is separate from any other payment contemplated hereunder. Such statement and payment are due on or before July 31, 2022.
2. Monthly payments for Year 1 shall commence on July 1, 2022. Monthly payments shall be due on the first of each month thereafter. Year 2 shall begin on July 1, 2023. Year 3 shall begin on July 1, 2024.
3. Monthly payments shall be in the amount of **\$2,500** for repayment of the Delinquencies.
4. Monthly payments shall also include an additional **\$2,000** as the estimated pre-payment charges for the ongoing pumping of water. These estimated pre-payment charges will initially apply to the second installment of 2022 (i.e. pre-payment for what would be due in the normal course of business on January 31, 2023.) Such pre-payments will continue until the expiration or termination of this Agreement. At the end of each semi-annual period, Nava shall be responsible for paying all sums owed that are in addition to the pre-payments for the pumping of groundwater from the Wells. In the event that Nava’s pre-payments exceed that which is owed for any semi-annual period, the District shall apply the excess amount to the next billing period for Nava.
5. Based on Section 3(d)(3) & (4) above, the Parties agree that Nava’s monthly payment shall be **\$4,500** (“Monthly Payment”).
6. If Nava makes timely payments on each Monthly Payment due in Year 1, the District shall waive the Year 1 penalties in the amount of **\$7,596.68**.
7. If Nava makes timely payments on each Monthly Payment due in Year 2, the District shall waive the Year 2 penalties in the amount of **\$7,596.68**.

8. Nava shall timely repay the full amount owed in Year 3.
  9. Notwithstanding anything to the contrary, if Nava defaults under this Agreement, breaches this Agreement, and/or fails to comply with any of the terms and/or conditions of this Agreement, the Parties agree that the District shall be also be entitled take any other action including, but not limited to, filing a lawsuit in the Superior Court of California, County of Ventura, to recover the Delinquencies (minus any sums Nava has previously paid). The Parties agree that this Agreement shall constitute *prima facie* evidence of Nava's indebtedness to the District for the Delinquencies. Finally, if Nava defaults under this Agreement, breaches this Agreement, and/or fails to comply with any of the terms and/or conditions of this Agreement, the Parties agree that Nava shall then owe any and all penalties that were waived or would otherwise have been waived.
4. Nava's Representation:
- a) The Parties have based the amount of the Delinquencies on the representation that Nava ended the use of Well M03S on or around June 30, 2017. If it is discovered that Nava continued to use Well M03S after such date, additional amounts will be owed, which will increase the amount of the Delinquencies. In the event the Delinquencies are to be increased, the Parties agree that the District shall have the sole right to increase the amount of the Delinquencies by the amount the District determines is reasonably owed.
5. Miscellaneous
- a. Each undersigned individual, by his or her signature below, hereby represents and warrants that he or she is of legal age, legally competent to execute this Agreement, and fully authorized by the Party on whose behalf he or she signs this Agreement with the right, power, and authority to execute, enter into and deliver this Agreement and to perform its obligations hereunder on behalf of such Party. Each Party hereby further represents and warrants to each of the other Parties that: (i) the execution and delivery of this Agreement have been authorized by all necessary corporate and/or governing board/company authorizations of such Party; (ii) no other proceedings or actions by such Party are necessary to authorize the execution and delivery of this Agreement or to carry out the transactions contemplated herein; (iii) no consent, authorization, order or approval of, or filing or registration with, any governmental authority or other person is required for the execution and delivery of this Agreement by such Party or the consummation by such Party of the transactions contemplated in this Agreement; and (iv) this Agreement constitutes a valid and binding obligation of such Party.

- b. The Parties acknowledge that the District is subject to “open government” laws including, but not limited to, the California Public Records Act and the Brown Act. The Parties agree, to the extent permissible, that the negotiations of this Agreement, all terms of this Agreement, and the Agreement itself are confidential to the extent allowed by law. The Parties shall keep confidential and shall not disclose any of the terms, provisions, substance or contents of this Agreement, except (i) those terms and provisions hereof that each of the Parties determines are necessary to share with its directors, officers, partners, employees, administrators, governing board, legal counsel, and/or accountants in connection with the negotiation, execution and/or delivery of this Agreement; (ii) as otherwise required by applicable law, valid court order, regulation, or rule of any governmental authority; (iii) for tax related purposes; (iv) to enforce rights under this Agreement; or (v) if mutually agreed by all Parties in advance and in writing.
- c. This Agreement shall be governed by, construed, interpreted, applied, and enforced in accordance with the laws of the State of California, with any venue for disputes to be in the County of Ventura.
- d. The Parties each represent and warrant that there have been no representations or promises made by the other upon which either has relied in connection with this Agreement, other than what is set forth herein in writing. This Agreement, including any exhibits or incorporations, constitutes the Parties’ final and entire agreement on the matters expressed herein and supersedes all prior negotiations, discussions, representations, or agreements regarding the Delinquencies.
- e. This Agreement may be executed in several counterparts, and all so executed shall constitute one Agreement, binding on all the Parties, even though all of the Parties are not signatories to the original or the same counterpart. Furthermore, the Parties may execute and then deliver this Agreement by electronic means such as .pdf or similar format. Each of the Parties agrees that the delivery of the Agreement by electronic means shall have the same force and effect as delivery of original signatures and that each of the Parties may use such electronic signatures as evidence of the execution and delivery of the Agreement by all Parties to the same extent as an original signature.
- f. No change, amendment, or modification to or of this Agreement shall be valid unless it is in writing and signed by all of the Parties.
- g. Each term and provision of this Agreement is intended to be severable. If any term or provision of this Agreement is deemed or determined to be illegal or invalid for any reason whatsoever, such illegality or invalidity shall not affect the validity of any other term or provision of this Agreement unless the essential purposes of the Parties are thereby frustrated.

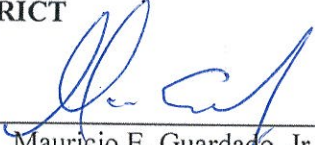
- h. The Parties recognize and agree that the terms and provisions of this Agreement shall inure to the benefit of and shall be binding upon the successors and assigns, if any, of the Parties.
- i. The Parties agree to cooperate in taking any and all steps necessary to give full force and effect to this Agreement, and to execute any and all additional documents necessary to effectuate the terms and conditions of this Agreement.
- j. **THE UNDERSIGNED HAVE HAD THE FULL, COMPLETE, AND UNRESTRICTED RIGHT TO HAVE EACH AND EVERY PARAGRAPH, TERM, AND/OR PROVISION OF THIS AGREEMENT AND EACH AND EVERY RESULT AND CONSEQUENCE OF ITS EXECUTION BY THE UNDERSIGNED FULLY EXPLAINED TO THE UNDERSIGNED BY COMPETENT AND EXPERIENCED LEGAL COUNSEL SELECTED AND RETAINED SOLELY BY THE UNDERSIGNED. THE UNDERSIGNED HAVE CAREFULLY READ THIS AGREEMENT AND ANY EXHIBIT ATTACHED HERETO AND INCORPORATION REFERENCED HEREIN; FULLY UNDERSTAND THE CONTENTS THEREOF; FULLY, FREELY, AND VOLUNTARILY AGREE TO AND ACCEPT EACH AND EVERY PARAGRAPH, TERM AND/OR PROVISION CONTAINED IN THIS AGREEMENT, AND FULLY, FREELY, AND VOLUNTARILY AGREE TO AND ACCEPT THE AGREEMENT AS BINDING UPON THE UNDERSIGNED FOR ANY AND ALL PURPOSES WHATSOEVER.**

*[Signatures to begin on the next page.]*

IN WITNESS WHEREOF, each Party has executed, or has caused its duly authorized representative to execute, as applicable, this Agreement as of the Effective Date.

**UNITED WATER CONSERVATION  
DISTRICT**

By: \_\_\_\_\_

  
Mauricio E. Guardado, Jr.  
General Manager

**NAVA ENTERPRISE, INC.**

By: \_\_\_\_\_



**ATTACHMENT C**

**Well# 02N21W17M03S**

<b>Date</b>	<b>Reference</b>	<b>Credits</b>	<b>Debits</b>	<b>Cumulative Balance</b>
06/05/2017	BILL 6/30/2016 - 12/31/2016		\$ 13,225.07	\$ 13,225.07
06/30/2017	BILL 12/31/2016 - 6/30/2017		\$ 16,208.27	\$ 29,433.34
02/10/2018	BILL 6/30/2017 - 12/31/2017		\$ 12,772.77	\$ 42,206.11
02/11/2018	Past Due		\$ 1,405.01	\$ 43,611.12
12/20/2018	LATE CHARGE 16% FOR 18-1		\$ 6,977.78	\$ 50,588.90
12/21/2018	ADJ LATE CHARGE 16% FOR 18-1		\$ 2,616.67	\$ 46,227.79
12/21/2018	REVERSE PENALTY FOR 18-1	\$ (6,977.78)		\$ 43,611.12
02/10/2019	BILL 6/30/2018 - 12/31/2018		\$ 14,786.55	\$ 61,014.34
02/11/2019	Past Due		\$ 1,626.52	\$ 62,640.86
12/04/2019	PENALTY ADJUSTMENT 10% PEN. 6% COMP INT FOR 19-1		\$ 3,853.68	\$ 66,494.54
06/23/2020	PENALTY ADJUSTMENT 10% PEN. 6% COMP INT 19-2		\$ 4,090.75	\$ 70,585.29
12/01/2020	PENALTY ADJUSTMENT 10% PEN, 6% COMP INT FOR 20-1		\$ 4,342.42	\$ 74,927.71
06/14/2021	PENALTY ADJUSTMENT 10% PEN. 6% COMP INT		\$ 4,609.56	\$ 79,537.27
12/17/2021	PENALTY ADJ - 10% PEN & 6% INT GW 21-1		\$ 4,893.14	\$ 84,430.41
05/02/2022	PEN ADJ 10% PEN & 16% INT GW 21-2 ESTIMATE		\$ 5,194.16	\$ 89,624.57
12/01/2022	6% INTEREST GW 2022-1		\$ 5,513.72	\$ 95,138.29
03/01/2023	WRITE-OFF P&I GW 600-03555-00	\$ (38,145.63)		\$ 29,433.34
03/01/2023	WRITE-OFF REVENUE GW 600-03555-00	\$ (27,559.32)		\$ 67,578.97
06/21/2023	*Multi*	\$ (675.00)		\$ 28,758.34
12/31/2023	ADJUST BALANCE PAST DEBT	\$ (405.00)		\$ 28,353.34
06/30/2025	MOVE PRE-PAY BALANCE FY 24-25	\$ (1,421.83)		\$ 26,931.51
	<b>Balance</b>			<b>\$ 26,931.51</b>

**Well# 03N20W10D02S**

<b>Date</b>	<b>Reference</b>	<b>Credits</b>	<b>Debits</b>	<b>Cumulative Balance</b>
02/10/2021	BILL 6/30/2020 - 12/31/2020		\$ 5,354.54	\$ 44,606.91
06/14/2021	PENALTY ADJUSTMENT 10% PEN. 6% COMP INT		\$ 3,278.07	\$ 47,884.98
06/30/2021	BILL 12/31/2020 - 6/30/2021		\$ 3,903.71	\$ 51,788.69
12/17/2021	PENALTY ADJ - 10% PEN & 6% INT GW 21-1		\$ 3,576.42	\$ 55,365.11
02/10/2022	BILL 6/30/2021 - 12/31/2021		\$ 5,975.99	\$ 61,341.10
05/02/2022	PEN ADJ 10% PEN & 16% INT GW 21-2 ESTIMATE		\$ 4,371.31	\$ 65,712.41
05/12/2022	002779	\$ (3,750.00)		\$ 61,962.41
05/18/2022	NSF CHECK NAVA CK# 2779		\$ 3,750.00	\$ 65,712.41
06/30/2022	BILL 12/31/2021 - 6/30/2022		\$ 4,932.96	\$ 70,645.37
10/01/2022	PENALTY CHARGE GW 22-1		\$ 493.30	\$ 71,138.67
10/17/2022	1156240012	\$ (9,702.58)		\$ 61,436.09
10/20/2022	1156240033	\$ (2,076.00)		\$ 59,360.09
11/04/2022	1156240171	\$ (2,500.00)		\$ 56,860.09
12/01/2022	6% INTEREST GW 2022-1STMENT		\$ 3,313.89	\$ 60,173.98
12/21/2022	1156240638	\$ (2,500.00)		\$ 57,673.98
01/26/2023	2024	\$ (2,500.00)		\$ 55,173.98
02/10/2023	BILL 6/30/2022 - 12/31/2022		\$ 6,535.78	\$ 61,709.76
05/01/2023	OTHER BILL ADJUSTMENT 6/30/2022 - 12/31/2022		\$ 4,484.35	\$ 66,194.11
05/01/2023	BILL 6/30/2022 - 12/31/2022	\$ (6,535.78)		\$ 59,658.33
06/01/2023	6% INTEREST GW 22-2		\$ 269.06	\$ 59,927.39
06/01/2023	ADJUST BALANCE DUE TO PREPAYMENT	\$ (4,484.35)		\$ 55,443.04
06/21/2023	*Multi*	\$ (1,425.00)		\$ 54,018.04
06/30/2023	BILL 12/31/2022 - 6/30/2023		\$ 3,036.12	\$ 57,054.16
07/01/2023	ADJUST UBPKT01929 - NAVA	\$ (269.06)		\$ 56,785.10
07/27/2023	37855	\$ (3,036.12)		\$ 53,748.98
11/02/2023	11% P&I CHARGE GW21-2		\$ 1,210.90	\$ 54,959.88
11/02/2023	REVERSE 6% INTEREST CHARGE GW 22-2	\$ (269.06)		\$ 54,690.82
11/02/2023	REVERSE 6% INTEREST CHARGE GW 22-1	\$ (3,313.89)		\$ 51,376.93
11/02/2023	REVERSE 16% P&I CHARGE GW 21-2	\$ (4,371.31)		\$ 47,005.62
12/31/2023	ADJUST BALANCE PAST DEBT	\$ (855.00)		\$ 46,150.62
02/10/2024	11% P&I GW 23-2		\$ 982.15	\$ 47,132.77
02/10/2024	BILL 6/30/2023 - 12/31/2023		\$ 8,136.61	\$ 55,269.38
02/10/2024	OTHER BILL ADJUSTMENT 6/30/2023 - 12/31/2023		\$ 8,928.62	\$ 64,198.00
02/10/2024	BILL 6/30/2023 - 12/31/2023	\$ (8,136.61)		\$ 56,061.39
03/13/2024	392012	\$ (8,928.62)		\$ 47,132.77
06/30/2024	BILL 12/31/2023 - 6/30/2024		\$ 5,561.06	\$ 52,693.83
07/17/2024	397979	\$ (5,561.00)		\$ 47,132.83
09/17/2024	38412	\$ (6,000.00)		\$ 41,132.83
09/17/2024	38413	\$ (6,000.00)		\$ 35,132.83
12/03/2024	38487	\$ (6,000.00)		\$ 29,132.83
12/03/2024	38486	\$ (6,000.00)		\$ 23,132.83
04/01/2026	WAIVE P&I FEES PER AGREEMENT - AUG 2021	\$ (15,193.37)		\$ 7,939.46
04/01/2026	Transfer	\$ (4,394.05)		\$ 3,545.41
<b>Balance</b>				<b>\$ 3,545.41</b>

**Well# 04N19W31N03S**

<b>Date</b>	<b>Reference</b>	<b>Credits</b>	<b>Debits</b>	<b>Cumulative Balance</b>
06/30/2020	BILL 3/19/2020 - 6/30/2020		\$ 1,794.48	\$ 1,794.48
12/01/2020	PENALTY ADJUSTMENT 10% PEN, 6% COMP INT FOR 20-1		\$ 289.84	\$ 2,084.32
02/10/2021	BILL 6/30/2020 - 12/31/2020		\$ 5,039.17	\$ 7,123.49
06/14/2021	PENALTY ADJUSTMENT 10% PEN. 6% COMP INT		\$ 942.16	\$ 8,065.65
06/30/2021	BILL 12/31/2020 - 6/30/2021		\$ 1,870.58	\$ 9,936.23
12/17/2021	PENALTY ADJ - 10% PEN & 6% INT GW 21-1		\$ 798.34	\$ 10,734.57
02/10/2022	BILL 6/30/2021 - 12/31/2021		\$ 5,993.54	\$ 16,728.11
05/02/2022	PEN ADJ 10% PEN & 16% INT GW 21-2 ESTIMATE		\$ 1,628.47	\$ 18,356.58
05/12/2022	002779	\$ (3,750.00)		\$ 14,606.58
05/18/2022	NSF CHECK NAVA CK# 2779		\$ 3,750.00	\$ 18,356.58
06/30/2022	BILL 12/31/2021 - 6/30/2022		\$ 2,342.60	\$ 20,699.18
07/13/2022	1156239259	\$ (2,500.00)		\$ 18,199.18
10/01/2022	PENALTY CHARGE GW 22-1		\$ 234.26	\$ 18,433.44
10/01/2022	2% INTEREST CHARGE GW 22-1		\$ 363.98	\$ 18,797.42
10/06/2022	1156239862	\$ (1,500.00)		\$ 17,297.42
10/06/2022	1156239862	\$ (7,500.00)		\$ 9,797.42
10/17/2022	1156240012	\$ (9,797.42)		\$ (0.00)
02/10/2023	BILL 6/30/2022 - 12/31/2022		\$ 6,292.49	\$ 6,292.49
03/08/2023	1156241299	\$ (2,500.00)		\$ 3,792.49
05/01/2023	OTHER BILL ADJUSTMENT 6/30/2022 - 12/31/2022		\$ 2,028.40	\$ 5,820.89
05/01/2023	BILL 6/30/2022 - 12/31/2022	\$ (6,292.49)		\$ (471.60)
06/01/2023	ADJUST BALANCE DUE TO PREPAYMENT	\$ (121.70)		\$ (593.30)
06/01/2023	6% INTEREST GW 22-2		\$ 121.70	\$ (471.60)
06/01/2023	ADJUST BALANCE DUE TO PRE-PAYMENT	\$ (2,028.40)		\$ (2,500.00)
06/21/2023	*Multi*	\$ (400.00)		\$ (2,900.00)
06/30/2023	BILL 12/31/2022 - 6/30/2023		\$ 4,164.05	\$ 1,264.05
11/02/2023	11% P&I CHARGE GW 21-2		\$ 766.64	\$ 2,030.69
11/02/2023	REVERSE 6% INTEREST GW 22-2	\$ (121.70)		\$ 1,908.99
11/02/2023	REVERSE 2% INTEREST GW 22-1	\$ (363.98)		\$ 1,545.01
11/02/2023	REVERSE 16% P&I GW 21-2	\$ (1,628.47)		\$ (83.46)
12/31/2023	ADJUST BALANCE OF PAST DEBT	\$ (240.00)		\$ (323.46)
02/10/2024	BILL 6/30/2023 - 12/31/2023		\$ 6,903.70	\$ 6,580.24
02/10/2024	ADJUST BALANCE 23-2 DUE PRE-PAYMENT	\$ (69.21)		\$ 6,511.03
02/10/2024	ADJUST BALANCE 23-2 DUE PRE-PAYMENT	\$ (621.16)		\$ 5,889.87
02/10/2024	ADJUST BALANCE 23-2 DUE PRE-PAYMENT	\$ (6,820.24)		\$ (930.37)
02/10/2024	ADJUST BALANCE 23-1 DUE PRE-PAYMENT	\$ (4,164.05)		\$ (5,094.42)
03/04/2024	NSF FEE		\$ 10.00	\$ (5,084.42)
03/04/2024	NSF CHECK# 2165		\$ 2,500.00	\$ (2,584.42)
03/04/2024	2165	\$ (2,500.00)		\$ (5,084.42)
06/24/2024	10% PENALTY GW 23-2		\$ 690.37	\$ (4,394.05)
06/30/2024	BILL 12/31/2023 - 6/30/2024		\$ 3,664.82	\$ (729.23)
07/17/2024	397981	\$ (3,664.82)		\$ (4,394.05)
04/01/2026	Transfer		\$ 4,394.05	\$ -
<b>Balance</b>				<b>\$ -</b>